

The Securities and Exchange Commission has not necessarily reviewed the information in this filing and has not determined if it is accurate and complete.

The reader should not assume that the information is accurate and complete.

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549
FORM 13F

OMB APPROVAL

OMB Number: 3235-0006
Expires: July 31, 2015
Estimated average burden
hours per response: 23.8

FORM 13F COVER PAGE

Report for the Calendar Year or Quarter Ended: 09-30-2016

Check here if Amendment Amendment Number:

This Amendment (Check only one.): is a restatement.

adds new holdings entries.

Institutional Investment Manager Filing this Report:

Name: COWEN GROUP, INC.
Address: 599 LEXINGTON AVENUE, 20TH FLOOR
NEW YORK, NY 10022

Form 13F File Number: 028-14571

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, lists, and tables, are considered integral parts of this form.

Person Signing this Report on Behalf of Reporting Manager:

Name: Owen Littman
Title: General Counsel
Phone: 212-201-4841

Signature, Place, and Date of Signing:

/s/ Owen Littman New York, NY 11-10-2016
[Signature] [City, State] [Date]

Report Type (Check only one.):

- 13F HOLDINGS REPORT. (Check here if all holdings of this reporting manager are reported in this report.)
 13F NOTICE. (Check here if no holdings reported are in this report, and all holdings are reported by other reporting manager(s).)
 13F COMBINATION REPORT. (Check here if a portion of the holdings for this reporting manager are reported in this report and a portion are reported by other reporting manager(s).)

Form 13F Summary Page

Report Summary:

Number of Other Included Managers: 2
Form 13F Information Table Entry Total: 238
Form 13F Information Table Value Total: 1,716,094
(thousands)

List of Other Included Managers:

Provide a numbered list of the name(s) and Form 13F file number(s) of all institutional investment managers with respect to which this report is filed, other than the manager filing this report.

[If there are no entries in this list, state "NONE" and omit the column headings and list entries.]

No.	Form 13F File Number	Name
1	028-10674	RAMIUS ADVISORS LLC
2	028-04125	COWEN & COMPANY, LLC

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FORM 13F INFORMATION TABLE

COLUMN 1	COLUMN 2	COLUMN 3	COLUMN 4	COLUMN 5	COLUMN 6	COLUMN 7	COLUMN 8	
NAME OF ISSUER	TITLE OF CLASS	CUSIP	VALUE (x\$1000)	SHRS OR PRN AMT	SH/ PUT/ CALL	INVESTMENT DISCRETION	OTHER MANAGER	VOTING AUTHORITY SOLE SHARED NONE
3M CO	COM	88579Y101	369	2,096	SH	DFND	1	2,096 0 0
AARONS INC	COM PAR \$0.50	002535300	1,155	45,440	SH	DFND	1	45,440 0 0
ABBOTT LABS	COM	002824100	2,778	65,700	SH	Call DFND	1	65,700 0 0
ACTIVISION BLIZZARD INC	COM	00507V109	3,227	72,846	SH	DFND	1	72,846 0 0
AGENUS INC	COM NEW	00847G705	359	50,000	SH	DFND	2	50,000 0 0
AGENUS INC	COM NEW	00847G705	574	79,900	SH	Put DFND	2	79,900 0 0
ALEXION PHARMACEUTICALS INC	COM	015351109	735	6,000	SH	DFND	1	6,000 0 0
ALIBABA GROUP HLDG LTD	SPONSORED ADS	01609W102	22,766	215,200	SH	Call DFND	1	215,200 0 0
ALLERGAN PLC	SHS	G0177J108	4,846	21,041	SH	DFND	1	21,041 0 0
ALLIANCE DATA SYSTEMS CORP	COM	018581108	536	2,500	SH	DFND	1	2,500 0 0
ALNYLAM PHARMACEUTICALS INC	COM	02043Q107	271	4,000	SH	DFND	2	4,000 0 0
ALNYLAM PHARMACEUTICALS INC	COM	02043Q107	915	13,500	SH	Call DFND	2	13,500 0 0
ALNYLAM PHARMACEUTICALS INC	COM	02043Q107	678	10,000	SH	Put DFND	2	10,000 0 0
ALPHABET INC	CAP STK CL A	02079K305	1,335	1,660	SH	DFND	1	1,660 0 0
ALPHABET INC	CAP STK CL C	02079K107	2,497	3,213	SH	DFND	1	3,213 0 0
AMAZON COM INC	COM	023135106	14,120	16,863	SH	DFND	1	16,863 0 0
AMERICAN CAP LTD	COM	02503Y103	67,980	4,020,130	SH	DFND	1	4,020,130 0 0
AMERICAN INTL GROUP INC	COM NEW	026874784	806	13,579	SH	DFND	1	13,579 0 0
AMGEN INC	COM	031162100	590	3,539	SH	DFND	1	3,539 0 0
AMGEN INC	COM	031162100	83	500	SH	Call DFND	2	500 0 0
APOLLO ED GROUP INC	CL A	037604105	4,748	597,300	SH	Put DFND	1	597,300 0 0
APPLE INC	COM	037833100	1,130	10,000	SH	DFND	1	10,000 0 0
APPLE INC	COM	037833100	1,244	11,000	SH	Call DFND	1	11,000 0 0

BARCLAYS BK PLC	IPATH S&P500 VIX	06740Q252	256	7,500	SH	Put	DFND	2	7,500	0	0
BARINGTON HILCO ACQUISITION	COM	06759V101	378	37,500	SH		DFND	2	37,500	0	0
BATS GLOBAL MKTS INC	COM	05491G109	1,585	52,592	SH		DFND	1	52,592	0	0
BCE INC	COM NEW	05534B760	5,186	112,302	SH		DFND	1	112,302	0	0
BELMOND LTD	CL A	G1154H107	568	44,700	SH	Call	DFND	1	44,700	0	0
BELMOND LTD	CL A	G1154H107	4,033	317,300	SH		DFND	1	317,300	0	0
BLACKROCK CORPOR HI YLD FDI	COM	09255P107	843	77,910	SH		DFND	1	77,910	0	0
BOARDWALK PIPELINE PARTNERS	UT LTD PARTNER	096627104	265	15,425	SH		DFND	1	15,425	0	0
BOULEVARD ACQUISITION	UNIT 99/99/9999	10157Q201	1,168	114,438	SH		DFND	2	114,438	0	0
BOYD GAMING CORP	COM	103304101	1,553	78,496	SH		DFND	1	78,496	0	0
BRISTOL MYERS SQUIBB CO	COM	110122108	2,426	45,000	SH	Call	DFND	2	45,000	0	0
BROADSOFT INC	COM	11133B409	815	17,500	SH		DFND	1	17,500	0	0
BUCKEYE PARTNERS L P	UNIT LTD PARTN	118230101	1,283	17,915	SH		DFND	1	17,915	0	0
CADENCE DESIGN SYSTEM INC	COM	127387108	753	29,500	SH		DFND	1	29,500	0	0
CAPITAL ONE FINL CORP	COM	14040H105	359	5,000	SH		DFND	1	5,000	0	0
CAPITOL ACQUISITION CORP III	COM	14055M106	203	20,586	SH		DFND	2	20,586	0	0
CATALYST PHARMACEUTICALS INC	COM	14888U101	25	106,250	SH		DFND	2	106,250	0	0
CBOE HLDGS INC	COM	12503M108	28,378	437,600	SH	Call	DFND	1	437,600	0	0
CF CORP	SHS CL A	G20307107	560	57,167	SH		DFND	2	57,167	0	0
CIGNA CORPORATION	COM	125509109	4,781	36,686	SH		DFND	1	36,686	0	0
CITRIX SYS INC	COM	177376100	1,237	14,521	SH		DFND	1	14,521	0	0
COMCAST CORP NEW	CL A	20030N101	998	15,037	SH		DFND	1	15,037	0	0
CONAGRA FOODS INC	COM	205887102	1,503	31,899	SH		DFND	1	31,899	0	0
CORNING INC	COM	219350105	4,010	169,559	SH		DFND	1	169,559	0	0
COSTCO WHSL CORP NEW	COM	22160K105	390	2,556	SH		DFND	1	2,556	0	0
COTY INC	COM CL A	222070203	1,230	53,000	SH		DFND	1	53,000	0	0
COTY INC	COM CL A	222070203	635	27,000	SH	Call	DFND	2	27,000	0	0
COVENANT TRANSN GROUP INC	CL A	22284P105	290	15,000	SH		DFND	1	15,000	0	0
COWEN GROUP INC NEW	CL A	223622101	14,088	3,880,939	SH		DFND	1	3,880,939	0	0
CYNAPSUS THERAPEUTICS INC	COM PAR NO PAR	23257Y859	5,827	144,833	SH		DFND	1	144,833	0	0
DANAHER CORP DEL	COM	235851102	200	2,556	SH		DFND	1	2,556	0	0
DELL TECHNOLOGIES INC	COM CL V	24703L103	1,228	25,682	SH		DFND	1	25,682	0	0
DISNEY WALT CO	COM DISNEY	254687106	4,610	49,640	SH		DFND	1	49,640	0	0
DOLLAR GEN CORP NEW	COM	256677105	1,773	25,338	SH		DFND	1	25,338	0	0

DOLLAR TREE INC	COM	256746108	1,843	23,345	SH		DFND	1	23,345	0	0
DOUBLE EAGLE ACQUISITION COR	COM	G28195124	981	100,000	SH		DFND	2	100,000	0	0
DOW CHEM CO	COM	260543103	2,086	40,252	SH		DFND	1	40,252	0	0
DU PONT E I DE NEMOURS & CO	COM	263534109	5,458	81,500	SH	Put	DFND	1	81,500	0	0
DYNAVAX TECHNOLOGIES CORP	COM NEW	268158201	105	10,000	SH		DFND	1	10,000	0	0
DYNAVAX TECHNOLOGIES CORP	COM NEW	268158201	73	7,000	SH	Call	DFND	2	7,000	0	0
ELECTRONIC ARTS INC	COM	285512109	6,871	80,456	SH		DFND	1	80,456	0	0
ELECTRUM SPL ACQUISITION COR	*W EXP 99/99/999	G3105C112	3	13,500	SH		DFND	2	13,500	0	0
ENERGY TRANSFER PARTNRS L P	UNIT LTD PARTN	29273R109	1,066	28,818	SH		DFND	1	28,818	0	0
ENTERPRISE PRODS PARTNERS L	COM	293792107	1,006	987,400	SH		DFND	1	987,400	0	0
ENVISION HEALTHCARE HLDGS IN	COM	29413U103	24,811	1,114,090	SH		DFND	1	1,114,090	0	0
FACEBOOK INC	CL A	30303M102	2,031	15,833	SH		DFND	1	15,833	0	0
GAMING & LEISURE PPTYS INC	COM	36467J108	5,043	150,765	SH		DFND	1	150,765	0	0
GENERAL DYNAMICS CORP	COM	369550108	586	3,778	SH		DFND	1	3,778	0	0
GENESIS ENERGY L P	UNIT LTD PARTN	371927104	570	15,000	SH	Call	DFND	2	15,000	0	0
GILEAD SCIENCES INC	COM	375558103	5,934	75,000	SH	Call	DFND	1	75,000	0	0
GILEAD SCIENCES INC	COM	375558103	791	10,000	SH		DFND	1	10,000	0	0
GLOBALSTAR INC	COM	378973408	30	25,000	SH		DFND	2	25,000	0	0
GOODYEAR TIRE & RUBR CO	COM	382550101	388	12,014	SH		DFND		12,014	0	0
GORES HLDGS INC	*W EXP 08/13/202	382866119	531	442,530	SH		DFND	2	442,530	0	0
GORES HLDGS INC	UNIT 99/99/9999	382866200	367	29,858	SH		DFND	2	29,858	0	0
GP INVTS ACQUISITION COR	*W EXP 05/19/202	G40357108	105	165,255	SH		DFND	2	165,255	0	0
HAIN CELESTIAL GROUP INC	COM	405217100	267	7,500	SH		DFND	1	7,500	0	0
HCA HOLDINGS INC	COM	40412C101	393	40,000	SH	Call	DFND	2	40,000	0	0
HENNESSY CAP ACQUISITION COR	COM	42588J100	2,896	294,573	SH		DFND	2	294,573	0	0
HOLLYFRONTIER CORP	COM	436106108	858	35,000	SH		DFND	2	35,000	0	0
HOLLYFRONTIER CORP	COM	436106108	1,497	61,100	SH	Put	DFND	2	61,100	0	0
HUMANA INC	COM	444859102	3,133	17,712	SH		DFND	1	17,712	0	0
HUNTINGTON INGALLS INDS INC	COM	446413106	392	2,556	SH		DFND	1	2,556	0	0
HYDRA INDS ACQUISITION CORP	COM	448740100	801	80,454	SH		DFND	2	80,454	0	0
IAC INTERACTIVECORP	COM	44919P508	1,812	29,000	SH		DFND	1	29,000	0	0
IMPERVA INC	COM	45321L100	21,905	407,839	SH		DFND	1	407,839	0	0

IMPERVA INC	COM	45321L100	15,667	291,700	SH	Put	DFND	1	291,700	0	0
IMPERVA INC	COM	45321L100	18,283	340,400	SH	Call	DFND	1	340,400	0	0
INFINERA CORPORATION	COM	45667G103	1,014	112,300	SH		DFND	1	112,300	0	0
INFOBLOX INC	COM	45672H104	1,474	80,992	SH		DFND	1	80,992	0	0
INGRAM MICRO INC	CL A	457153104	24,855	696,996	SH		DFND	1	696,996	0	0
INTEL CORP	COM	458140100	998	26,427	SH		DFND	1	26,427	0	0
INTERNATIONAL BUSINESS MACHS	COM	459200101	715	4,500	SH		DFND	1	4,500	0	0
INTERSIL CORP	CL A	46069S109	15,027	685,222	SH		DFND	1	685,222	0	0
INTERSIL CORP	CL A	46069S109	7,470	340,600	SH	Call	DFND	1	340,600	0	0
ISHARES	MSCI CDA ETF	464286509	4,832	188,100	SH	Call	DFND	1	188,100	0	0
ISHARES	MSCI JAPAN ETF	464286848	14,008	1,117,100	SH	Call	DFND	1	1,117,100	0	0
ISHARES	MSCI MEX CAP ETF	464286822	2,153	44,400	SH	Call	DFND	1	44,400	0	0
ISHARES	MSCI MEX CAP ETF	464286822	1,454	30,000	SH	Put	DFND	1	30,000	0	0
ISHARES	MSCI TURKEY ETF	464286715	2,378	62,800	SH	Put	DFND	1	62,800	0	0
ISHARES TR	CHINA LG-CAP ETF	464287184	2,102	55,300	SH	Call	DFND	1	55,300	0	0
ISHARES TR	RUSSELL 2000 ETF	464287655	140,219	1,128,900	SH	Put	DFND	1	1,128,900	0	0
JOHNSON CTLS INTL PLC	SHS	G51502105	681	14,646	SH		DFND	1	14,646	0	0
JONES ENERGY INC	COM CL A	48019R108	94	26,521	SH		DFND	2	26,521	0	0
JOY GLOBAL INC	COM	481165108	6,117	220,524	SH		DFND	1	220,524	0	0
KATE SPADE & CO	COM	485865109	2,623	153,127	SH		DFND	1	153,127	0	0
KINDER MORGAN INC DEL	*W EXP 05/25/201	49456B119	33	2,213,538	SH		DFND	2	2,213,538	0	0
KITE PHARMA INC	COM	49803L109	1,676	30,000	SH	Put	DFND	2	30,000	0	0
KLR ENERGY ACQUISITION CORP	*W EXP 09/16/202	49877M116	10	22,825	SH		DFND	2	22,825	0	0
L BRANDS INC	COM	501797104	1,005	14,197	SH		DFND	1	14,197	0	0
L-3 COMMUNICATIONS HLDGS INC	COM	502424104	200	1,329	SH		DFND	1	1,329	0	0
LEXMARK INTL INC	CL A	529771107	9,123	228,291	SH		DFND	1	228,291	0	0
LEXMARK INTL INC	CL A	529771107	16,735	418,800	SH	Put	DFND	1	418,800	0	0
LIBERTY BROADBAND CORP	COM SER C	530307305	2,099	29,359	SH		DFND	1	29,359	0	0
LIBERTY INTERACTIVE CORP	QVC GP COM SER A	53071M104	583	29,154	SH		DFND	1	29,154	0	0
LIBERTY MEDIA CORP DELAWARE	COM A SIRIUSXM	531229409	2,619	77,071	SH		DFND	1	77,071	0	0
LIMELIGHT NETWORKS INC	COM	53261M104	234	125,000	SH		DFND	1	125,000	0	0
LINDBLAD EXPEDITIONS HLDGS I	*W EXP 07/08/202	535219117	196	100,986	SH		DFND	2	100,986	0	0
LINEAR TECHNOLOGY CORP	COM	535678106	76,695	1,293,565	SH		DFND	1	1,293,565	0	0
LINKEDIN CORP	COM CL A	53578A108	227,478	1,190,235	SH		DFND	1	1,190,235	0	0

LINKEDIN CORP	COM CL A	53578A108	860	4,500	SH	Call	DFND	1	4,500	0	0
LIONS GATE ENTMNT CORP	COM NEW	535919203	7,096	355,000	SH	Call	DFND	1	355,000	0	0
LIVE NATION ENTERTAINMENT IN	COM	538034109	603	21,952	SH		DFND	1	21,952	0	0
LOCKHEED MARTIN CORP	COM	539830109	576	2,403	SH		DFND	1	2,403	0	0
LSC COMMUNICATIONS INC	COM	50218P107	594	15,877	SH		DFND	2	15,877	0	0
LULULEMON ATHLETICA INC	COM	550021109	788	12,929	SH		DFND	1	12,929	0	0
M III ACQUISITION CORP	UNIT 99/99/9999T	55378T203	1,137	116,050	SH		DFND	2	116,050	0	0
MADISON SQUARE GARDEN CO NEW	CL A	55825T103	1,025	6,051	SH		DFND	1	6,051	0	0
MAGELLAN MIDSTREAM PRTRNS LP	COM UNIT RP LP	559080106	607	8,584	SH		DFND	1	8,584	0	0
MARRIOTT INTL INC NEW	CL A	571903202	1,784	26,500	SH	Call	DFND	2	26,500	0	0
MCKESSON CORP	COM	58155Q103	667	4,000	SH		DFND	2	4,000	0	0
MCKESSON CORP	COM	58155Q103	1,668	10,000	SH	Put	DFND	2	10,000	0	0
MEDIA GEN INC NEW	COM	58441K100	16,454	892,782	SH		DFND	1	892,782	0	0
MERCK & CO INC	COM	58933Y105	1,123	18,000	SH		DFND	2	18,000	0	0
MERCK & CO INC	COM	58933Y105	1,248	20,000	SH	Put	DFND	2	20,000	0	0
METLIFE INC	COM	59156R108	2,444	55,000	SH	Call	DFND	2	55,000	0	0
MGM RESORTS INTERNATIONAL	COM	552953101	2,884	110,781	SH		DFND	1	110,781	0	0
MICHAEL KORS HLDGS LTD	SHS	G60754101	1,489	31,833	SH		DFND	1	31,833	0	0
MICRON TECHNOLOGY INC	COM	595112103	2,955	166,202	SH		DFND	1	166,202	0	0
MOLSON COORS BREWING CO	CL B	60871R209	7,254	66,064	SH		DFND	1	66,064	0	0
MOLSON COORS BREWING CO	CL B	60871R209	4,150	37,800	SH	Call	DFND	1	37,800	0	0
MONDELEZ INTL INC	CL A	609207105	4,558	103,836	SH		DFND	1	103,836	0	0
MONRO MUFFLER BRAKE INC	COM	610236101	889	14,536	SH		DFND	1	14,536	0	0
MONSANTO CO NEW	COM	61166W101	20,583	201,400	SH	Call	DFND	1	201,400	0	0
MONSANTO CO NEW	COM	61166W101	11,716	114,636	SH		DFND	1	114,636	0	0
MOTOROLA SOLUTIONS INC	COM NEW	620076307	1,793	23,506	SH		DFND	1	23,506	0	0
NETFLIX INC	COM	64110L106	848	8,600	SH	Put	DFND	1	8,600	0	0
NEW YORK REIT INC	COM	64976L109	641	70,000	SH		DFND	1	70,000	0	0
NEXEO SOLUTIONS INC	UNIT 06/11/2019	65342H201	989	111,591	SH		DFND	2	111,591	0	0
NEXVET BIOPHARMA PUB LTD CO	ORD SHS	G6503X109	61	14,642	SH		DFND	1	14,642	0	0
NOBLE CORP PLC	SHS USD	G65431101	1,072	30,000	SH	Call	DFND	2	30,000	0	0
NORTHROP GRUMMAN CORP	COM	666807102	580	2,709	SH		DFND	1	2,709	0	0
NORTHSTAR ASSET MGMT GROUP I	COM	66705Y104	11,494	888,972	SH		DFND	1	888,972	0	0

NORTHSTAR RLTY FIN CORP	COM	66704R803	2,555	194,000	SH	Put	DFND	1	194,000	0	0
NORWEGIAN CRUISE LINE HLDGS	SHS	G66721104	1,478	39,210	SH		DFND	1	39,210	0	0
NOVAVAX INC	COM	670002104	209	100,300	SH		DFND	2	100,300	0	0
NOVAVAX INC	COM	670002104	208	100,300	SH	Put	DFND	2	100,300	0	0
NVIDIA CORP	COM	67066G104	1,189	17,348	SH		DFND	1	17,348	0	0
NXP SEMICONDUCTORS NV	COM	N6596X109	1,466	14,375	SH		DFND	1	14,375	0	0
NXSTAGE MEDICAL INC	COM	67072V103	500	20,000	SH	Call	DFND	2	20,000	0	0
OCULAR THERAPEUTIX INC	COM	67576A100	603	87,825	SH		DFND	1	87,825	0	0
ONEOK PARTNERS LP	UNIT LTD PARTN	68268N103	330	8,250	SH		DFND	1	8,250	0	0
ORGANOVO HLDGS INC	COM	68620A104	239	63,000	SH		DFND	1	63,000	0	0
PAYPAL HLDGS INC	COM	70450Y103	1,063	25,941	SH		DFND	1	25,941	0	0
PBF ENERGY INC	CL A	69318G106	226	10,000	SH	Call	DFND	2	10,000	0	0
PENN NATL GAMING INC	COM	707569109	1,696	124,998	SH		DFND	1	124,998	0	0
PENN NATL GAMING INC	COM	707569109	1,115	82,200	SH	Call	DFND	1	82,200	0	0
PFIZER INC	COM	717081103	728	21,500	SH		DFND	2	21,500	0	0
PFIZER INC	COM	717081103	1,355	40,000	SH	Call	DFND	2	40,000	0	0
PFIZER INC	COM	717081103	1,355	40,000	SH	Put	DFND	2	40,000	0	0
PINNACLE ENTMT INC NEW	COM	72348Y105	965	78,176	SH		DFND	1	78,176	0	0
POST HLDGS INC	COM	737446104	1,652	21,403	SH		DFND	1	21,403	0	0
POWERSHARES ETF TRUST II	SENIOR LN PORT	73936Q769	14,476	623,700	SH	Put	DFND	1	623,700	0	0
PRICELINE GRP INC	COM NEW	741503403	1,374	934	SH		DFND	1	934	0	0
PROSHARES TR	PSHS ULSHT SP500	74347B300	2,678	163,375	SH		DFND	1	163,375	0	0
PROSPECT CAPITAL CORPORATION	COM	74348T102	808	99,700	SH	Put	DFND	1	99,700	0	0
PRUDENTIAL SHT DURATION HG Y	COM	74442F107	688	43,000	SH		DFND	1	43,000	0	0
PVH CORP	COM	693656100	2,567	23,231	SH		DFND	1	23,231	0	0
RACKSPACE HOSTING INC	COM	750086100	13,859	437,322	SH		DFND	1	437,322	0	0
RADIUS HEALTH INC	COM NEW	750469207	352	6,500	SH		DFND	1	6,500	0	0
RAYTHEON CO	COM NEW	755111507	986	7,243	SH		DFND	1	7,243	0	0
RESTORATION HARDWARE HLDGS I	COM	761283100	1,018	29,428	SH		DFND	1	29,428	0	0
RITE AID CORP	COM	767754104	5,005	650,886	SH		DFND	1	650,886	0	0
RITE AID CORP	COM	767754104	17	2,200	SH	Put	DFND	2	2,200	0	0
SABAN CAP ACQUISITION CORP	UNIT 99/99/9999	78516C205	240	23,832	SH		DFND	2	23,832	0	0
SCHWAB CHARLES CORP NEW	COM	808513105	537	17,000	SH		DFND	1	17,000	0	0
SCIENTIFIC GAMES CORP	CL A	80874P109	3,116	276,509	SH		DFND	1	276,509	0	0

SELECT SECTOR SPDR TR	SBI CONS STPLS	81369Y308	10,993	206,600	SH	Put	DFND	1	206,600	0	0
SHIRE PLC	SPONSORED ADR	82481R106	969	5,000	SH		DFND	1	5,000	0	0
SHUTTERFLY INC	COM	82568P304	345	7,726	SH		DFND	1	7,726	0	0
SILVER RUN ACQUISITION CORP	*W EXP 02/23/202	82811P119	739	133,190	SH		DFND	2	133,190	0	0
SILVER RUN ACQUISITION CORP	UNIT 99/99/9999T	82811P200	6,859	389,487	SH		DFND	2	389,487	0	0
SPDR GOLD TRUST	GOLD SHS	78463V107	4,709	37,478	SH		DFND	1	37,478	0	0
SPDR GOLD TRUST	GOLD SHS	78463V107	7,639	60,800	SH	Put	DFND	1	60,800	0	0
SPDR S&P 500 ETF TR	TR UNIT	78462F103	756	3,494	SH		DFND	1	3,494	0	0
SPDR S&P 500 ETF TR	TR UNIT	78462F103	64,997	300,500	SH	Put	DFND	1	300,500	0	0
SPDR SER TR	SHT TRM HGH YLD	78468R408	2,448	88,400	SH		DFND	1	88,400	0	0
SPDR SERIES TRUST	S&P METALS MNG	78464A755	4,331	163,300	SH	Put	DFND	1	163,300	0	0
SPECTRA ENERGY CORP	COM	847560109	5,269	123,253	SH		DFND	1	123,253	0	0
ST JUDE MED INC	COM	790849103	186,011	2,332,132	SH		DFND	1	2,332,132	0	0
STARZ	COM SER A	85571Q102	13,221	423,900	SH		DFND	1	423,900	0	0
STERLING BANCORP DEL	COM	85917A100	909	51,960	SH		DFND	1	51,960	0	0
SUBURBAN PROPANE PARTNERS L	UNIT LTD PARTN	864482104	346	10,386	SH		DFND	1	10,386	0	0
SUNEDISON SEMICONDUCTOR LTD	SHS	Y8213L102	4,328	379,947	SH		DFND	1	379,947	0	0
SUNOCO LOGISTICS PRTRNS L P	COM UNITS	86764L108	324	11,398	SH		DFND	1	11,398	0	0
SYNGENTA AG	SPONSORED ADR	87160A100	118,318	1,350,661	SH		DFND	1	1,350,661	0	0
TAKE-TWO INTERACTIVE SOFTWARE	COM	874054109	1,511	33,524	SH		DFND	1	33,524	0	0
TECK RESOURCES LTD	CL B	878742204	1,262	70,000	SH	Put	DFND	1	70,000	0	0
TERRAPIN 3 ACQUISITION CORP	*W EXP 06/27/201	88104P112	23	128,122	SH		DFND	2	128,122	0	0
TEVA PHARMACEUTICAL INDS LTD	ADR	881624209	1,480	32,165	SH		DFND	1	32,165	0	0
TEVA PHARMACEUTICAL INDS LTD	ADR	881624209	184	4,000	SH		DFND	2	4,000	0	0
TEVA PHARMACEUTICAL INDS LTD	ADR	881624209	5,016	109,000	SH	Call	DFND	1	109,000	0	0
TEVA PHARMACEUTICAL INDS LTD	ADR	881624209	230	5,000	SH	Put	DFND	2	5,000	0	0
TIME WARNER INC	COM NEW	887317303	6,515	81,842	SH		DFND	1	81,842	0	0
TREEHOUSE FOODS INC	COM	89469A104	4,594	52,685	SH		DFND	1	52,685	0	0
TRONC INC	COM	89703P107	169	10,000	SH		DFND	2	10,000	0	0
TWITTER INC	COM	90184L102	2,242	97,258	SH		DFND	1	97,258	0	0
U S SILICA HLDGS INC	COM	90346E103	1,304	28,000	SH	Call	DFND	2	28,000	0	0

UNDER ARMOUR INC	CL C	904311206	1,667	49,245	SH		DFND	1	49,245	0	0
VALSPAR CORP	COM	920355104	8,136	76,700	SH	Put	DFND	1	76,700	0	0
VECTOR GROUP LTD	COM	92240M108	1,259	58,471	SH		DFND	1	58,471	0	0
VERSARTIS INC	COM	92529L102	2,522	205,892	SH		DFND	2	205,892	0	0
VIACOM INC NEW	CL B	92553P201	843	22,123	SH		DFND	1	22,123	0	0
VMWARE INC	CL A COM	928563402	3,458	47,148	SH		DFND	1	47,148	0	0
VONAGE HLDGS CORP	COM	92886T201	1,785	270,000	SH		DFND	1	270,000	0	0
VORNADO RLTY TR	SH BEN INT	929042109	759	7,500	SH		DFND	1	7,500	0	0
WHITEWAVE FOODS CO	COM	966244105	15,877	291,700	SH	Call	DFND	1	291,700	0	0
WHITEWAVE FOODS CO	COM	966244105	8,116	149,100	SH	Put	DFND	1	149,100	0	0
WILLIS TOWERS WATSON PUB LTD	SHS	G96629103	1,845	13,895	SH		DFND	1	13,895	0	0
WISDOMTREE TR	EUROPE HEDGED EQ	97717X701	13,102	243,800	SH	Call	DFND	1	243,800	0	0
WORLD WRESTLING ENTMT INC	CL A	98156Q108	2,025	95,058	SH		DFND	1	95,058	0	0
XILINX INC	COM	983919101	3,496	64,337	SH		DFND	1	64,337	0	0
YAHOO INC	COM	984332106	86,169	1,999,280	SH		DFND	1	1,999,280	0	0
ZAYO GROUP HLDGS INC	COM	98919V105	2,998	100,896	SH		DFND	1	100,896	0	0
ZYNGA INC	CL A	98986T108	2,051	704,742	SH		DFND	1	704,742	0	0