

The Securities and Exchange Commission has not necessarily reviewed the information in this filing and has not determined if it is accurate and complete.

The reader should not assume that the information is accurate and complete.

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549  
FORM 13F

FORM 13F COVER PAGE

OMB APPROVAL

OMB Number: 3235-0006  
Expires: Oct 31, 2018  
Estimated average burden hours per response: 23.8

Report for the Calendar Year or Quarter Ended: 06-30-2016

Check here if Amendment Amendment Number:

This Amendment (Check only one.): is a restatement.  
adds new holdings entries.

Institutional Investment Manager Filing this Report:

Name: COWEN GROUP, INC.  
Address: 599 LEXINGTON AVENUE, 20TH FLOOR  
NEW YORK, NY 10022

Form 13F File Number: 028-14571

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, lists, and tables, are considered integral parts of this form.

Person Signing this Report on Behalf of Reporting Manager:

Name: Owen Littman  
Title: General Counsel  
Phone: 212-201-4841

Signature, Place, and Date of Signing:

/s/ Owen Littman New York, NY 08-05-2016  
[Signature] [City, State] [Date]

Report Type (Check only one.):

13F HOLDINGS REPORT. (Check here if all holdings of this reporting manager are reported in this report.)

13F NOTICE. (Check here if no holdings reported are in this report, and all holdings are reported by other reporting manager(s).)

13F COMBINATION REPORT. (Check here if a portion of the holdings for this reporting manager are reported in this report and a portion are reported by other reporting manager(s).)

Form 13F Summary Page

Report Summary:

Number of Other Included Managers: 3  
Form 13F Information Table Entry Total: 217  
Form 13F Information Table Value Total: 1,478,670  
(thousands)

List of Other Included Managers:

Provide a numbered list of the name(s) and Form 13F file number(s) of all institutional investment managers with respect to which this report is filed, other than the manager filing this report.

[If there are no entries in this list, state "NONE" and omit the column headings and list entries.]

No.	Form 13F File Number	Name
1	028-10674	RAMIUS ADVISORS LLC
2	028-14361	Ramius Alternative Solutions LLC
3	028-04125	COWEN & COMPANY, LLC

The Securities and Exchange Commission has not necessarily reviewed the information in this filing and has not determined if it is accurate and complete.

The reader should not assume that the information is accurate and complete.

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549  
FORM 13F

FORM 13F INFORMATION TABLE

OMB APPROVAL	
OMB Number:	3235-0006
Expires:	Oct 31, 2018
Estimated average burden hours per response:	23.8

COLUMN 1 NAME OF ISSUER	COLUMN 2 TITLE OF CLASS	COLUMN 3 CUSIP	COLUMN 4 VALUE (x\$1000)	COLUMN 5 SHRS OR PRN AMT	COLUMN 6		COLUMN 7 OTHER MANAGER	COLUMN 8			
					SH/	PUT/		INVESTMENT DISCRETION	SOLE	SHARED	NONE
22ND CENTY GROUP INC	COM	90137F103	73	90,000	SH		DFND	1	90,000	0	0
AARONS INC	COM PAR \$0.50	002535300	1,141	52,126	SH		DFND	1	52,126	0	0
ABBOTT LABS	COM	002824100	12,194	310,200	SH	Call	DFND	1	310,200	0	0
ACADIA PHARMACEUTICALS INC	COM	004225108	568	17,500	SH		DFND	3	17,500	0	0
ACTIVISION BLIZZARD INC	COM	00507V109	1,497	37,782	SH		DFND	1	37,782	0	0
AETNA INC NEW	COM	00817Y108	12,213	100,000	SH	Put	DFND	1	100,000	0	0
AGCO CORP	COM	001084102	2,894	61,396	SH		DFND	2	61,396	0	0
ALERE INC	COM	01449J105	1,038	24,900	SH		DFND	1	24,900	0	0
ALERE INC	COM	01449J105	104	2,500	SH		DFND	3	2,500	0	0
ALERE INC	COM	01449J105	1,988	47,700	SH	Put	DFND	1	47,700	0	0
ALEXION PHARMACEUTICALS INC	COM	015351109	525	4,500	SH		DFND	1	4,500	0	0
ALLERGAN PLC	SHS	G0177J108	3,102	13,423	SH		DFND	2	13,423	0	0
ALLERGAN PLC	SHS	G0177J108	3,977	17,208	SH		DFND	1	17,208	0	0
ALLIANCE DATA SYSTEMS CORP	COM	018581108	490	2,500	SH		DFND	1	2,500	0	0
ALPHABET INC	CAP STK CL A	02079K305	1,238	1,760	SH		DFND	1	1,760	0	0
ALPHABET INC	CAP STK CL C	02079K107	2,556	3,693	SH		DFND	1	3,693	0	0
AMAZON COM INC	COM	023135106	8,007	11,189	SH		DFND	1	11,189	0	0
AMERICAN CAP LTD	COM	02503Y103	45,781	2,892,014	SH		DFND	1	2,892,014	0	0
AMERICAN CAP LTD	COM	02503Y103	1,029	65,000	SH	Put	DFND	1	65,000	0	0
AMERICAN INTL GROUP INC	COM NEW	026874784	618	11,693	SH		DFND	1	11,693	0	0
AMGEN INC	COM	031162100	780	5,125	SH		DFND	1	5,125	0	0
APOLLO ED GROUP INC	CL A	037604105	6,999	767,400	SH	Put	DFND	1	767,400	0	0
APPLE INC	COM	037833100	961	10,050	SH		DFND	1	10,050	0	0
APPLE INC	COM	037833100	1,052	11,000	SH	Call	DFND	1	11,000	0	0
APRICUS BIOSCIENCES INC	COM	03832V109	0	175,000	SH		DFND	1	175,000	0	0
ASTORIA FINL CORP	COM	046265104	7,599	495,700	SH		DFND	1	495,700	0	0
AUTODESK INC	COM	052769106	3,038	56,108	SH		DFND	2	56,108	0	0
AXIALL CORP	COM	05463D100	5,508	168,900	SH	Put	DFND	1	168,900	0	0
AXIALL CORP	COM	05463D100	14,184	434,969	SH		DFND	1	434,969	0	0
BAKER HUGHES INC	COM	057224107	3,128	69,320	SH		DFND	2	69,320	0	0

BALL CORP	COM	058498106	34,649	479,300	SH	Call	DFND	1	479,300	0	0
BANK AMER CORP	*W EXP 10/28/201	060505153	4	49,417	SH		DFND	3	49,417	0	0
BANK NEW YORK MELLON CORP	COM	064058100	3,081	79,314	SH		DFND	2	79,314	0	0
BARCLAYS BK PLC	IPATH S&P500 VIX	06742E711	1,533	111,000	SH	Call	DFND	1	111,000	0	0
BCE INC	COM NEW	05534B760	1,640	34,650	SH		DFND	1	34,650	0	0
BELMOND LTD	CL A	G1154H107	1,717	173,422	SH		DFND	1	173,422	0	0
BLACKROCK CORPOR HI YLD FD I	COM	09255P107	1,891	181,125	SH		DFND	1	181,125	0	0
BOARDWALK PIPELINE PARTNERS	UT LTD PARTNER	096627104	410	23,520	SH		DFND	1	23,520	0	0
BRISTOL MYERS SQUIBB CO	COM	110122108	588	8,000	SH		DFND	1	8,000	0	0
BROADSOFT INC	COM	11133B409	923	22,500	SH		DFND	1	22,500	0	0
BUCKEYE PARTNERS L P	UNIT LTD PARTN	118230101	1,363	19,375	SH		DFND	1	19,375	0	0
BUILDERS FIRSTSOURCE INC	COM	12008R107	322	28,652	SH		DFND	1	28,652	0	0
BWX TECHNOLOGIES INC	COM	05605H100	3,243	90,670	SH		DFND	2	90,670	0	0
CALADRIUS BIOSCIENCES INC	COM	128058104	0	22,500	SH		DFND	1	22,500	0	0
CAPITAL ONE FINL CORP	COM	14040H105	318	5,000	SH		DFND	1	5,000	0	0
CARMAX INC	COM	143130102	1,748	35,651	SH		DFND	1	35,651	0	0
CASCADIAN THERAPEUTICS INC	COM	14740B101	108	114,200	SH		DFND	1	114,200	0	0
CAVIUM INC	COM	14964U108	3,860	100,000	SH	Call	DFND	1	100,000	0	0
CBRE GROUP INC	CL A	12504L109	2,975	112,354	SH		DFND	2	112,354	0	0
CDK GLOBAL INC	COM	12508E101	3,180	57,309	SH		DFND	2	57,309	0	0
CEL SCI CORP	COM PAR \$0.01	150837508	0	48,975	SH		DFND	1	48,975	0	0
CELATOR PHARMACEUTICALS INC	COM	15089R102	9,054	300,000	SH	Call	DFND	1	300,000	0	0
CELATOR PHARMACEUTICALS INC	COM	15089R102	6,392	211,800	SH	Put	DFND	1	211,800	0	0
CENVEO INC	COM	15670S105	68	83,505	SH		DFND	3	83,505	0	0
CHARTER COMMUNICATIONS INC N	CL A	16119P108	3,285	14,369	SH		DFND	2	14,369	0	0
CHARTER COMMUNICATIONS INC N	CL A	16119P108	872	3,814	SH		DFND	1	3,814	0	0
CITRIX SYS INC	COM	177376100	561	7,000	SH		DFND	1	7,000	0	0
CLEVELAND BIOLABS INC	COM NEW	185860202	0	109,375	SH		DFND	1	109,375	0	0
CONAGRA FOODS INC	COM	205887102	1,435	30,009	SH		DFND	1	30,009	0	0
CONFORMIS INC	COM	20717E101	445	63,350	SH		DFND	1	63,350	0	0
COVENANT TRANSN GROUP INC	CL A	22284P105	271	15,000	SH		DFND	1	15,000	0	0
CVENT INC	COM	23247G109	5,358	150,000	SH	Put	DFND	1	150,000	0	0
CYTRX CORP	COM PAR \$.001	232828509	0	321,429	SH		DFND	1	321,429	0	0
DEMANDWARE INC	COM	24802Y105	18,725	250,000	SH	Call	DFND	1	250,000	0	0
DOLLAR GEN CORP NEW	COM	256677105	235	2,500	SH		DFND	1	2,500	0	0
DOLLAR TREE INC	COM	256746108	236	2,500	SH		DFND	1	2,500	0	0
DOMINION	COM	257287102	596	67,379	SH		DFND	1	67,379	0	0

DIAMOND CORP												
DREAMWORKS ANIMATION SKG INC	CL A	26153C103	2,203	53,900	SH	Call	DFND	1	53,900	0	0	
DU PONT E I DE NEMOURS & CO	COM	263534109	1,296	20,000	SH	Put	DFND	1	20,000	0	0	
DU PONT E I DE NEMOURS & CO	COM	263534109	3,274	50,518	SH		DFND	2	50,518	0	0	
E M C CORP MASS	COM	268648102	197,480	7,268,303	SH		DFND	1	7,268,303	0	0	
EASTERLY ACQUISITION CORP	*W EXP 07/29/202	27616L110	29	44,254	SH		DFND	3	44,254	0	0	
EASTERLY ACQUISITION CORP	COM	27616L102	480	49,000	SH		DFND	3	49,000	0	0	
ELECTRONIC ARTS INC	COM	285512109	2,420	31,944	SH		DFND	1	31,944	0	0	
ELECTRONIC ARTS INC	COM	285512109	0	5	SH		DFND	3	5	0	0	
ENERGY TRANSFER PRTNRS L P	UNIT LTD PARTN	29273R109	1,326	34,823	SH		DFND	1	34,823	0	0	
ENTERPRISE PRODS PARTNERS L	COM	293792107	1,442	49,280	SH		DFND	1	49,280	0	0	
EXXON MOBIL CORP	COM	30231G102	213	2,271	SH		DFND	1	2,271	0	0	
FACEBOOK INC	CL A	30303M102	3,111	27,225	SH		DFND	1	27,225	0	0	
FIDELITY NATIONAL FINANCIAL	FNF GROUP COM	31620R303	3,542	94,448	SH		DFND	2	94,448	0	0	
GAMING & LEISURE PPTYS INC	COM	36467J108	4,284	124,247	SH		DFND	1	124,247	0	0	
GENERAL DYNAMICS CORP	COM	369550108	348	2,500	SH		DFND	1	2,500	0	0	
GENERAL ELECTRIC CO	COM	369604103	3,327	105,693	SH		DFND	2	105,693	0	0	
GENTHERM INC	COM	37253A103	444	12,951	SH		DFND	1	12,951	0	0	
GILEAD SCIENCES INC	COM	375558103	834	10,000	SH		DFND	1	10,000	0	0	
GILEAD SCIENCES INC	COM	375558103	2,085	25,000	SH	Call	DFND	1	25,000	0	0	
HAIN CELESTIAL GROUP INC	COM	405217100	373	7,500	SH		DFND	1	7,500	0	0	
HENNESSY CAP ACQUISITION COR	UNIT 99/99/9999	42588J209	388	37,700	SH		DFND	3	37,700	0	0	
HOLLYFRONTIER CORP	COM	436106108	1,010	42,500	SH		DFND	3	42,500	0	0	
HOUSTON AMERN ENERGY CORP	COM	44183U100	8	38,000	SH		DFND	1	38,000	0	0	
HUNTINGTON BANCSHARES INC	COM	446150104	4,470	500,000	SH	Put	DFND	1	500,000	0	0	
IAC INTERACTIVECORP	COM	44919P508	2,269	40,300	SH		DFND	1	40,300	0	0	
IMMUNOCELLULAR THERAPEUTICS	COM	452536105	0	225,909	SH		DFND	1	225,909	0	0	
INGRAM MICRO INC	CL A	457153104	51,169	1,471,207	SH		DFND	1	1,471,207	0	0	
INTERNATIONAL BUSINESS MACHS	COM	459200101	683	4,500	SH		DFND	1	4,500	0	0	
INTERVAL LEISURE GROUP INC	COM	46113M108	660	41,500	SH		DFND	1	41,500	0	0	
INVESTORS BANCORP INC NEW	COM	46146L101	2,963	267,414	SH		DFND	2	267,414	0	0	
ISHARES	MSCI JAPAN ETF	464286848	12,847	1,117,100	SH	Call	DFND	1	1,117,100	0	0	
ISHARES	MSCI MEX CAP ETF	464286822	2,236	44,400	SH	Call	DFND	1	44,400	0	0	
ISHARES	MSCI MEX CAP ETF	464286822	1,511	30,000	SH	Put	DFND	1	30,000	0	0	

ISHARES	MSCI TURKEY ETF	464286715	2,490	62,800	SH	Put	DFND	1	62,800	0	0
ISHARES TR	CHINA LG-CAP ETF	464287184	1,892	55,300	SH	Call	DFND	1	55,300	0	0
ISHARES TR	CHINA LG-CAP ETF	464287184	4,178	122,100	SH	Put	DFND	1	122,100	0	0
ISHARES TR	RUSSELL 2000 ETF	464287655	15,936	138,600	SH	Call	DFND	1	138,600	0	0
ISHARES TR	RUSSELL 2000 ETF	464287655	124,581	1,083,500	SH	Put	DFND	1	1,083,500	0	0
ITC HLDGS CORP	COM	465685105	5,992	127,986	SH		DFND	1	127,986	0	0
KATE SPADE & CO	COM	485865109	1,677	81,353	SH		DFND	1	81,353	0	0
KINDER MORGAN INC DEL	*W EXP 05/25/201	49456B119	2	148,212	SH		DFND	3	148,212	0	0
KLR ENERGY ACQUISITION CORP	*W EXP 09/16/202	49877M116	3	20,300	SH		DFND	3	20,300	0	0
KLR ENERGY ACQUISITION CORP	UNIT 12/31/2020	49877M207	275	27,100	SH		DFND	3	27,100	0	0
LAM RESEARCH CORP	COM	512807108	4,203	50,000	SH	Put	DFND	1	50,000	0	0
LEXMARK INTL INC	CL A	529771107	14,913	395,034	SH		DFND	1	395,034	0	0
LIBERTY INTERACTIVE CORP	QVC GP COM SER A	53071M104	393	15,500	SH		DFND	1	15,500	0	0
LIBERTY MEDIA CORP DELAWARE	COM A MEDIA GRP	531229870	683	35,667	SH		DFND	1	35,667	0	0
LIBERTY MEDIA CORP DELAWARE	COM A SIRIUSXM	531229409	2,909	92,774	SH		DFND	1	92,774	0	0
LIMELIGHT NETWORKS INC	COM	53261M104	153	102,500	SH		DFND	1	102,500	0	0
LINKEDIN CORP	COM CL A	53578A108	52,214	275,898	SH		DFND	1	275,898	0	0
LIONS GATE ENTMNT CORP	COM NEW	535919203	324	16,000	SH		DFND	1	16,000	0	0
LIVE NATION ENTERTAINMENT IN	COM	538034109	331	14,100	SH		DFND	1	14,100	0	0
LOCKHEED MARTIN CORP	COM	539830109	620	2,500	SH		DFND	1	2,500	0	0
MADISON SQUARE GARDEN CO NEW	CL A	55825T103	577	3,345	SH		DFND	1	3,345	0	0
MAGELLAN MIDSTREAM PRTRNS LP	COM UNIT RP LP	559080106	830	10,924	SH		DFND	1	10,924	0	0
MATCH GROUP INC	COM	57665R106	679	45,000	SH	Put	DFND	1	45,000	0	0
MEDIA GEN INC NEW	COM	58441K100	19,994	1,163,110	SH		DFND	1	1,163,110	0	0
MEDIVATION INC	COM	58501N101	6,030	100,000	SH	Put	DFND	1	100,000	0	0
MGM RESORTS INTERNATIONAL	COM	552953101	2,192	96,881	SH		DFND	1	96,881	0	0
MICROSOFT CORP	COM	594918104	3,099	60,572	SH		DFND	2	60,572	0	0
MOLSON COORS BREWING CO	CL B	60871R209	6,133	60,649	SH		DFND	1	60,649	0	0
MOLSON COORS BREWING CO	CL B	60871R209	99,037	979,300	SH	Put	DFND	1	979,300	0	0
MONDELEZ INTL INC	CL A	609207105	3,277	72,015	SH		DFND	2	72,015	0	0
MONSANTO CO NEW	COM	61166W101	9,630	93,127	SH		DFND	1	93,127	0	0
MSG NETWORK INC	CL A	553573106	546	35,607	SH		DFND	1	35,607	0	0
MYLAN N V	SHS EURO	N59465109	13,076	302,400	SH	Call	DFND	1	302,400	0	0
NANOVIRICIDES INC	COM NEW	630087203	1	373,750	SH		DFND	1	373,750	0	0
NEXVET BIOPHARMA PUB LTD CO	ORD SHS	G6503X109	128	27,485	SH		DFND	1	27,485	0	0

NORWEGIAN CRUISE LINE HLDGS	SHS	G66721104	2,938	73,748	SH		DFND	1	73,748	0	0
NVIDIA CORP	COM	67066G104	1,026	21,818	SH		DFND	1	21,818	0	0
OCULAR THERAPEUTIX INC	COM	67576A100	628	126,904	SH		DFND	1	126,904	0	0
ONEOK PARTNERS LP	UNIT LTD PARTN	68268N103	743	18,553	SH		DFND	1	18,553	0	0
ORGANOVO HLDGS INC	COM	68620A104	234	63,000	SH		DFND	1	63,000	0	0
PAYPAL HLDGS INC	COM	70450Y103	628	17,200	SH		DFND	1	17,200	0	0
PENN NATL GAMING INC	COM	707569109	1,567	112,313	SH		DFND	1	112,313	0	0
PFIZER INC	COM	717081103	3,227	91,661	SH		DFND	2	91,661	0	0
PFIZER INC	COM	717081103	690	19,600	SH		DFND	3	19,600	0	0
PINNACLE ENTMT INC NEW	COM	72348Y105	650	58,700	SH		DFND	1	58,700	0	0
PLAINS GP HLDGS LP	SHS A REP LTPN	72651A108	177	16,950	SH		DFND	1	16,950	0	0
PLURISTEM THERAPEUTICS INC	COM	72940R102	0	180,000	SH		DFND	1	180,000	0	0
POLYCOM INC	COM	73172K104	16,578	1,473,563	SH		DFND	1	1,473,563	0	0
POWERSHARES ETF TRUST II	SENIOR LN PORT	73936Q769	14,326	623,700	SH	Put	DFND	1	623,700	0	0
PROSPECT CAPITAL CORPORATION	COM	74348T102	1,861	238,000	SH	Put	DFND	1	238,000	0	0
PRUDENTIAL SHT DURATION HG Y	COM	74442F107	1,437	91,000	SH		DFND	1	91,000	0	0
PVH CORP	COM	693656100	1,501	15,927	SH		DFND	1	15,927	0	0
QLIK TECHNOLOGIES INC	COM	74733T105	97,291	3,289,089	SH		DFND	1	3,289,089	0	0
RACKSPACE HOSTING INC	COM	750086100	3,022	144,866	SH		DFND	2	144,866	0	0
RADIUS HEALTH INC	COM NEW	750469207	239	6,500	SH		DFND	1	6,500	0	0
RAYTHEON CO	COM NEW	755111507	340	2,500	SH		DFND	1	2,500	0	0
REMARK MEDIA INC	COM	75954W107	0	22,222	SH		DFND	1	22,222	0	0
RITE AID CORP	COM	767754104	34,840	4,651,539	SH		DFND	1	4,651,539	0	0
RITE AID CORP	COM	767754104	4,120	550,000	SH	Call	DFND	1	550,000	0	0
ROFIN SINAR TECHNOLOGIES INC	COM	775043102	374	11,697	SH		DFND	1	11,697	0	0
ROYAL CARIBBEAN CRUISES LTD	COM	V7780T103	1,802	26,841	SH		DFND	1	26,841	0	0
SAGE THERAPEUTICS INC	COM	78667J108	211	7,000	SH		DFND	3	7,000	0	0
SALLY BEAUTY HLDGS INC	COM	79546E104	294	10,000	SH		DFND	1	10,000	0	0
SANOFI	RIGHT 12/31/2020	80105N113	8	33,334	SH		DFND	3	33,334	0	0
SBA COMMUNICATIONS CORP	COM	78388J106	3,422	31,707	SH		DFND	2	31,707	0	0
SCHWAB CHARLES CORP NEW	COM	808513105	430	17,000	SH		DFND	1	17,000	0	0
SCIENTIFIC GAMES CORP	CL A	80874P109	2,449	266,434	SH		DFND	1	266,434	0	0
SCOTTS MIRACLE GRO CO	CL A	810186106	286	4,095	SH		DFND	1	4,095	0	0
SELECT SECTOR SPDR TR	SBI CONS STPLS	81369Y308	8,548	155,000	SH	Call	DFND	1	155,000	0	0
SELECT SECTOR SPDR TR	SBI CONS STPLS	81369Y308	11,394	206,600	SH	Put	DFND	1	206,600	0	0
SELECT SECTOR SPDR TR	SBI INT-INDS	81369Y704	6,525	116,500	SH	Call	DFND	1	116,500	0	0

SIGNET JEWELERS LIMITED	SHS	G81276100	3,200	38,835	SH		DFND	2	38,835	0	0
SILVER RUN ACQUISITION CORP	UNIT 99/99/9999T	82811P200	294	29,425	SH		DFND	3	29,425	0	0
SPDR GOLD TRUST	GOLD SHS	78463V107	3,057	24,175	SH		DFND	1	24,175	0	0
SPDR S&P 500 ETF TR	TR UNIT	78462F103	2,618	12,500	SH	Put	DFND	1	12,500	0	0
SPDR SERIES TRUST	S&P BIOTECH	78464A870	1,357	25,100	SH	Call	DFND	1	25,100	0	0
SPDR SERIES TRUST	S&P HOMEBUILD	78464A888	7,453	222,200	SH	Call	DFND	1	222,200	0	0
SPDR SERIES TRUST	S&P METALS MNG	78464A755	3,986	163,300	SH	Put	DFND	1	163,300	0	0
SPDR SERIES TRUST	S&P RETAIL ETF	78464A714	944	22,500	SH		DFND	3	22,500	0	0
SS&C TECHNOLOGIES HLDGS INC	COM	78467J100	365	13,000	SH		DFND	1	13,000	0	0
ST JUDE MED INC	COM	790849103	116,723	1,496,443	SH		DFND	1	1,496,443	0	0
STAPLES INC	COM	855030102	1,313	152,300	SH	Call	DFND	1	152,300	0	0
STARZ	COM SER A	85571Q102	7,097	237,200	SH		DFND	1	237,200	0	0
STERLING BANCORP DEL	COM	85917A100	816	51,960	SH		DFND	1	51,960	0	0
SUBURBAN PROPANE PARTNERS L	UNIT LTD PARTN	864482104	515	15,426	SH		DFND	1	15,426	0	0
SUNOCO LOGISTICS PRTNRS L P	COM UNITS	86764L108	810	28,188	SH		DFND	1	28,188	0	0
SUNOCO LP	COM U REP LP	86765K109	398	13,300	SH		DFND	1	13,300	0	0
SYNERGY PHARMACEUTICALS DEL	COM NEW	871639308	675	177,700	SH		DFND	1	177,700	0	0
SYSCO CORP	COM	871829107	3,248	64,012	SH		DFND	2	64,012	0	0
TAILORED BRANDS INC	COM	87403A107	1,578	124,628	SH		DFND	1	124,628	0	0
TERRAPIN 3 ACQUISITION CORP	*W EXP 06/27/201	88104P112	13	63,217	SH		DFND	3	63,217	0	0
TEVA PHARMACEUTICAL INDS LTD	ADR	881624209	6,380	127,000	SH	Call	DFND	1	127,000	0	0
TIME WARNER INC	COM NEW	887317303	1,403	19,080	SH		DFND	1	19,080	0	0
TIVO INC	COM	888706108	12,573	1,270,032	SH		DFND	1	1,270,032	0	0
TREEHOUSE FOODS INC	COM	89469A104	2,487	24,230	SH		DFND	1	24,230	0	0
TRONC INC	COM	89703P107	276	20,000	SH		DFND	3	20,000	0	0
TWENTY FIRST CENTY FOX INC	CL B	90130A200	3,113	114,241	SH		DFND	2	114,241	0	0
TYSON FOODS INC	CL A	902494103	1,800	26,956	SH		DFND	1	26,956	0	0
UNDER ARMOUR INC	CL C	904311206	430	11,825	SH		DFND	1	11,825	0	0
VALSPAR CORP	COM	920355104	17,555	162,500	SH	Put	DFND	1	162,500	0	0
VECTOR GROUP LTD	COM	92240M108	751	33,500	SH		DFND	1	33,500	0	0
VMWARE INC	CL A COM	928563402	11,444	200,000	SH	Call	DFND	1	200,000	0	0
VONAGE HLDGS CORP	COM	92886T201	1,556	255,000	SH		DFND	1	255,000	0	0
VORNADO RLTY TR	SH BEN INT	929042109	2,764	27,603	SH		DFND	1	27,603	0	0
WHOLE FOODS MKT INC	COM	966837106	1,892	59,101	SH		DFND	1	59,101	0	0
WILLIAMS COS INC DEL	COM	969457100	3,113	143,932	SH		DFND	2	143,932	0	0
WILLIAMS SONOMA	COM	969904101	1,711	32,829	SH		DFND	1	32,829	0	0

INC											
WILLIS TOWERS WATSON PUB LTD	SHS	G96629103	3,172	25,515	SH	DFND	2	25,515	0	0	
WINTHROP RLTY TR	SH BEN INT NEW	976391300	352	40,000	SH	DFND	1	40,000	0	0	
WISDOMTREE TR	EUROPE HEDGED EQ	97717X701	32,754	648,200	SH	Call DFND	1	648,200	0	0	
WORLD WRESTLING ENTMT INC	CL A	98156Q108	2,054	111,585	SH	DFND	1	111,585	0	0	
XILINX INC	COM	983919101	2,962	64,215	SH	DFND	2	64,215	0	0	
XOMA CORP DEL	COM	98419J107	0	113,636	SH	DFND	1	113,636	0	0	
XURA INC	COM	98420V107	7,392	302,570	SH	DFND	1	302,570	0	0	
YAHOO INC	COM	984332106	13,189	351,139	SH	DFND	1	351,139	0	0	
YUM BRANDS INC	COM	988498101	3,185	38,413	SH	DFND	2	38,413	0	0	
ZYNGA INC	CL A	98986T108	1,005	403,728	SH	DFND	1	403,728	0	0	