

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549  
FORM 13F

FORM 13F COVER PAGE

OMB APPROVAL

OMB Number: 3235-0006  
Expires: Oct 31, 2018  
Estimated average burden  
hours per response: 23.8

Report for the Calendar Year or Quarter Ended: 06-30-2018

Check here if Amendment Amendment Number:

This Amendment (Check only one.): is a restatement.  
adds new holdings entries.

Institutional Investment Manager Filing this Report:

Name: COWEN INC.  
Address: 599 LEXINGTON AVENUE, 20TH FLOOR  
NEW YORK, NY 10022

Form 13F File Number: 028-14571

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, lists, and tables, are considered integral parts of this form.

Person Signing this Report on Behalf of Reporting Manager:

Name: Owen Littman  
Title: General Counsel  
Phone: 212-201-4841

Signature, Place, and Date of Signing:

/s/ Owen Littman New York, NY 08-06-2018  
[Signature] [City, State] [Date]

Report Type (Check only one.):

13F HOLDINGS REPORT. (Check here if all holdings of this reporting manager are reported in this report.)

13F NOTICE. (Check here if no holdings reported are in this report, and all holdings are reported by other reporting manager(s).)

13F COMBINATION REPORT. (Check here if a portion of the holdings for this reporting manager are reported in this report and a portion are reported by other reporting manager(s).)

Form 13F Summary Page

Report Summary:

Number of Other Included Managers: 5  
Form 13F Information Table Entry Total: 560  
Form 13F Information Table Value Total: 2,356,273  
(thousands)

List of Other Included Managers:

Provide a numbered list of the name(s) and Form 13F file number(s) of all institutional investment managers with respect to which this report is filed, other than the manager filing this report.

[If there are no entries in this list, state "NONE" and omit the column headings and list entries.]

No.	Form 13F File Number	Name
1	028-04125	COWEN & COMPANY, LLC
2	028-18771	COWEN EXECUTION SERVICES LLC
3	028-13626	Cowen Investment Management LLC
4	028-18662	Margate Capital Management LP
5	028-10674	RAMIUS ADVISORS LLC

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FORM 13F INFORMATION TABLE

OMB APPROVAL	
OMB Number:	3235-0006
Expires:	Oct 31, 2018
Estimated average burden hours per response:	23.8

COLUMN 1	COLUMN 2	COLUMN 3	COLUMN 4	COLUMN 5	COLUMN 6	COLUMN 7	COLUMN 8			
NAME OF ISSUER	TITLE OF CLASS	CUSIP	VALUE (x\$1000)	SHRS OR PRN AMT	SH/ PUT/ INVESTMENT	DISCRETION	OTHER MANAGER	SOLE	SHARED	NONE
22ND CENTY GROUP INC	COM	90137F103	185	75,000	SH	DFND	1	75,000	0	0
3M CO	COM	88579Y101	157	800	SH	DFND	3	800	0	0
3M CO	COM	88579Y101	29	3,600	SH	Call	DFND	3	3,600	0
3M CO	COM	88579Y101	84	2,700	SH	Put	DFND	3	2,700	0
ACADIA PHARMACEUTICALS INC	COM	004225108	115	7,500	SH	DFND	1	7,500	0	0
ACADIA PHARMACEUTICALS INC	COM	004225108	208	13,600	SH	Call	DFND	1	13,600	0
ACCELERATE DIAGNOSTICS INC	COM	00430H102	1,896	85,000	SH	Put	DFND	1	85,000	0
ADOBE SYS INC	COM	00724F101	12,969	53,192	SH	DFND	4	53,192	0	0
ADVERUM BIOTECHNOLOGIES INC	COM	00773U108	371	70,000	SH	DFND	1	70,000	0	0
AETNA INC NEW	COM	00817Y108	6,092	33,200	SH	Call	DFND	1	33,200	0
AETNA INC NEW	COM	00817Y108	32,815	178,827	SH	DFND	5	178,827	0	0
AGCO CORP	COM	001084102	1,324	21,800	SH	Call	DFND	1	21,800	0
AGROFRESH SOLUTIONS	*W EXP 07/31/202	00856G117	220	594,393	SH	DFND	1	594,393	0	0
AKORN INC	COM	009728106	136	8,200	SH	Call	DFND	1	8,200	0
AKORN INC	COM	009728106	505	109,900	SH	Call	DFND	5	109,900	0
AKORN INC	COM	009728106	473	28,500	SH	Put	DFND	1	28,500	0
ALDER BIOPHARMACEUTICALS INC	COM	014339105	277	17,500	SH	Put	DFND	1	17,500	0
ALIBABA GROUP HLDG LTD	SPONSORED ADS	01609W102	3,965	21,369	SH	DFND	4	21,369	0	0
ALPHABET INC	CAP STK CL A	02079K305	198	175	SH	DFND	3	175	0	0
ALPHABET INC	CAP STK CL A	02079K305	33	300	SH	Call	DFND	3	300	0
ALPHABET INC	CAP STK CL A	02079K305	26	500	SH	Put	DFND	3	500	0
ALPHABET INC	CAP STK CL C	02079K107	849	761	SH	DFND	3	761	0	0
ALPHABET INC	CAP STK CL C	02079K107	20	200	SH	Call	DFND	3	200	0
ALPHABET INC	CAP STK CL C	02079K107	37	700	SH	Put	DFND	3	700	0
ALTA MESA RES INC	*W EXP 03/28/202	02133L117	825	589,266	SH	DFND	1	589,266	0	0
ALTABA INC	COM	021346101	6,647	90,800	SH	DFND	3	90,800	0	0
ALTICE USA INC	CL A	02156K103	2,131	124,933	SH	DFND	3	124,933	0	0
AMAZON COM INC	COM	023135106	3,532	2,078	SH	DFND	4	2,078	0	0
AMAZON COM INC	COM	023135106	224	400	SH	Call	DFND	3	400	0
AMAZON COM INC	COM	023135106	13,488	7,935	SH	DFND	3	7,935	0	0
AMICUS THERAPEUTICS INC	COM	03152W109	383	24,500	SH	DFND	1	24,500	0	0
AMICUS THERAPEUTICS INC	COM	03152W109	2,088	133,700	SH	Call	DFND	1	133,700	0
ANDEAVOR	COM	03349M105	60,900	464,244	SH	DFND	5	464,244	0	0
APPLE INC	COM	037833100	11	700	SH	Put	DFND	3	700	0
APPLE INC	COM	037833100	9	400	SH	Call	DFND	3	400	0
APPLE INC	COM	037833100	2,079	11,231	SH	DFND	3	11,231	0	0

APTINYX INC	COM	03836N103	203	681,132	SH		DFND	1	681,132	0	0
APTIV PLC	SHS	G6095L109	87	948	SH		DFND	1	948	0	0
APTIV PLC	SHS	G6095L109	10,413	113,638	SH		DFND	4	113,638	0	0
AQUINOX PHARMACEUTICALS INC	COM	03842B101	53	20,000	SH		DFND	1	20,000	0	0
AQUINOX PHARMACEUTICALS INC	COM	03842B101	53	20,000	SH	Put	DFND	1	20,000	0	0
ARCELORMITTAL SA LUXEMBOURG	NY REGISTRY SH	03938L203	4,673	171,100	SH	Call	DFND	1	171,100	0	0
ARRAY BIOPHARMA INC	COM	04269X105	923	55,000	SH	Put	DFND	1	55,000	0	0
AT&T INC	COM	00206R102	6,810	212,093	SH		DFND	1	212,093	0	0
AT&T INC	COM	00206R102	14,692	457,500	SH	Put	DFND	1	457,500	0	0
AT&T INC	COM	00206R102	2,395	74,600	SH	Call	DFND	1	74,600	0	0
AT&T INC	COM	00206R102	10	72,000	SH	Call	DFND	5	72,000	0	0
ATARA BIOTHERAPEUTICS INC	COM	046513107	129	3,500	SH		DFND	1	3,500	0	0
ATARA BIOTHERAPEUTICS INC	COM	046513107	239	6,500	SH	Call	DFND	1	6,500	0	0
ATARA BIOTHERAPEUTICS INC	COM	046513107	368	10,000	SH	Put	DFND	1	10,000	0	0
AUDENTES THERAPEUTICS INC	COM	05070R104	3,057	80,000	SH		DFND	1	80,000	0	0
AUTOMATIC DATA PROCESSING IN	COM	053015103	18,780	140,000	SH	Put	DFND	1	140,000	0	0
AUTOMATIC DATA PROCESSING IN	COM	053015103	86	4,200	SH	Call	DFND	3	4,200	0	0
AUTOMATIC DATA PROCESSING IN	COM	053015103	134	1,000	SH		DFND	1	1,000	0	0
AUTOMATIC DATA PROCESSING IN	COM	053015103	24	5,600	SH	Put	DFND	3	5,600	0	0
AV HOMES INC	COM	00234P102	3,274	152,994	SH		DFND	3	152,994	0	0
AVISTA HEALTHCARE PUB ACQ CO	CL A	G0726L125	1,080	107,724	SH		DFND	1	107,724	0	0
BANK AMER CORP	COM	060505104	22	13,000	SH	Call	DFND	3	13,000	0	0
BANK AMER CORP	COM	060505104	17	7,700	SH	Put	DFND	3	7,700	0	0
BANK NEW YORK MELLON CORP	COM	064058100	42	9,000	SH	Put	DFND	3	9,000	0	0
BANK NEW YORK MELLON CORP	COM	064058100	65	1,200	SH		DFND	3	1,200	0	0
BANK NEW YORK MELLON CORP	COM	064058100	19	6,600	SH	Call	DFND	3	6,600	0	0
BED BATH & BEYOND INC	COM	075896100	2,909	146,000	SH		DFND	1	146,000	0	0
BED BATH & BEYOND INC	COM	075896100	2,491	125,000	SH	Put	DFND	1	125,000	0	0
BERKSHIRE HATHAWAY INC DEL	CL A	084670108	282	1	SH		DFND	3	1	0	0
BERKSHIRE HATHAWAY INC DEL	CL B NEW	084670702	187	1,000	SH		DFND	3	1,000	0	0
BERKSHIRE HATHAWAY INC DEL	CL B NEW	084670702	25	3,500	SH	Call	DFND	3	3,500	0	0
BERKSHIRE HATHAWAY INC DEL	CL B NEW	084670702	19	1,000	SH	Put	DFND	3	1,000	0	0
BIG ROCK PARTNERS ACQUISI CO	*W EXP 12/01/202	089482111	6	16,476	SH		DFND	1	16,476	0	0
BIG ROCK PARTNERS ACQUISI CO	RIGHT 12/01/2022	089482129	18	39,652	SH		DFND	1	39,652	0	0
BIOMARIN PHARMACEUTICAL INC	COM	09061G101	122	1,300	SH	Put	DFND	1	1,300	0	0
BIOMARIN PHARMACEUTICAL INC	COM	09061G101	1,235	13,100	SH	Call	DFND	1	13,100	0	0
BIOMARIN PHARMACEUTICAL INC	COM	09061G101	94	1,000	SH		DFND	1	1,000	0	0
BISON CAP ACQUISITION CORP	*W EXP 06/20/202	G1142P112	10	27,002	SH		DFND	1	27,002	0	0

BISON CAP ACQUISITION CORP	RIGHT 03/20/2019	G1142P138	12	37,372	SH		DFND	1	37,372	0	0
BLACK RIDGE ACQUISITION CORP	*W EXP 07/10/202	09216T115	52	120,481	SH		DFND	1	120,481	0	0
BLACK RIDGE ACQUISITION CORP	UNIT 10/05/2022	09216T206	512	49,002	SH		DFND	1	49,002	0	0
BLACK RIDGE ACQUISITION CORP	UNIT 10/05/2022	09216T206	523	50,000	SH		DFND	3	50,000	0	0
BLUEBIRD BIO INC	COM	09609G100	942	6,000	SH		DFND	1	6,000	0	0
BLUEPRINT MEDICINES CORP	COM	09627Y109	2,158	34,000	SH		DFND	1	34,000	0	0
BOEING CO	COM	097023105	5,501	16,397	SH		DFND	4	16,397	0	0
BROOKFIELD PPTY PARTNERS L P	UNIT LTD PARTN	G16249107	1,286	67,600	SH		DFND	2	67,600	0	0
BROOKFIELD PPTY PARTNERS L P	UNIT LTD PARTN	G16249107	385	50,000	SH	Put	DFND	2	50,000	0	0
BROOKFIELD PPTY PARTNERS L P	UNIT LTD PARTN	G16249107	385	50,000	SH	Put	DFND	3	50,000	0	0
BUNGE LIMITED	COM	G16962105	1,046	15,000	SH	Call	DFND	1	15,000	0	0
CADENCE DESIGN SYSTEM INC	COM	127387108	6,406	147,917	SH		DFND	4	147,917	0	0
CAESARS ENTMT CORP	COM	127686103	3,628	339,026	SH		DFND	4	339,026	0	0
CAESARS ENTMT CORP	COM	127686103	319	29,850	SH		DFND	3	29,850	0	0
CAESARS ENTMT CORP	COM	127686103	0	1	SH		DFND	1	1	0	0
CAMPBELL SOUP CO	COM	134429109	3,085	76,100	SH	Put	DFND	1	76,100	0	0
CAMPBELL SOUP CO	COM	134429109	3,932	97,000	SH	Call	DFND	1	97,000	0	0
CAMPING WORLD HLDGS INC	CL A	13462K109	57	2,280	SH		DFND	1	2,280	0	0
CAMPING WORLD HLDGS INC	CL A	13462K109	375	15,000	SH	Call	DFND	1	15,000	0	0
CAPITAL ONE FINL CORP	COM	14040H105	460	5,000	SH		DFND	3	5,000	0	0
CAPITOL INVT CORP IV	*W EXP 01/01/202	G18920127	24	17,426	SH		DFND	1	17,426	0	0
CAPITOL INVT CORP IV	CL A ORD	G18920101	987	100,000	SH		DFND	3	100,000	0	0
CAPITOL INVT CORP IV	UNIT 99/99/9999	G18920119	53	5,159	SH		DFND	1	5,159	0	0
CAPITOL INVT CORP IV	UNIT 99/99/9999	G18920119	515	50,000	SH		DFND	3	50,000	0	0
CARLISLE COS INC	COM	142339100	1,593	14,700	SH	Call	DFND	1	14,700	0	0
CARLISLE COS INC	COM	142339100	6,098	56,300	SH	Put	DFND	1	56,300	0	0
CARNIVAL CORP	UNIT 99/99/9999	143658300	57	1,000	SH		DFND	1	1,000	0	0
CARNIVAL CORP	UNIT 99/99/9999	143658300	5,731	100,000	SH	Call	DFND	1	100,000	0	0
CARNIVAL PLC	ADR	14365C103	317	5,495	SH		DFND	3	5,495	0	0
CBS CORP NEW	CL B	124857202	163	100,000	SH	Put	DFND	5	100,000	0	0
CELGENE CORP	COM	151020104	707	8,900	SH		DFND	3	8,900	0	0
CHARTER COMMUNICATIONS INC N	CL A	16119P108	948	3,234	SH		DFND	4	3,234	0	0
CHIPOTLE MEXICAN GRILL INC	COM	169656105	157	1,500	SH	Call	DFND	3	1,500	0	0
CHIPOTLE MEXICAN GRILL INC	COM	169656105	75	3,200	SH	Put	DFND	3	3,200	0	0
CIGNA CORPORATION	COM	125509109	2,464	14,500	SH		DFND	1	14,500	0	0
CIGNA CORPORATION	COM	125509109	1,700	10,000	SH	Call	DFND	1	10,000	0	0
CIGNA CORPORATION	COM	125509109	3,399	20,000	SH	Put	DFND	1	20,000	0	0
CISCO SYS INC	COM	17275R102	20	6,900	SH	Call	DFND	3	6,900	0	0
CISCO SYS INC	COM	17275R102	47	13,300	SH	Put	DFND	3	13,300	0	0
CISCO SYS INC	COM	17275R102	65	1,500	SH		DFND	3	1,500	0	0
CM SEVEN STAR ACQUISITION CO	SHS	G2345N102	344	34,746	SH		DFND	1	34,746	0	0
CM SEVEN STAR ACQUISITION CO	UNIT 08/21/2022	G2345N128	0	1	SH		DFND	1	1	0	0
CM SEVEN STAR ACQUISITION CO	UNIT 08/21/2022	G2345N128	2,588	250,000	SH		DFND	3	250,000	0	0
CME GROUP INC	COM CL A	12572Q105	462	120,000	SH	Put	DFND	5	120,000	0	0
COBIZ FINANCIAL INC	COM	190897108	1,620	75,400	SH		DFND	5	75,400	0	0
COCA COLA CO	COM	191216100	30	10,300	SH	Call	DFND	3	10,300	0	0

COCA COLA CO	COM	191216100	31	9,800	SH	Put	DFND	3	9,800	0	0
COHERUS BIOSCIENCES INC	COM	19249H103	56	4,000	SH		DFND	1	4,000	0	0
COHERUS BIOSCIENCES INC	COM	19249H103	728	52,000	SH	Call	DFND	1	52,000	0	0
COHU INC	COM	192576106	1,020	41,604	SH		DFND	5	41,604	0	0
COMCAST CORP NEW	CL A	20030N101	1,555	47,400	SH		DFND	1	47,400	0	0
COMCAST CORP NEW	CL A	20030N101	1,496	45,600	SH	Call	DFND	1	45,600	0	0
COMCAST CORP NEW	CL A	20030N101	171	160,000	SH	Call	DFND	5	160,000	0	0
COMCAST CORP NEW	CL A	20030N101	4,101	125,000	SH	Put	DFND	1	125,000	0	0
COMMUNITY HEALTH SYS INC NEW	RIGHT 12/31/2019	203668116	39	3,858,817	SH		DFND	1	3,858,817	0	0
CONAGRA BRANDS INC	COM	205887102	1,072	30,000	SH	Call	DFND	1	30,000	0	0
CONCHO RES INC	COM	20605P101	138	1,000	SH		DFND	1	1,000	0	0
CONCHO RES INC	COM	20605P101	6,074	43,900	SH	Call	DFND	1	43,900	0	0
CONSTELLATION ALPHA CAP CORP	SHS	G2379F108	1,844	185,172	SH		DFND	1	185,172	0	0
CONSTELLATION ALPHA CAP CORP	UNIT 99/99/9999	G2379F124	1,460	136,450	SH		DFND	1	136,450	0	0
CONSTELLATION BRANDS INC	CL A	21036P108	2,134	9,750	SH		DFND	1	9,750	0	0
CONSTELLATION BRANDS INC	CL A	21036P108	25,082	114,600	SH	Call	DFND	1	114,600	0	0
CONSTELLATION BRANDS INC	CL A	21036P108	10,418	47,600	SH	Put	DFND	1	47,600	0	0
COREPOINT LODGING INC	COM	21872L104	3,616	139,628	SH		DFND	3	139,628	0	0
CORVUS PHARMACEUTICALS INC	COM	221015100	5,868	534,397	SH		DFND	1	534,397	0	0
CSX CORP	COM	126408103	25	5,500	SH	Call	DFND	3	5,500	0	0
CSX CORP	COM	126408103	30	5,900	SH	Put	DFND	3	5,900	0	0
CVR PARTNERS LP	COM	126633106	565	171,079	SH		DFND	3	171,079	0	0
CVR REFNG LP	COMUNIT REP LT	12663P107	3,465	155,014	SH		DFND	3	155,014	0	0
DASEKE INC	*W EXP 02/27/202	23753F115	80	74,518	SH		DFND	1	74,518	0	0
DCT INDUSTRIAL TRUST INC	COM NEW	233153204	7	98	SH		DFND	1	98	0	0
DCT INDUSTRIAL TRUST INC	COM NEW	233153204	59,648	893,878	SH		DFND	5	893,878	0	0
DEL TACO RESTAURANTS INC	*W EXP 06/30/202	245496112	64	16,348	SH		DFND	1	16,348	0	0
DFB HEALTHCARE ACQUISTION CO	*W EXP 04/11/202	23291E117	15	13,719	SH		DFND	1	13,719	0	0
DFB HEALTHCARE ACQUISTION CO	COM	23291E109	348	36,157	SH		DFND	1	36,157	0	0
DFB HEALTHCARE ACQUISTION CO	UNIT 01/30/2023	23291E208	2,770	272,944	SH		DFND	1	272,944	0	0
DISCOVERY INC	COM SER A	25470F104	336	15,000	SH	Call	DFND	1	15,000	0	0
DISNEY WALT CO	COM DISNEY	254687106	2,699	25,756	SH		DFND	4	25,756	0	0
DISNEY WALT CO	COM DISNEY	254687106	679	250,000	SH	Put	DFND	5	250,000	0	0
DOWDUPONT INC	COM	26078J100	45	9,700	SH	Call	DFND	3	9,700	0	0
DOWDUPONT INC	COM	26078J100	19	2,800	SH	Put	DFND	3	2,800	0	0
DR PEPPER SNAPPLE GROUP INC	COM	26138E109	1,464	12,000	SH	Call	DFND	1	12,000	0	0
DR PEPPER SNAPPLE GROUP INC	COM	26138E109	1,220	10,000	SH		DFND	1	10,000	0	0
DRAPER OAKWOOD TECHNOLOGY	UNIT 09/30/2024	26146L202	301	25,100	SH		DFND	1	25,100	0	0
DROPBOX INC	CL A	26210C104	453,880	14,000	SH		DFND	2	14,000	0	0
DROPBOX INC	CL A	26210C104	125,300	14,000	SH	Put	DFND	2	140,000	0	0
DXC TECHNOLOGY CO	COM	23355L106	387	4,803	SH		DFND	1	4,803	0	0
DXC TECHNOLOGY CO	COM	23355L106	1,612	20,000	SH	Call	DFND	1	20,000	0	0
ELECTRONIC ARTS INC	COM	285512109	35,199	249,600	SH	Put	DFND	1	249,600	0	0
ELECTRONIC ARTS INC	COM	285512109	7,728	54,800	SH		DFND	1	54,800	0	0
ELECTRONIC ARTS INC	COM	285512109	9,537	67,629	SH		DFND	4	67,629	0	0

ELECTRONIC ARTS INC	COM	285512109	78,082	553,700	SH	Call	DFND	1	553,700	0	0
ENTERPRISE PRODS PARTNERS L	COM	293792107	830	30,000	SH	Put	DFND	1	30,000	0	0
ENVISION HEALTHCARE CORP	COM	29414D100	35,036	796,091	SH		DFND	5	796,091	0	0
EQT CORP	COM	26884L109	28	500	SH		DFND	1	500	0	0
EQT CORP	COM	26884L109	210	3,800	SH	Put	DFND	1	3,800	0	0
ESTRE AMBIENTAL INC	*W EXP 12/21/202	G3206V118	92	216,478	SH		DFND	1	216,478	0	0
EXPRESS SCRIPTS HLDG CO	COM	30219G108	15,913	206,105	SH		DFND	5	206,105	0	0
EXPRESS SCRIPTS HLDG CO	COM	30219G108	1,351	17,500	SH	Call	DFND	1	17,500	0	0
EXPRESS SCRIPTS HLDG CO	COM	30219G108	6,763	87,600	SH	Put	DFND	1	87,600	0	0
EXXON MOBIL CORP	COM	30231G102	8,273	100,000	SH	Call	DFND	1	100,000	0	0
EXXON MOBIL CORP	COM	30231G102	31	6,100	SH	Put	DFND	3	6,100	0	0
EXXON MOBIL CORP	COM	30231G102	52	6,100	SH	Call	DFND	3	6,100	0	0
FACEBOOK INC	CL A	30303M102	44	2,500	SH	Put	DFND	3	2,500	0	0
FACEBOOK INC	CL A	30303M102	2,157	11,100	SH		DFND	1	11,100	0	0
FACEBOOK INC	CL A	30303M102	19	100	SH		DFND	3	100	0	0
FACEBOOK INC	CL A	30303M102	2,138	11,000	SH	Call	DFND	1	11,000	0	0
FACEBOOK INC	CL A	30303M102	15	1,000	SH	Call	DFND	3	1,000	0	0
FACEBOOK INC	CL A	30303M102	2,138	11,000	SH	Put	DFND	1	11,000	0	0
FAR PT ACQUISITION CORP	*W EXP 06/01/202	30734W117	27	19,700	SH		DFND	1	19,700	0	0
FAR PT ACQUISITION CORP	COM CL A	30734W109	2,270	222,294	SH		DFND	1	222,294	0	0
FINTECH ACQUISITION CORP II	*W EXP 01/19/202	31810G117	111	69,105	SH		DFND	1	69,105	0	0
FITBIT INC	CL A	33812L102	85	12,950	SH		DFND	1	12,950	0	0
FITBIT INC	CL A	33812L102	229	35,000	SH	Put	DFND	1	35,000	0	0
FOREST CITY RLTY TR INC	COM CL A	345605109	2,808	123,110	SH		DFND	5	123,110	0	0
FRONTIER COMMUNICATIONS CORP	COM NEW	35906A306	9	180,000	SH	Call	DFND	2	180,000	0	0
FRONTIER COMMUNICATIONS CORP	COM NEW	35906A306	666	180,000	SH	Put	DFND	2	180,000	0	0
G1 THERAPEUTICS INC	COM	3621LQ109	4,778	109,944	SH		DFND	1	109,944	0	0
GCI LIBERTY INC	COM CLASS A	36164V305	7,083	157,116	SH		DFND	3	157,116	0	0
GENERAL DYNAMICS CORP	COM	369550108	24	3,600	SH	Call	DFND	3	3,600	0	0
GENERAL DYNAMICS CORP	COM	369550108	91	2,700	SH	Put	DFND	3	2,700	0	0
GENERAL DYNAMICS CORP	COM	369550108	839	4,500	SH		DFND	3	4,500	0	0
GENERAL MTRS CO	COM	37045V100	87	2,200	SH		DFND	3	2,200	0	0
GENERAL MTRS CO	COM	37045V100	15	8,000	SH	Call	DFND	3	8,000	0	0
GENERAL MTRS CO	COM	37045V100	46	9,400	SH	Put	DFND	3	9,400	0	0
GERON CORP	COM	374163103	103	30,000	SH	Call	DFND	1	30,000	0	0
GGP INC	COM	36174X101	4,597	225,000	SH		DFND	3	225,000	0	0
GIGCAPITAL INC	*W EXP 06/08/202	37518N122	16	34,867	SH		DFND	1	34,867	0	0
GIGCAPITAL INC	COM	37518N106	377	508,466	SH		DFND	1	508,466	0	0
GIGCAPITAL INC	RIGHT 03/06/2020	37518N114	17	37,660	SH		DFND	1	37,660	0	0
GIGCAPITAL INC	UNIT 03/06/2025	37518N205	951	90,594	SH		DFND	1	90,594	0	0
GLOBAL BLOOD THERAPEUTICS IN	COM	37890U108	1,130	25,000	SH		DFND	1	25,000	0	0
GLOBAL BLOOD THERAPEUTICS IN	COM	37890U108	18	1,400,000	SH	Call	DFND	1	1,400,000	0	0
GLOBALSTAR INC	COM	378973408	31	62,863	SH		DFND	1	62,863	0	0
GOPRO INC	CL A	38268T103	428	66,500	SH	Put	DFND	1	66,500	0	0
GORDON POINTE ACQUISITION CO	COM CLASS A	382788107	1,122	115,432	SH		DFND	1	115,432	0	0
GORES HLDGS II INC	*W EXP 01/13/202	382867117	254	137,511	SH		DFND	1	137,511	0	0

GORES HLDGS II INC	COM CL A	382867109	7,913	779,214	SH		DFND	1	779,214	0	0
GORES HLDGS II INC	UNIT 99/99/9999	382867208	222	20,671	SH		DFND	1	20,671	0	0
GS ACQUISITION HLDGS CORP	UNIT 06/12/2023	36255F201	1,420	138,890	SH		DFND	1	138,890	0	0
GTY TECHNOLOGY HOLDINGS INC	CL A	G4182A102	784	77,577	SH		DFND	1	77,577	0	0
GTY TECHNOLOGY HOLDINGS INC	CL A	G4182A102	1,010	100,000	SH		DFND	3	100,000	0	0
GW PHARMACEUTICALS PLC	ADS	36197T103	698	5,000	SH	Put	DFND	1	5,000	0	0
GW PHARMACEUTICALS PLC	ADS	36197T103	126	900	SH		DFND	1	900	0	0
GW PHARMACEUTICALS PLC	ADS	36197T103	698	5,000	SH	Call	DFND	1	5,000	0	0
HAIN CELESTIAL GROUP INC	COM	405217100	1,207	40,500	SH		DFND	1	40,500	0	0
HAIN CELESTIAL GROUP INC	COM	405217100	1,642	55,100	SH	Put	DFND	1	55,100	0	0
HALLIBURTON CO	COM	406216101	586	13,000	SH		DFND	1	13,000	0	0
HALLIBURTON CO	COM	406216101	451	10,000	SH	Put	DFND	1	10,000	0	0
HAYMAKER ACQUISITION CORP	*W EXP 10/27/202	420870115	42	50,911	SH		DFND	1	50,911	0	0
HAYMAKER ACQUISITION CORP	COM CLASS A	420870107	910	93,281	SH		DFND	1	93,281	0	0
HAYMAKER ACQUISITION CORP	UNIT 10/19/2022	420870206	5,055	500,000	SH		DFND	3	500,000	0	0
HAYMAKER ACQUISITION CORP	UNIT 10/19/2022	420870206	1	100	SH		DFND	1	100	0	0
HENNESSY CAP ACQUSTON CORP I	*W EXP 06/15/202	42588L113	342	237,500	SH		DFND	3	237,500	0	0
HENNESSY CAP ACQUSTON CORP I	*W EXP 06/15/202	42588L113	88	61,450	SH		DFND	1	61,450	0	0
HENNESSY CAP ACQUSTON CORP I	COM	42588L105	4,563	450,000	SH		DFND	3	450,000	0	0
HENNESSY CAP ACQUSTON CORP I	COM	42588L105	1,121	99,904	SH		DFND	1	99,904	0	0
HENNESSY CAP ACQUSTON CORP I	UNIT 06/15/2024	42588L204	558	50,001	SH		DFND	1	50,001	0	0
HL ACQUISITIONS CORP	UNIT 06/21/2023	G4603R114	528	52,495	SH		DFND	1	52,495	0	0
HP INC	COM	40434L105	23	20,200	SH	Call	DFND	3	20,200	0	0
HUNTER MARITIME ACQUISITION	*W EXP 11/17/202	Y37828129	58	135,000	SH		DFND	1	135,000	0	0
HUNTER MARITIME ACQUISITION	*W EXP 11/17/202	Y37828129	124	287,500	SH		DFND	3	287,500	0	0
HUNTER MARITIME ACQUISITION	CL A	Y37828111	5,681	575,000	SH		DFND	3	575,000	0	0
HUNTER MARITIME ACQUISITION	CL A	Y37828111	2,545	257,637	SH		DFND	1	257,637	0	0
I AM CAP ACQUISITION CO	*W EXP 05/22/202	45074Q116	12	27,548	SH		DFND	1	27,548	0	0
I AM CAP ACQUISITION CO	COM	45074Q108	3,145	309,241	SH		DFND	1	309,241	0	0
IAC INTERACTIVECORP	COM	44919P508	1,464	9,600	SH		DFND	3	9,600	0	0
ILG INC	COM	44967H101	27,019	818,013	SH		DFND	5	818,013	0	0
IMMUNOMEDICS INC	COM	452907108	3,551	150,000	SH		DFND	1	150,000	0	0
IMMUNOMEDICS INC	COM	452907108	3,539	149,500	SH	Put	DFND	1	149,500	0	0
INDUSTREA ACQUISITION CORP	*W EXP 08/01/202	45579J112	194	324,095	SH		DFND	1	324,095	0	0
INDUSTREA ACQUISITION CORP	CL A	45579J104	99	10,000	SH		DFND	3	10,000	0	0
INDUSTREA ACQUISITION CORP	CL A	45579J104	1,549	157,050	SH		DFND	1	157,050	0	0
INDUSTREA ACQUISITION CORP	UNIT 07/21/2024	45579J203	522	50,000	SH		DFND	3	50,000	0	0
INTERNATIONAL	COM	459200101	622	4,450	SH		DFND	3	4,450	0	0

BUSINESS MACHS											
INTERNATIONAL BUSINESS MACHS	COM	459200101	26	4,000	SH	Call	DFND	3	4,000	0	0
INTERNATIONAL BUSINESS MACHS	COM	459200101	60	3,600	SH	Put	DFND	3	3,600	0	0
INVESCO QQQ TR	UNIT SER 1	46090E103	169	120,000	SH	Put	DFND	4	120,000	0	0
ISHARES TR	RUSSELL 2000 ETF	464287655	249	375,000	SH	Put	DFND	3	375,000	0	0
JABIL INC	COM	466313103	2	30,000	SH	Call	DFND	4	30,000	0	0
JINKOSOLAR HLDG CO LTD	SPONSORED ADR	47759T100	14	50,000	SH	Call	DFND	2	50,000	0	0
JOHNSON & JOHNSON	COM	478160104	517	4,257	SH		DFND	3	4,257	0	0
JOHNSON & JOHNSON	COM	478160104	26	6,000	SH	Call	DFND	3	6,000	0	0
JOHNSON & JOHNSON	COM	478160104	149	6,900	SH	Put	DFND	3	6,900	0	0
JUNIPER NETWORKS INC	COM	48203R104	595	21,700	SH	Put	DFND	1	21,700	0	0
KAPSTONE PAPER & PACKAGING C	COM	48562P103	1,311	38,014	SH		DFND	5	38,014	0	0
KAYNE ANDERSON ACQUISITION	COM CL A	48661U102	59	6,000	SH		DFND	3	6,000	0	0
KAYNE ANDERSON ACQUISITION	COM CL A	48661U102	183	17,941	SH		DFND	1	17,941	0	0
KAYNE ANDERSON ACQUISITION	UNIT 06/30/2022	48661U201	10,409	1,000,826	SH		DFND	3	1,000,826	0	0
KAYNE ANDERSON ACQUISITION	UNIT 06/30/2022	48661U201	43	4,100	SH		DFND	1	4,100	0	0
KBL MERGER CORP IV	*W EXP 01/15/202	48242A112	35	120,256	SH		DFND	1	120,256	0	0
KEMPHARM INC	COM	488445107	2,381	366,313	SH		DFND	1	366,313	0	0
KEZAR LIFE SCIENCES INC	COM	49372L100	779	45,000	SH		DFND	1	45,000	0	0
KEZAR LIFE SCIENCES INC	COM	49372L100	17,428	1,007,422	SH		DFND	3	1,007,422	0	0
KLA-TENCOR CORP	COM	482480100	803	110,800	SH	Put	DFND	5	110,800	0	0
KLX INC	COM	482539103	691	9,600	SH	Put	DFND	1	9,600	0	0
KLX INC	COM	482539103	3,415	47,500	SH	Call	DFND	1	47,500	0	0
L3 TECHNOLOGIES INC	COM	502413107	385	2,000	SH		DFND	3	2,000	0	0
LANCADIA HLDGS INC	*W EXP 06/01/202	51476W115	21	25,078	SH		DFND	1	25,078	0	0
LAS VEGAS SANDS CORP	COM	517834107	6,262	82,000	SH	Put	DFND	1	82,000	0	0
LASALLE HOTEL PPTYS	COM SH BEN INT	517942108	19,457	568,409	SH		DFND	5	568,409	0	0
LEGACY ACQUISITION CORP	COM CL A	524643103	1,731	179,891	SH		DFND	1	179,891	0	0
LEGACY ACQUISITION CORP	UNIT 05/31/2024	524643202	3,006	300,000	SH		DFND	3	300,000	0	0
LEISURE ACQUISITION CORP	*W EXP 12/05/201	52539T115	18	26,016	SH		DFND	1	26,016	0	0
LEISURE ACQUISITION CORP	COM	52539T107	1,196	123,831	SH		DFND	1	123,831	0	0
LEISURE ACQUISITION CORP	UNIT 99/99/9999	52539T206	1,005	100,000	SH		DFND	3	100,000	0	0
LEISURE ACQUISITION CORP	UNIT 99/99/9999	52539T206	298	29,700	SH		DFND	1	29,700	0	0
LEO HOLDINGS CORP	*W EXP 02/07/202	G5463L113	297	277,596	SH		DFND	1	277,596	0	0
LEO HOLDINGS CORP	COM CL A	G5463L105	532	54,947	SH		DFND	1	54,947	0	0
LF CAP ACQUISITION CORP	UNIT 06/19/2025	50200K207	9,156	905,803	SH		DFND	1	905,803	0	0
LIBERTY BROADBAND CORP	COM SER C	530307305	635	8,383	SH		DFND	3	8,383	0	0
LIBERTY LATIN AMERICA LTD	COM CL C	G9001E128	229	11,800	SH		DFND	3	11,800	0	0
LIBERTY MEDIA CORP DELAWARE	COM A SIRIUSXM	531229409	15,246	338,421	SH		DFND	3	338,421	0	0
LILLY ELI & CO	COM	532457108	49	6,000	SH	Call	DFND	3	6,000	0	0
LILLY ELI & CO	COM	532457108	26	6,700	SH	Put	DFND	3	6,700	0	0
LIONS GATE ENTMNT CORP	CL B NON VTG	535919500	1,023	43,598	SH		DFND	4	43,598	0	0
LIONS GATE ENTMNT	CL B NON VTG	535919500	1,773	75,587	SH		DFND	3	75,587	0	0



CORP											
LOWES COS INC	COM	548661107	287	3,000	SH		DFND	1	3,000	0	0
LOWES COS INC	COM	548661107	143	1,500	SH		DFND	3	1,500	0	0
LOWES COS INC	COM	548661107	25	2,800	SH	Call	DFND	3	2,800	0	0
LOWES COS INC	COM	548661107	1,911	20,000	SH	Put	DFND	1	20,000	0	0
LOWES COS INC	COM	548661107	57	8,200	SH	Put	DFND	3	8,200	0	0
M I ACQUISITIONS INC	COM	55304A104	1,128	107,387	SH		DFND	1	107,387	0	0
MADISON SQUARE GARDEN CO NEW	CL A	55825T103	1,371	4,419	SH		DFND	4	4,419	0	0
MARVELL TECHNOLOGY GROUP LTD	ORD	G5876H105	122	5,669	SH		DFND	5	5,669	0	0
MARVELL TECHNOLOGY GROUP LTD	ORD	G5876H105	858	40,000	SH	Call	DFND	1	40,000	0	0
MASCO CORP	COM	574599106	150	4,000	SH		DFND	1	4,000	0	0
MASCO CORP	COM	574599106	374	10,000	SH	Put	DFND	1	10,000	0	0
MATLIN & PARTNERS ACQUISITIO	CL A	57682V106	65	6,595	SH		DFND	1	6,595	0	0
MATLIN & PARTNERS ACQUISITIO	CL A	57682V106	52	5,250	SH		DFND	3	5,250	0	0
MATLIN & PARTNERS ACQUISITIO	UNIT 05/28/2021A	57682V205	6,300	600,000	SH		DFND	3	600,000	0	0
MATLIN & PARTNERS ACQUISITIO	UNIT 05/28/2021A	57682V205	17	1,600	SH		DFND	1	1,600	0	0
MEDTRONIC PLC	SHS	G5960L103	48	6,400	SH	Call	DFND	3	6,400	0	0
MEDTRONIC PLC	SHS	G5960L103	33	7,100	SH	Put	DFND	3	7,100	0	0
MERCK & CO INC	COM	58933Y105	55	8,600	SH	Call	DFND	3	8,600	0	0
MERCK & CO INC	COM	58933Y105	28	10,200	SH	Put	DFND	3	10,200	0	0
METLIFE INC	COM	59156R108	26	600	SH		DFND	3	600	0	0
METLIFE INC	COM	59156R108	24	13,000	SH	Call	DFND	3	13,000	0	0
METLIFE INC	COM	59156R108	60	9,700	SH	Put	DFND	3	9,700	0	0
MICHAELS COS INC	COM	59408Q106	748	39,000	SH	Put	DFND	1	39,000	0	0
MICHAELS COS INC	COM	59408Q106	383	20,000	SH		DFND	1	20,000	0	0
MICRON TECHNOLOGY INC	COM	595112103	656	12,500	SH		DFND	1	12,500	0	0
MICROSOFT CORP	COM	594918104	6,938	70,359	SH		DFND	4	70,359	0	0
MICROSOFT CORP	COM	594918104	30	3,400	SH	Call	DFND	3	3,400	0	0
MICROSOFT CORP	COM	594918104	39	5,300	SH	Put	DFND	3	5,300	0	0
MICROSOFT CORP	COM	594918104	123	1,251	SH		DFND	3	1,251	0	0
MIDSTATES PETE CO INC	COM PAR	59804T407	272	20,000	SH		DFND	1	20,000	0	0
MITSUBISHI UFJ FINL GROUP IN	SPONSORED ADS	606822104	6,509	1,152,000	SH		DFND	3	1,152,000	0	0
MODERN MEDIA ACQUISITION	UNIT 99/99/9999	60765P202	1,937	178,699	SH		DFND	3	178,699	0	0
MODERN MEDIA ACQUISITION	UNIT 99/99/9999	60765P202	499	46,074	SH		DFND	1	46,074	0	0
MONDELEZ INTL INC	CL A	609207105	38	13,100	SH	Call	DFND	3	13,100	0	0
MONDELEZ INTL INC	CL A	609207105	17	9,800	SH	Put	DFND	3	9,800	0	0
MOSAIC ACQUISITION CORP	*W EXP 10/23/202	G62848125	41	32,950	SH		DFND	1	32,950	0	0
MOSAIC ACQUISITION CORP	SHS CL A	G62848109	1,033	106,713	SH		DFND	1	106,713	0	0
MOSAIC ACQUISITION CORP	UNIT 99/99/9999	G62848117	164	16,400	SH		DFND	1	16,400	0	0
MOSAIC ACQUISITION CORP	UNIT 99/99/9999	G62848117	1,503	150,000	SH		DFND	3	150,000	0	0
MTGE INVT CORP	COM	55378A105	1,224	62,473	SH		DFND	3	62,473	0	0
MUDRICK CAP ACQUISITION CORP	*W EXP 02/12/202	624745113	16	25,039	SH		DFND	1	25,039	0	0
MUDRICK CAP ACQUISITION CORP	*W EXP 02/12/202	624745113	160	250,000	SH		DFND	3	250,000	0	0
MUDRICK CAP ACQUISITION CORP	COM CLASS A	624745105	2,410	250,000	SH		DFND	3	250,000	0	0

MUDRICK CAP ACQUISITION CORP	COM CLASS A	624745105	1,230	127,633	SH		DFND	1	127,633	0	0
MUDRICK CAP ACQUISITION CORP	UNIT 02/01/2023	624745204	1	100	SH		DFND	1	100	0	0
MUDRICK CAP ACQUISITION CORP	UNIT 02/01/2023	624745204	1,022	100,000	SH		DFND	3	100,000	0	0
MYOKARDIA INC	COM	62857M105	993	20,000	SH		DFND	1	20,000	0	0
NANOVIRICIDES INC	COM NEW	630087203	0	373,750	SH		DFND	3	373,750	0	0
NATIONAL ENERGY SERVICES REU	*W EXP 05/05/202	G6375R115	389	324,477	SH		DFND	1	324,477	0	0
NEBULA ACQUISITION CORP	COM CLASS A	629076100	189	19,548	SH		DFND	1	19,548	0	0
NEBULA ACQUISITION CORP	UNIT 03/12/2025	629076209	3,495	347,066	SH		DFND	1	347,066	0	0
NEBULA ACQUISITION CORP	UNIT 03/12/2025	629076209	1,007	100,000	SH		DFND	3	100,000	0	0
NEW FRONTIER CORP	UNIT 99/99/9999	G6485P116	2,474	246,180	SH		DFND	1	246,180	0	0
NEWELL BRANDS INC	COM	651229106	155	6,000	SH		DFND	3	6,000	0	0
NEWELL BRANDS INC	COM	651229106	25	9,600	SH	Call	DFND	3	9,600	0	0
NEWELL BRANDS INC	COM	651229106	82	29,900	SH	Put	DFND	3	29,900	0	0
NEXEO SOLUTIONS INC	*W EXP 06/09/202	65342H110	166	290,017	SH		DFND	1	290,017	0	0
NEXTERA ENERGY INC	COM	65339F101	835	5,000	SH		DFND	3	5,000	0	0
NII HLDGS INC	COM PAR	62913F508	198	50,765	SH		DFND	1	50,765	0	0
NORTHROP GRUMMAN CORP	COM	666807102	615	2,000	SH		DFND	3	2,000	0	0
NVIDIA CORP	COM	67066G104	1,379	5,820	SH		DFND	3	5,820	0	0
NXP SEMICONDUCTORS N V	COM	N6596X109	900	250,000	SH	Put	DFND	5	250,000	0	0
NXP SEMICONDUCTORS N V	COM	N6596X109	15,298	140,000	SH		DFND	1	140,000	0	0
NXP SEMICONDUCTORS N V	COM	N6596X109	2,431	22,245	SH		DFND	3	22,245	0	0
NXP SEMICONDUCTORS N V	COM	N6596X109	21,441	196,221	SH		DFND	5	196,221	0	0
NXP SEMICONDUCTORS N V	COM	N6596X109	58,405	534,500	SH	Call	DFND	1	534,500	0	0
NXP SEMICONDUCTORS N V	COM	N6596X109	77,473	709,000	SH	Put	DFND	1	709,000	0	0
OASIS PETE INC NEW	COM	674215108	649	50,000	SH	Put	DFND	1	50,000	0	0
OCCIDENTAL PETE CORP DEL	COM	674599105	24	9,200	SH	Put	DFND	3	9,200	0	0
OCCIDENTAL PETE CORP DEL	COM	674599105	169	8,600	SH	Call	DFND	3	8,600	0	0
OCI PARTNERS LP	COM UNIT REP LP	67091N108	7,346	638,744	SH		DFND	3	638,744	0	0
OKTA INC	CL A	679295105	3,483	69,139	SH		DFND	4	69,139	0	0
OPES ACQUISITION CORP	*W EXP 03/13/202	68373P118	187	526,376	SH		DFND	1	526,376	0	0
ORACLE CORP	COM	68389X105	68	13,700	SH	Put	DFND	3	13,700	0	0
ORACLE CORP	COM	68389X105	154	3,500	SH		DFND	3	3,500	0	0
ORACLE CORP	COM	68389X105	23	10,900	SH	Call	DFND	3	10,900	0	0
ORBOTECH LTD	ORD	M75253100	25,192	407,642	SH		DFND	5	407,642	0	0
OSPREY ENERGY ACQUISITION CO	*W EXP 07/21/202	688397116	269	168,355	SH		DFND	1	168,355	0	0
OSPREY ENERGY ACQUISITION CO	CL A COM	688397108	5,381	530,132	SH		DFND	1	530,132	0	0
OSPREY ENERGY ACQUISITION CO	UNIT 07/21/2022	688397207	1,099	100,000	SH		DFND	3	100,000	0	0
OVID THERAPEUTICS INC	COM	690469101	5,169	662,643	SH		DFND	1	662,643	0	0
OWENS ILL INC	COM NEW	690768403	1,204	71,600	SH	Call	DFND	1	71,600	0	0
PAGSEGURO DIGITAL LTD	COM CL A	G68707101	1,110	40,000	SH		DFND	1	40,000	0	0
PAGSEGURO DIGITAL LTD	COM CL A	G68707101	1,138	41,000	SH	Call	DFND	1	41,000	0	0
PAYPAL HLDGS INC	COM	70450Y103	2	25	SH		DFND	3	25	0	0
PAYPAL HLDGS INC	COM	70450Y103	17,054	204,800	SH	Call	DFND	1	204,800	0	0

PAYPAL HLDGS INC	COM	70450Y103	6,737	80,900	SH	Put	DFND	1	80,900	0	0
PENN NATL GAMING INC	COM	707569109	3,614	107,588	SH		DFND	5	107,588	0	0
PENSARE ACQUISITION CORP	COM	70957E105	890	90,011	SH		DFND	1	90,011	0	0
PENSARE ACQUISITION CORP	COM	70957E105	50	5,100	SH		DFND	3	5,100	0	0
PENSARE ACQUISITION CORP	RIGHT 99/99/9999	70957E121	24	48,376	SH		DFND	1	48,376	0	0
PEPSICO INC	COM	713448108	18	3,100	SH	Put	DFND	3	3,100	0	0
PEPSICO INC	COM	713448108	2,563	23,544	SH		DFND	4	23,544	0	0
PEPSICO INC	COM	713448108	42	4,500	SH	Call	DFND	3	4,500	0	0
PERSPECTA INC	COM	715347100	231	11,250	SH		DFND	1	11,250	0	0
PETROLEO BRASILEIRO SA PETRO	SPONSORED ADR	71654V408	451	45,000	SH	Call	DFND	1	45,000	0	0
PG&E CORP	COM	69331C108	40	6,800	SH	Call	DFND	3	6,800	0	0
PG&E CORP	COM	69331C108	29	5,300	SH	Put	DFND	3	5,300	0	0
PHH CORP	COM NEW	693320202	815	75,000	SH		DFND	5	75,000	0	0
PHILIP MORRIS INTL INC	COM	718172109	108	7,100	SH	Put	DFND	3	7,100	0	0
PHILIP MORRIS INTL INC	COM	718172109	145	1,800	SH		DFND	3	1,800	0	0
PHILIP MORRIS INTL INC	COM	718172109	36	9,400	SH	Call	DFND	3	9,400	0	0
PHILLIPS 66	COM	718546104	106	5,400	SH	Call	DFND	3	5,400	0	0
PHILLIPS 66	COM	718546104	20	4,700	SH	Put	DFND	3	4,700	0	0
PINNACLE FOODS INC DEL	COM	72348P104	6,604	101,500	SH		DFND	1	101,500	0	0
PINNACLE FOODS INC DEL	COM	72348P104	6,174	94,900	SH		DFND	5	94,900	0	0
PINNACLE FOODS INC DEL	COM	72348P104	4,834	74,300	SH	Call	DFND	1	74,300	0	0
PINNACLE FOODS INC DEL	COM	72348P104	5,855	90,000	SH	Put	DFND	1	90,000	0	0
PLATINUM EAGLE ACQUISITIN CO	*W EXP 01/17/202	G7126L118	445	317,661	SH		DFND	1	317,661	0	0
PLATINUM EAGLE ACQUISITIN CO	UNIT 01/09/2023	G7126L126	3,831	376,361	SH		DFND	1	376,361	0	0
PROCTER AND GAMBLE CO	COM	742718109	73	941	SH		DFND	3	941	0	0
PROCTER AND GAMBLE CO	COM	742718109	107	10,200	SH	Put	DFND	3	10,200	0	0
PROCTER AND GAMBLE CO	COM	742718109	38	11,500	SH	Call	DFND	3	11,500	0	0
PROSHARES TR	ULTRASHRT S&P500	74347B383	1,051	27,488	SH		DFND	3	27,488	0	0
PURE ACQUISITION CORP	*W EXP 04/17/202	74621Q114	18	13,908	SH		DFND	1	13,908	0	0
PURE ACQUISITION CORP	CL A	74621Q106	1,820	188,767	SH		DFND	1	188,767	0	0
PURE ACQUISITION CORP	UNIT 04/13/2023	74621Q205	2,563	250,000	SH		DFND	3	250,000	0	0
QUALCOMM INC	COM	747525103	61	55,000	SH	Put	DFND	5	55,000	0	0
QUALCOMM INC	COM	747525103	1,543	27,500	SH		DFND	1	27,500	0	0
QUALCOMM INC	COM	747525103	4,893	87,200	SH	Put	DFND	1	87,200	0	0
QUALITY CARE PPTYS INC	COM	747545101	779	36,212	SH		DFND	5	36,212	0	0
RAMBUS INC DEL	COM	750917106	9	200,000	SH	Call	DFND	4	200,000	0	0
RAYTHEON CO	COM NEW	755111507	676	3,500	SH		DFND	3	3,500	0	0
RED HAT INC	COM	756577102	6,205	46,180	SH		DFND	4	46,180	0	0
REGALWOOD GLOBAL ENERGY LTD	*W EXP 12/05/202	G74760128	27	21,488	SH		DFND	1	21,488	0	0
RELX NV	SPONSORED ADR	75955B102	4,345	203,708	SH		DFND	3	203,708	0	0
RIMINI STR INC DEL	COM	76674Q107	5,023	766,778	SH		DFND	1	766,778	0	0
RITE AID CORP	COM	767754104	808	466,900	SH		DFND	5	466,900	0	0
RITE AID CORP	COM	767754104	87	50,000	SH		DFND	3	50,000	0	0

RITE AID CORP	COM	767754104	4	2,500	SH		DFND	1	2,500	0	0
RITE AID CORP	COM	767754104	19	10,800	SH	Call	DFND	1	10,800	0	0
ROCKWELL COLLINS INC	COM	774341101	1,077	8,000	SH		DFND	1	8,000	0	0
ROCKWELL COLLINS INC	COM	774341101	48,212	357,974	SH		DFND	5	357,974	0	0
ROCKWELL COLLINS INC	COM	774341101	2,694	20,000	SH	Call	DFND	1	20,000	0	0
ROCKWELL COLLINS INC	COM	774341101	2,694	20,000	SH	Put	DFND	1	20,000	0	0
ROYAL DUTCH SHELL PLC	SPONS ADR A	780259206	8,789	126,952	SH		DFND	3	126,952	0	0
RSP PERMIAN INC	COM	74978Q105	8,965	203,667	SH		DFND	5	203,667	0	0
SALESFORCE COM INC	COM	79466L302	11,664	85,511	SH		DFND	4	85,511	0	0
SALESFORCE COM INC	COM	79466L302	25,548	187,300	SH	Call	DFND	1	187,300	0	0
SANDRIDGE ENERGY INC	COM NEW	80007P869	231	13,040	SH		DFND	3	13,040	0	0
SANOFI	RIGHT 12/31/2020	80105N113	19	37,900	SH		DFND	1	37,900	0	0
SCHLUMBERGER LTD	COM	806857108	34	500	SH		DFND	3	500	0	0
SCHLUMBERGER LTD	COM	806857108	4,726	70,500	SH	Call	DFND	1	70,500	0	0
SCHLUMBERGER LTD	COM	806857108	34	6,000	SH	Call	DFND	3	6,000	0	0
SCHLUMBERGER LTD	COM	806857108	56	8,400	SH	Put	DFND	3	8,400	0	0
SCHWAB CHARLES CORP NEW	COM	808513105	843	16,500	SH		DFND	3	16,500	0	0
SENTINEL ENERGY SVCS INC	UNIT 11/02/2022	G8062D128	0	1	SH		DFND	1	1	0	0
SENTINEL ENERGY SVCS INC	UNIT 11/02/2022	G8062D128	4,036	400,000	SH		DFND	3	400,000	0	0
SERVICENOW INC	COM	81762P102	5,217	30,248	SH		DFND	4	30,248	0	0
SHIRE PLC	SPONSORED ADR	82481R106	381	2,260	SH		DFND	3	2,260	0	0
SHIRE PLC	SPONSORED ADR	82481R106	63,631	376,962	SH		DFND	5	376,962	0	0
SHIRE PLC	SPONSORED ADR	82481R106	338	2,000	SH	Call	DFND	1	2,000	0	0
SHIRE PLC	SPONSORED ADR	82481R106	739	64,500	SH	Call	DFND	5	64,500	0	0
SIMPLY GOOD FOODS CO	*W EXP 07/07/202	82900L110	3,574	861,155	SH		DFND	1	861,155	0	0
SIRIUS XM HLDGS INC	COM	82968B103	1,276	1,000,000	SH	Put	DFND	3	1,000,000	0	0
SIRIUS XM HLDGS INC	COM	82968B103	288	42,517	SH		DFND	3	42,517	0	0
SMUCKER J M CO	COM NEW	832696405	2,311	21,500	SH	Call	DFND	1	21,500	0	0
SNAP INC	CL A	83304A106	286	94,200	SH	Put	DFND	2	94,200	0	0
SNAP INC	CL A	83304A106	1,233	94,200	SH		DFND	2	94,200	0	0
SOCIAL CAP HEDOSOPHIA HLDGS	*W EXP 09/14/202	G8250R129	733	407,176	SH		DFND	1	407,176	0	0
SOCIAL CAP HEDOSOPHIA HLDGS	UNIT 99/99/9999	G8250R111	4,760	446,160	SH		DFND	1	446,160	0	0
SPARK THERAPEUTICS INC	COM	84652J103	497	6,000	SH	Put	DFND	1	6,000	0	0
SPDR S&P 500 ETF TR	TR UNIT	78462F103	11,725	43,222	SH		DFND	4	43,222	0	0
SPDR S&P 500 ETF TR	TR UNIT	78462F103	2	100	SH	Put	DFND	3	100	0	0
SPDR S&P 500 ETF TR	TR UNIT	78462F103	6,104	22,500	SH	Put	DFND	1	22,500	0	0
SPDR S&P 500 ETF TR	TR UNIT	78462F103	78	381,100	SH	Call	DFND	5	381,100	0	0
SPDR S&P 500 ETF TR	TR UNIT	78462F103	1	1,300	SH	Call	DFND	3	1,300	0	0
SPDR S&P 500 ETF TR	TR UNIT	78462F103	543	2,000	SH		DFND	1	2,000	0	0
SPDR S&P 500 ETF TR	TR UNIT	78462F103	7,053	26,000	SH	Call	DFND	1	26,000	0	0
SPDR SERIES TRUST	S&P BIOTECH	78464A870	2,675	28,100	SH	Call	DFND	1	28,100	0	0
SPDR SERIES TRUST	S&P BIOTECH	78464A870	5,531	58,100	SH	Put	DFND	1	58,100	0	0
SPLUNK INC	COM	848637104	4,245	42,834	SH		DFND	4	42,834	0	0
SPOTIFY TECHNOLOGY S A	SHS	L8681T102	6,979	41,482	SH		DFND	4	41,482	0	0
SPRINT CORP	COM SER 1	85207U105	509	93,500	SH		DFND	1	93,500	0	0
SPRINT CORP	COM SER 1	85207U105	3,936	723,528	SH		DFND	5	723,528	0	0
SPRINT CORP	COM SER 1	85207U105	503	92,500	SH	Put	DFND	1	92,500	0	0
SPROTT PHYSICAL GOLD & SILVE	TR UNIT	85208R101	10,054	802,390	SH		DFND	3	802,390	0	0

STARBUCKS CORP	COM	855244109	83	1,700	SH		DFND	3	1,700	0	0
STARBUCKS CORP	COM	855244109	13	11,000	SH	Call	DFND	3	11,000	0	0
STARBUCKS CORP	COM	855244109	52	7,400	SH	Put	DFND	3	7,400	0	0
STEMLINE THERAPEUTICS INC	COM	85858C107	364	22,700	SH		DFND	3	22,700	0	0
STERLING BANCORP DEL	COM	85917A100	1,221	51,960	SH		DFND	3	51,960	0	0
STEWART INFORMATION SVCS COR	COM	860372101	13,142	305,126	SH		DFND	5	305,126	0	0
STMICROELECTRONICS N V	NY REGISTRY	861012102	553	25,000	SH		DFND	1	25,000	0	0
SUNPOWER CORP	COM	867652406	2	19,100	SH	Call	DFND	2	19,100	0	0
SYNAPTICS INC	COM	87157D109	7,674	152,344	SH		DFND	5	152,344	0	0
SYNAPTICS INC	COM	87157D109	225	100,000	SH	Put	DFND	5	100,000	0	0
TAKE-TWO INTERACTIVE SOFTWARE	COM	874054109	12,794	108,093	SH		DFND	4	108,093	0	0
TAL ED GROUP	SPONSORED ADS	874080104	2,208	60,000	SH	Call	DFND	1	60,000	0	0
TARGET CORP	COM	87612E106	46	6,000	SH	Call	DFND	3	6,000	0	0
TARGET CORP	COM	87612E106	22	5,200	SH	Put	DFND	3	5,200	0	0
TENNECO INC	COM	880349105	1,152	26,200	SH	Put	DFND	1	26,200	0	0
TENNECO INC	COM	880349105	286	6,500	SH		DFND	1	6,500	0	0
TIBERIUS ACQUISITION CORP	UNIT 02/28/2021	88633A206	445	44,200	SH		DFND	1	44,200	0	0
TOTAL S A	SPONSORED ADR	89151E109	3,028	50,000	SH	Call	DFND	1	50,000	0	0
TPG PACE ENERGY HLDGS CORP	CL A	872656103	435	36,930	SH		DFND	1	36,930	0	0
TPG PACE HLDGS CORP	CL A	G89827128	130	13,051	SH		DFND	1	13,051	0	0
TRIDENT ACQUISITIONS CORP	*W EXP 05/09/202	89615T114	261	814,466	SH		DFND	1	814,466	0	0
TRIDENT ACQUISITIONS CORP	COM	89615T106	4,873	499,769	SH		DFND	1	499,769	0	0
TRIDENT ACQUISITIONS CORP	UNIT 05/09/2023	89615T205	1,008	100,000	SH		DFND	3	100,000	0	0
TRIDENT ACQUISITIONS CORP	UNIT 05/09/2023	89615T205	1,073	106,469	SH		DFND	1	106,469	0	0
TRINITY MERGER CORP	*W EXP 05/11/202	89653L114	163	407,239	SH		DFND	1	407,239	0	0
TRINITY MERGER CORP	UNIT 05/11/2025	89653L205	6,338	626,475	SH		DFND	1	626,475	0	0
TRINITY MERGER CORP	UNIT 05/11/2025	89653L205	1,006	100,000	SH		DFND	3	100,000	0	0
TWENTY FIRST CENTY FOX INC	CL A	90130A101	11,381	231,000	SH	Call	DFND	1	231,000	0	0
TWENTY FIRST CENTY FOX INC	CL A	90130A101	928	460,200	SH	Call	DFND	5	460,200	0	0
TWENTY FIRST CENTY FOX INC	CL A	90130A101	3,252	65,441	SH		DFND	4	65,441	0	0
TWENTY FIRST CENTY FOX INC	CL A	90130A101	1,093	22,000	SH		DFND	1	22,000	0	0
TWENTY FIRST CENTY FOX INC	CL A	90130A101	8,918	181,000	SH	Put	DFND	1	181,000	0	0
TWENTY FIRST CENTY FOX INC	CL B	90130A200	1,232	25,000	SH	Call	DFND	1	25,000	0	0
TWENTY FIRST CENTY FOX INC	CL B	90130A200	3,203	65,000	SH	Put	DFND	1	65,000	0	0
U S G CORP	COM NEW	903293405	431	10,000	SH	Call	DFND	1	10,000	0	0
U S G CORP	COM NEW	903293405	5	104,100	SH	Put	DFND	5	104,100	0	0
ULTRAGENYX PHARMACEUTICAL IN	COM	90400D108	1,537	20,000	SH		DFND	1	20,000	0	0
UNION ACQUISITION CORP	*W EXP 07/01/202	G9366W127	12	34,619	SH		DFND	1	34,619	0	0
UNION ACQUISITION CORP	RIGHT 12/02/2019	G9366W135	18	57,474	SH		DFND	1	57,474	0	0
UNION ACQUISITION CORP	SHS	G9366W101	238	24,522	SH		DFND	1	24,522	0	0
UNION PAC CORP	COM	907818108	15	2,100	SH	Call	DFND	3	2,100	0	0
UNION PAC CORP	COM	907818108	42	3,100	SH	Put	DFND	3	3,100	0	0
UNION PAC CORP	COM	907818108	156	1,100	SH		DFND	3	1,100	0	0

UNISYS CORP	COM NEW	909214306	194	15,000	SH		DFND	1	15,000	0	0
UNISYS CORP	COM NEW	909214306	97	7,500	SH	Put	DFND	1	7,500	0	0
UNITED PARCEL SERVICE INC	CL B	911312106	16	1,100	SH	Put	DFND	3	1,100	0	0
UNITED PARCEL SERVICE INC	CL B	911312106	595	5,600	SH		DFND	1	5,600	0	0
UNITED PARCEL SERVICE INC	CL B	911312106	10	1,100	SH	Call	DFND	3	1,100	0	0
UNITED TECHNOLOGIES CORP	COM	913017109	295	257,100	SH	Put	DFND	5	257,100	0	0
UNITED TECHNOLOGIES CORP	COM	913017109	26	3,400	SH	Put	DFND	3	3,400	0	0
UNITED TECHNOLOGIES CORP	COM	913017109	33	3,500	SH	Call	DFND	3	3,500	0	0
UNITED TECHNOLOGIES CORP	COM	913017109	25	200	SH		DFND	3	200	0	0
UNITED TECHNOLOGIES CORP	COM	913017109	3,128	25,015	SH		DFND	4	25,015	0	0
UNUM THERAPEUTICS INC	COM	903214104	8,341	581,255	SH		DFND	1	581,255	0	0
US BANCORP DEL	COM NEW	902973304	28	13,800	SH	Call	DFND	3	13,800	0	0
US BANCORP DEL	COM NEW	902973304	13	3,000	SH	Put	DFND	3	3,000	0	0
VALERO ENERGY CORP NEW	COM	91913Y100	3,325	30,000	SH	Call	DFND	1	30,000	0	0
VALIDUS HOLDINGS LTD	COM SHS	G9319H102	487	7,207	SH		DFND	5	7,207	0	0
VANECK VECTORS ETF TR	SEMICONDUCTOR ET	92189F676	3,717	36,200	SH		DFND	1	36,200	0	0
VANECK VECTORS ETF TR	SEMICONDUCTOR ET	92189F676	10,267	100,000	SH	Put	DFND	1	100,000	0	0
VANECK VECTORS ETF TR	SEMICONDUCTOR ET	92189F676	352	250,000	SH	Put	DFND	5	250,000	0	0
VANTAGE ENERGY ACQUISITION	*W EXP 04/12/202	92211L113	27	20,813	SH		DFND	1	20,813	0	0
VANTAGE ENERGY ACQUISITION	COM CL A	92211L105	638	64,626	SH		DFND	1	64,626	0	0
VANTAGE ENERGY ACQUISITION	COM CL A	92211L105	71	7,250	SH		DFND	3	7,250	0	0
VANTAGE ENERGY ACQUISITION	UNIT 04/12/2024	92211L204	118	11,308	SH		DFND	1	11,308	0	0
VANTAGE ENERGY ACQUISITION	UNIT 04/12/2024	92211L204	10,400	1,000,000	SH		DFND	3	1,000,000	0	0
VECTOIQ ACQUISITION CORP	COM	92243N103	5,275	1,711,575	SH		DFND	1	1,711,575	0	0
VECTOIQ ACQUISITION CORP	UNIT 05/11/2023	92243N202	4,045	401,767	SH		DFND	1	401,767	0	0
VERIZON COMMUNICATIONS INC	COM	92343V104	2,113	42,000	SH		DFND	1	42,000	0	0
VERIZON COMMUNICATIONS INC	COM	92343V104	4,986	99,100	SH	Call	DFND	1	99,100	0	0
VERIZON COMMUNICATIONS INC	COM	92343V104	58	12,700	SH	Call	DFND	3	12,700	0	0
VERIZON COMMUNICATIONS INC	COM	92343V104	23	9,200	SH	Put	DFND	3	9,200	0	0
VISTRA ENERGY CORP	*W EXP 02/02/202	92840M128	29	24,120	SH		DFND	1	24,120	0	0
VMWARE INC	CL A COM	928563402	6,540	44,500	SH		DFND	1	44,500	0	0
VMWARE INC	CL A COM	928563402	9,701	66,000	SH	Put	DFND	1	66,000	0	0
VMWARE INC	CL A COM	928563402	12,566	85,500	SH	Call	DFND	1	85,500	0	0
VONAGE HLDGS CORP	COM	92886T201	1,317	102,150	SH		DFND	3	102,150	0	0
WALMART INC	COM	931142103	9	100	SH		DFND	3	100	0	0
WALMART INC	COM	931142103	48	9,800	SH	Call	DFND	3	9,800	0	0
WALMART INC	COM	931142103	95	7,000	SH	Put	DFND	3	7,000	0	0
WILLSCOT CORP	*W EXP 09/10/202	971375118	1,295	573,156	SH		DFND	1	573,156	0	0
WPX ENERGY INC	COM	98212B103	180	10,000	SH	Call	DFND	1	10,000	0	0
WPX ENERGY INC	COM	98212B103	25	1,400	SH		DFND	1	1,400	0	0
XEROX CORP	COM NEW	984121608	2,167	90,273	SH		DFND	5	90,273	0	0
XEROX CORP	COM NEW	984121608	231	200,000	SH	Call	DFND	5	200,000	0	0

XILINX INC	COM	983919101	1,747	26,773	SH		DFND	4	26,773	0	0
XL GROUP LTD	COM	G98294104	100,969	1,804,621	SH		DFND	5	1,804,621	0	0
XL GROUP LTD	COM	G98294104	241	813,500	SH	Put	DFND	5	813,500	0	0
YANDEX N V	SHS CLASS A	N97284108	700	19,500	SH		DFND	1	19,500	0	0
YANDEX N V	SHS CLASS A	N97284108	725	20,200	SH	Call	DFND	1	20,200	0	0
YANDEX N V	SHS CLASS A	N97284108	725	20,200	SH	Put	DFND	1	20,200	0	0
YOGAWORKS INC	COM	986005106	1,683	935,000	SH		DFND	1	935,000	0	0