

The Securities and Exchange Commission has not necessarily reviewed the information in this filing and has not determined if it is accurate and complete.

The reader should not assume that the information is accurate and complete.

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

**FORM 13F**

**FORM 13F COVER PAGE**

**OMB APPROVAL**

OMB Number:	3235-0006
Expires:	July 31, 2015
Estimated average burden hours per response:	23.8

Report for the Calendar Year or Quarter Ended: **09-30-2018**

Check here if Amendment  Amendment Number:

This Amendment (Check only one.):  is a restatement.

adds new holdings entries.

**Institutional Investment Manager Filing this Report:**

Name: **COWEN INC.**

Address: **599 LEXINGTON AVENUE, 20TH FLOOR**

**NEW YORK, NY 10022**

Form 13F File  
Number: **028-14571**

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, lists, and tables, are considered integral parts of this form.

Person Signing this Report on Behalf of Reporting Manager:

Name: **Owen Littman**

Title: **General Counsel**

Phone: **212-201-4841**

**Signature, Place, and Date of Signing:**

**/s/ Owen Littman** **New York, NY** **11-07-2018**  
[Signature] [City, State] [Date]

**Report Type (Check only one.):**

13F HOLDINGS REPORT. (Check here if all holdings of this reporting manager are reported in this report.)

13F NOTICE. (Check here if no holdings reported are in this report, and all holdings are reported by other reporting manager(s).)

13F COMBINATION REPORT. (Check here if a portion of the holdings for this reporting manager are reported in this report and a portion are reported by other reporting manager(s).)

**Form 13F Summary Page**

**Report Summary:**

Number of Other Included Managers: **5**

Form 13F Information Table Entry Total: **569**

Form 13F Information Table Value Total: **1,094,339**

(thousands)

**List of Other Included Managers:**

Provide a numbered list of the name(s) and Form 13F file number(s) of all institutional investment managers with respect to which this report is filed, other than the manager filing this report.

[If there are no entries in this list, state "NONE" and omit the column headings and list entries.]

No.	Form 13F File Number	Name
1	028-04125	COWEN & COMPANY, LLC
2	028-18771	COWEN EXECUTION SERVICES LLC
3	028-13626	Cowen Investment Management LLC
4	028-18662	Margate Capital Management LP
5	028-10674	RAMIUS ADVISORS LLC

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**FORM 13F INFORMATION TABLE**

COLUMN 1 NAME OF ISSUER	COLUMN 2 TITLE OF CLASS	COLUMN 3 CUSIP	COLUMN 4	COLUMN 5			COLUMN 6	COLUMN 7	COLUMN 8		
			VALUE (x\$1000)	SHRS OR PRN AMT	SH/	PUT/ CALL	INVESTMENT DISCRETION	OTHER MANAGER	VOTING AUTHORITY SOLE SHARED NONE		
22ND CENTY GROUP INC	COM	90137F103	123	43,700	SH		DFND	1	43,700	0	0
ABBOTT LABS	COM	002824100	53	5,900	SH	Call	DFND	3	5,900	0	0
ABBOTT LABS	COM	002824100	17	10,600	SH	Put	DFND	3	10,600	0	0
ACCELERATE DIAGNOSTICS INC	COM	00430H102	7	35,300	SH	Put	DFND	1	35,300	0	0
ACTIVISION BLIZZARD INC	COM	00507V109	12,535	150,678	SH		DFND	4	150,678	0	0
ACTIVISION BLIZZARD INC	COM	00507V109	20	40,000	SH	Put	DFND	4	40,000	0	0
ACXIOM HOLDINGS INC	COM	005125109	4,447	90,000	SH		DFND	1	90,000	0	0
ADIEN T PLC	ORD SHS	G0084W101	42	10,000	SH	Put	DFND	1	10,000	0	0
ADOBE SYS INC	COM	00724F101	74	23,200	SH	Put	DFND	4	23,200	0	0
ADOBE SYS INC	COM	00724F101	10,071	37,306	SH		DFND	4	37,306	0	0
ADVERUM BIOTECHNOLOGIES INC	COM	00773U108	908	150,000	SH		DFND	3	150,000	0	0
AETNA INC NEW	COM	00817Y108	58,541	288,592	SH		DFND	5	288,592	0	0
ALDEYRA THERAPEUTICS INC	COM	01438T106	600	43,500	SH		DFND	1	43,500	0	0
ALDEYRA THERAPEUTICS INC	COM	01438T106	8	14,600	SH	Call	DFND	1	14,600	0	0
ALLEGRO MERGER CORP	*W EXP 01/06/202	01749N111	231	660,635	SH		DFND	1	660,635	0	0
ALLEGRO MERGER CORP	COM	01749N103	746	78,275	SH		DFND	1	78,275	0	0
ALNYLAM PHARMACEUTICALS INC	COM	02043Q107	3	24,600	SH	Call	DFND	1	24,600	0	0
ALPHABET INC	CAP STK CL A	02079K305	211	175	SH		DFND	3	175	0	0
ALPHABET INC	CAP STK CL A	02079K305	4	100	SH	Put	DFND	3	100	0	0
ALPHABET INC	CAP STK CL A	02079K305	65	500	SH	Call	DFND	3	500	0	0
ALPHABET INC	CAP STK CL A	02079K305	5,470	4,532	SH		DFND	4	4,532	0	0
ALPHABET INC	CAP STK CL C	02079K107	29	400	SH	Put	DFND	3	400	0	0
ALPHABET INC	CAP STK CL C	02079K107	908	761	SH		DFND	3	761	0	0
ALPHABET INC	CAP STK CL C	02079K107	15	200	SH	Call	DFND	3	200	0	0
ALTA MESA RES INC	*W EXP 03/28/202	02133L117	822	1,644,255	SH		DFND	1	1,644,255	0	0
ALTABA INC	COM	021346101	4,170	61,214	SH		DFND	3	61,214	0	0
AMARIN CORP PLC	SPONS ADR NEW	023111206	9	68,400	SH	Put	DFND	1	68,400	0	0
AMAZON COM INC	COM	023135106	15,563	7,770	SH		DFND	3	7,770	0	0

AMAZON COM INC	COM	023135106	338	400 SH	Call	DFND	3	400	0	0
AMBARELLA INC	SHS	G037AX101	141	3,638 SH		DFND	1	3,638	0	0
AMBARELLA INC	SHS	G037AX101	2,979	77,026 SH		DFND	4	77,026	0	0
AMBARELLA INC	SHS	G037AX101	83	75,000 SH	Call	DFND	4	75,000	0	0
AMICUS THERAPEUTICS INC	COM	03152W109	69	5,700 SH		DFND	1	5,700	0	0
AMICUS THERAPEUTICS INC	COM	03152W109	8	15,800 SH	Put	DFND	1	15,800	0	0
ANADARKO PETE CORP	COM	032511107	336	4,985 SH		DFND	1	4,985	0	0
ANALOG DEVICES INC	COM	032654105	49	50,000 SH	Put	DFND	4	50,000	0	0
ANTERO RES CORP	COM	03674X106	1,195	67,500 SH		DFND	1	67,500	0	0
ANTERO RES CORP	COM	03674X106	41	65,000 SH	Call	DFND	1	65,000	0	0
ANTERO RES CORP	COM	03674X106	18	7,500 SH	Put	DFND	1	7,500	0	0
APPLE INC	COM	037833100	2,535	11,231 SH		DFND	3	11,231	0	0
APPLE INC	COM	037833100	9	30,000 SH	Put	DFND	4	30,000	0	0
APPLIED MATLS INC	COM	038222105	312	8,076 SH		DFND	1	8,076	0	0
APTINYX INC	COM	03836N103	715	24,691 SH		DFND	3	24,691	0	0
APTIV PLC	SHS	G6095L109	2,407	28,689 SH		DFND	4	28,689	0	0
APTIV PLC	SHS	G6095L109	1	200 SH	Put	DFND	1	200	0	0
ARQULE INC	COM	04269E107	45	33,100 SH	Call	DFND	1	33,100	0	0
AT&T INC	COM	00206R102	2,979	88,700 SH		DFND	1	88,700	0	0
ATHENAHEALTH INC	COM	04685W103	4,347	32,540 SH		DFND	5	32,540	0	0
AUDENTES THERAPEUTICS INC	COM	05070R104	3,959	100,000 SH		DFND	3	100,000	0	0
AV HOMES INC	COM	00234P102	1,957	97,836 SH		DFND	3	97,836	0	0
AVISTA CORP	COM	05379B107	5,383	106,460 SH		DFND	5	106,460	0	0
BEMIS INC	COM	081437105	19,335	397,839 SH		DFND	5	397,839	0	0
BIG LOTS INC	COM	089302103	1,217	243,200 SH	Put	DFND	1	243,200	0	0
BIG LOTS INC	COM	089302103	1,730	41,403 SH		DFND	1	41,403	0	0
BIG ROCK PARTNERS ACQUI SI CO	*W EXP 12/01/202	089482111	9	20,043 SH		DFND	1	20,043	0	0
BIOHAVEN PHARMACTL HLDG CO L	COM	G11196105	56	15,000 SH	Call	DFND	1	15,000	0	0
BISON CAP ACQUISITION CORP	*W EXP 06/20/202	G1142P112	88	168,789 SH		DFND	1	168,789	0	0
BISON CAP ACQUISITION CORP	ORD SHS	G1142P104	2,081	203,842 SH		DFND	1	203,842	0	0
BLACK RIDGE ACQUISITION CORP	*W EXP 07/10/202	09216T115	89	198,522 SH		DFND	1	198,522	0	0
BLACK RIDGE ACQUISITION CORP	UNIT 10/05/2022	09216T206	558	50,000 SH		DFND	3	50,000	0	0
BLUEPRINT MEDICINES CORP	COM	09627Y109	1,249	16,000 SH		DFND	3	16,000	0	0
BOEING CO	COM	097023105	6,255	16,819 SH		DFND	4	16,819	0	0
BROADCOM INC	COM	11135F101	13	13,300 SH	Put	DFND	5	13,300	0	0
BROOKFIELD PROPERTY REIT INC	CL A	11282X103	3,257	155,600 SH		DFND	1	155,600	0	0
BROOKFIELD PROPERTY REIT INC	CL A	11282X103	837	40,000 SH		DFND	2	40,000	0	0
BROOKFIELD PROPERTY REIT INC	CL A	11282X103	5,714	272,986 SH		DFND	3	272,986	0	0
CA INC	COM	12673P105	45,241	1,024,715 SH		DFND	5	1,024,715	0	0

CA INC	COM	12673P105	1	42,700 SH	Call	DFND	1	42,700	0	0
CA INC	COM	12673P105	3	28,600 SH	Put	DFND	1	28,600	0	0
CADENCE DESIGN SYSTEM INC	COM	127387108	8,629	190,412 SH		DFND	4	190,412	0	0
CADENCE DESIGN SYSTEM INC	COM	127387108	21	84,200 SH	Put	DFND	4	84,200	0	0
CAESARS ENTMT CORP	COM	127686103	1	104 SH		DFND	1	104	0	0
CAESARS ENTMT CORP	COM	127686103	1,166	113,750 SH		DFND	3	113,750	0	0
CAMPBELL SOUP CO	COM	134429109	196	5,350 SH		DFND	1	5,350	0	0
CAMPBELL SOUP CO	COM	134429109	155	40,000 SH	Put	DFND	1	40,000	0	0
CANOPY GROWTH CORP	COM	138035100	1,537	31,600 SH		DFND	1	31,600	0	0
CANOPY GROWTH CORP	COM	138035100	4	6,500 SH	Put	DFND	1	6,500	0	0
CAPITAL ONE FINL CORP	COM	14040H105	475	5,000 SH		DFND	3	5,000	0	0
CAPITOL INVT CORP IV	*W EXP 01/01/202	G18920127	47	31,239 SH		DFND	1	31,239	0	0
CAPITOL INVT CORP IV	CL A ORD	G18920101	27	2,753 SH		DFND	1	2,753	0	0
CAPITOL INVT CORP IV	CL A ORD	G18920101	991	100,000 SH		DFND	3	100,000	0	0
CAPITOL INVT CORP IV	UNIT 99/99/9999	G18920119	0	2 SH		DFND	1	2	0	0
CAPITOL INVT CORP IV	UNIT 99/99/9999	G18920119	519	50,000 SH		DFND	3	50,000	0	0
CARNIVAL PLC	ADR	14365C103	2,584	40,962 SH		DFND	3	40,962	0	0
CBS CORP NEW	CL B	124857202	21	121,100 SH	Put	DFND	5	121,100	0	0
CDN IMPERIAL BK COMM TORONTO	COM	136069101	25	100,000 SH	Call	DFND	2	100,000	0	0
CELGENE CORP	COM	151020104	796	8,900 SH		DFND	3	8,900	0	0
CHAPARRAL ENERGY INC	COM CL A	15942R208	181	10,300 SH		DFND	1	10,300	0	0
CHENIERE ENERGY INC	COM NEW	16411R208	4	31,000 SH	Put	DFND	1	31,000	0	0
CHESAPEAKE ENERGY CORP	COM	165167107	333	740,000 SH	Call	DFND	1	740,000	0	0
CHIPOTLE MEXICAN GRILL INC	COM	169656105	183	1,600 SH	Call	DFND	3	1,600	0	0
CHIPOTLE MEXICAN GRILL INC	COM	169656105	75	3,500 SH	Put	DFND	3	3,500	0	0
CHURCHILL CAP CORP	UNIT 99/99/9999	17142R202	237	23,400 SH		DFND	1	23,400	0	0
CIGNA CORPORATION	COM	125509109	335	10,000 SH	Call	DFND	1	10,000	0	0
CISCO SYS INC	COM	17275R102	18	7,000 SH	Put	DFND	3	7,000	0	0
CISCO SYS INC	COM	17275R102	63	12,800 SH	Call	DFND	3	12,800	0	0
CLOVIS ONCOLOGY INC	COM	189464100	132	4,500 SH		DFND	1	4,500	0	0
CLOVIS ONCOLOGY INC	COM	189464100	19	37,400 SH	Call	DFND	1	37,400	0	0
CM SEVEN STAR ACQUISITION CO	SHS	G2345N102	687	68,123 SH		DFND	1	68,123	0	0
CM SEVEN STAR ACQUISITION CO	UNIT 08/21/2022	G2345N128	0	1 SH		DFND	1	1	0	0
CM SEVEN STAR ACQUISITION CO	UNIT 08/21/2022	G2345N128	2,750	250,000 SH		DFND	3	250,000	0	0
CME GROUP INC	COM CL A	12572Q105	53	85,600 SH	Put	DFND	5	85,600	0	0

CNH INDL N V	SHS	N20944109	23	50,000	SH	Call	DFND	1	50,000	0	0
COBIZ FINANCIAL INC	COM	190897108	3,861	173,615	SH		DFND	5	173,615	0	0
COCA COLA CO	COM	191216100	41	9,300	SH	Call	DFND	3	9,300	0	0
COCA COLA CO	COM	191216100	17	11,600	SH	Put	DFND	3	11,600	0	0
COHERUS BIOSCIENCES INC	COM	19249H103	41	2,500	SH		DFND	1	2,500	0	0
COHERUS BIOSCIENCES INC	COM	19249H103	28	30,000	SH	Call	DFND	1	30,000	0	0
COMMSCOPE HLDG CO INC	COM	20337X109	225	150,000	SH	Call	DFND	4	150,000	0	0
COMMUNITY HEALTH SYS INC NEW	RIGHT 12/31/2019	203668116	3	469,034	SH		DFND	1	469,034	0	0
CONSTELLATION ALPHA CAP CORP	RIGHT 99/99/9999	G2379F132	33	82,661	SH		DFND	1	82,661	0	0
CONSTELLATION ALPHA CAP CORP	SHS	G2379F108	1,379	136,250	SH		DFND	1	136,250	0	0
CONSTELLATION ALPHA CAP CORP	UNIT 99/99/9999	G2379F124	1,445	136,450	SH		DFND	1	136,450	0	0
CONSTELLATION BRANDS INC	CL A	21036P108	8,314	38,557	SH		DFND	4	38,557	0	0
CONSTELLATION BRANDS INC	CL A	21036P108	122	22,500	SH	Call	DFND	1	22,500	0	0
CONSTELLATION BRANDS INC	CL A	21036P108	5	10,000	SH	Put	DFND	1	10,000	0	0
CONVERGYS CORP	COM	212485106	12,578	529,809	SH		DFND	5	529,809	0	0
COREPOINT LODGING INC	COM	21872L104	694	35,692	SH		DFND	3	35,692	0	0
CORVUS PHARMACEUTICALS INC	COM	221015100	5,051	588,706	SH		DFND	3	588,706	0	0
CUMULUS MEDIA INC	COM CLASS A	231082801	268	15,750	SH		DFND	1	15,750	0	0
CVR PARTNERS LP	COM	126633106	1,016	266,537	SH		DFND	3	266,537	0	0
CVS HEALTH CORP	COM	126650100	26	3,200	SH	Put	DFND	3	3,200	0	0
CVS HEALTH CORP	COM	126650100	85	235,000	SH	Put	DFND	5	235,000	0	0
CVS HEALTH CORP	COM	126650100	18	1,900	SH	Call	DFND	3	1,900	0	0
CYPRESS SEMICONDUCTOR CORP	COM	232806109	225	300,000	SH	Put	DFND	4	300,000	0	0
DASEKE INC	*W EXP 02/27/202	23753F115	78	97,952	SH		DFND	1	97,952	0	0
DAVE & BUSTERS ENTMT INC	COM	238337109	252	3,800	SH		DFND	1	3,800	0	0
DAVE & BUSTERS ENTMT INC	COM	238337109	24	10,000	SH	Put	DFND	1	10,000	0	0
DAVITA INC	COM	23918K108	179	2,500	SH		DFND	1	2,500	0	0
DAVITA INC	COM	23918K108	5	5,000	SH	Call	DFND	1	5,000	0	0
DAVITA INC	COM	23918K108	13	5,000	SH	Put	DFND	1	5,000	0	0
DELL TECHNOLOGIES INC	COM CL V	24703L103	3,951	40,678	SH		DFND	1	40,678	0	0
DELL TECHNOLOGIES INC	COM CL V	24703L103	498	68,300	SH	Put	DFND	1	68,300	0	0
DEVON ENERGY CORP NEW	COM	25179M103	22	18,500	SH	Call	DFND	1	18,500	0	0
DFB HEALTHCARE ACQUISTION CO	*W EXP 04/11/202	23291E117	154	100,966	SH		DFND	1	100,966	0	0
DFB HEALTHCARE ACQUISTION CO	COM	23291E109	477	49,044	SH		DFND	1	49,044	0	0

DIAMONDBACK ENERGY INC	COM	25278X109	1	5 SH		DFND	3	5	0	0
DIAMONDBACK ENERGY INC	COM	25278X109	165	146,000 SH	Put	DFND	5	146,000	0	0
DISCOVERY INC	COM SER A	25470F104	68	15,000 SH	Call	DFND	1	15,000	0	0
DISNEY WALT CO	COM DISNEY	254687106	20	3,600 SH	Put	DFND	3	3,600	0	0
DISNEY WALT CO	COM DISNEY	254687106	32	3,300 SH	Call	DFND	3	3,300	0	0
DISNEY WALT CO	COM DISNEY	254687106	0	500 SH	Call	DFND	1	500	0	0
DISNEY WALT CO	COM DISNEY	254687106	11,518	98,492 SH		DFND	4	98,492	0	0
DISNEY WALT CO	COM DISNEY	254687106	186	544,200 SH	Put	DFND	5	544,200	0	0
DOLLAR TREE INC	COM	256746108	57	126,800 SH	Call	DFND	1	126,800	0	0
DOWDUPONT INC	COM	26078J100	20	6,800 SH	Call	DFND	3	6,800	0	0
DOWDUPONT INC	COM	26078J100	44	7,000 SH	Put	DFND	3	7,000	0	0
DRAPER OAKWOOD TECHNOLOGY	COM CL A	26146L103	2,020	199,177 SH		DFND	1	199,177	0	0
DRAPER OAKWOOD TECHNOLOGY	UNIT 09/30/2024	26146L202	315	28,600 SH		DFND	1	28,600	0	0
DUN & BRADSTREET CORP DEL NE	COM	26483E100	16,563	116,220 SH		DFND	5	116,220	0	0
DUN & BRADSTREET CORP DEL NE	COM	26483E100	0	400 SH	Call	DFND	1	400	0	0
DYNAVAX TECHNOLOGIES CORP	COM NEW	268158201	7	29,300 SH	Put	DFND	1	29,300	0	0
ELECTRONIC ARTS INC	COM	285512109	326	149,000 SH	Call	DFND	1	149,000	0	0
ELECTRONIC ARTS INC	COM	285512109	643	75,000 SH	Call	DFND	4	75,000	0	0
ELECTRONIC ARTS INC	COM	285512109	343	120,000 SH	Put	DFND	1	120,000	0	0
ENBRIDGE ENERGY MANAGEMENT L	SHS UNITS LLI	29250X103	1,501	137,478 SH		DFND	3	137,478	0	0
ENERGEN CORP	COM	29265N108	8,410	97,600 SH		DFND	5	97,600	0	0
ENTERCOM COMMUNICATIONS CORP	CL A	293639100	197	24,900 SH		DFND	3	24,900	0	0
ENVISION HEALTHCARE CORP	COM	29414D100	1,665	36,417 SH		DFND	5	36,417	0	0
ESTRE AMBIENTAL INC	*W EXP 12/21/202	G3206V118	81	232,002 SH		DFND	1	232,002	0	0
EXPRESS SCRIPTS HLDG CO	COM	30219G108	950	10,000 SH		DFND	1	10,000	0	0
EXPRESS SCRIPTS HLDG CO	COM	30219G108	17,861	187,987 SH		DFND	5	187,987	0	0
EXPRESS SCRIPTS HLDG CO	COM	30219G108	290	22,500 SH	Call	DFND	1	22,500	0	0
EXPRESS SCRIPTS HLDG CO	COM	30219G108	209	254,400 SH	Put	DFND	1	254,400	0	0
EXTRACTION OIL AND GAS INC	COM	30227M105	17	13,400 SH	Call	DFND	1	13,400	0	0
EXXON MOBIL CORP	COM	30231G102	58	6,400 SH	Call	DFND	3	6,400	0	0
EXXON MOBIL CORP	COM	30231G102	24	7,900 SH	Put	DFND	3	7,900	0	0
EXXON MOBIL CORP	COM	30231G102	553	75,500 SH	Call	DFND	1	75,500	0	0
FACEBOOK INC	CL A	30303M102	4,782	29,079 SH		DFND	4	29,079	0	0
FACEBOOK INC	CL A	30303M102	37	2,500 SH	Call	DFND	3	2,500	0	0
FACEBOOK INC	CL A	30303M102	66	3,300 SH	Put	DFND	3	3,300	0	0
FACEBOOK INC	CL A	30303M102	720	4,375 SH		DFND	3	4,375	0	0
FALCON MINERALS CORP	*W EXP 07/21/202	30607B208	117	68,591 SH		DFND	1	68,591	0	0

FALCON MINERALS CORP	*W EXP 07/21/202	30607B208	85	50,000	SH		DFND	3	50,000	0	0
FAR PT ACQUISITION CORP	*W EXP 06/01/202	30734W117	68	41,207	SH		DFND	1	41,207	0	0
FAR PT ACQUISITION CORP	COM CL A	30734W109	391	40,578	SH		DFND	1	40,578	0	0
FAR PT ACQUISITION CORP	UNIT 06/01/2025	30734W208	596	57,996	SH		DFND	1	57,996	0	0
FCB FINL HLDGS INC	CL A	30255G103	3,127	65,975	SH		DFND	5	65,975	0	0
FEDERAL STR ACQUISITION CORP	*W EXP 07/24/202	31421V115	248	170,951	SH		DFND	1	170,951	0	0
FEDERAL STR ACQUISITION CORP	CL A	31421V107	686	67,839	SH		DFND	1	67,839	0	0
FEDERAL STR ACQUISITION CORP	CL A	31421V107	57	5,600	SH		DFND	3	5,600	0	0
FGL HLDGS	*W EXP 11/30/202	G3402M110	140	70,942	SH		DFND	1	70,942	0	0
FOREST CITY RLTY TR INC	COM CL A	345605109	27,091	1,079,755	SH		DFND	5	1,079,755	0	0
FORTIVE CORP	COM	34959J108	5,085	300,000	SH	Put	DFND	3	300,000	0	0
FORTIVE CORP	COM	34959J108	17	345,000	SH	Call	DFND	2	345,000	0	0
FORUM MERGER II CORP	UNIT 09/30/2025	34986F202	5,065	500,000	SH		DFND	3	500,000	0	0
G1 THERAPEUTICS INC	COM	3621LQ109	6,013	115,000	SH		DFND	3	115,000	0	0
GCI LIBERTY INC	COM CLASS A	36164V305	1,398	27,420	SH		DFND	3	27,420	0	0
GENERAL DYNAMICS CORP	COM	369550108	553	2,700	SH		DFND	3	2,700	0	0
GENERAL DYNAMICS CORP	COM	369550108	9	600	SH	Put	DFND	3	600	0	0
GENWORTH FINL INC	COM CL A	37247D106	348	83,494	SH		DFND	5	83,494	0	0
GIGCAPITAL INC	*W EXP 06/08/202	37518N122	17	34,867	SH		DFND	1	34,867	0	0
GIGCAPITAL INC	COM	37518N106	3	470,500	SH		DFND	1	470,500	0	0
GIGCAPITAL INC	COM	37518N106	1,530	150,000	SH		DFND	3	150,000	0	0
GIGCAPITAL INC	RIGHT 03/06/2020	37518N114	20	37,960	SH		DFND	1	37,960	0	0
GIGCAPITAL INC	UNIT 03/06/2025	37518N205	1,011	94,096	SH		DFND	1	94,096	0	0
GLOBAL BLOOD THERAPEUTICS IN	COM	37890U108	950	25,000	SH		DFND	3	25,000	0	0
GLOBAL BLOOD THERAPEUTICS IN	COM	37890U108	6	20,000	SH	Call	DFND	3	20,000	0	0
GLOBALSTAR INC	COM	378973408	28	54,625	SH		DFND	1	54,625	0	0
GOPRO INC	CL A	38268T103	99	113,300	SH	Call	DFND	1	113,300	0	0
GOPRO INC	CL A	38268T103	63	110,000	SH	Put	DFND	1	110,000	0	0
GORDON POINTE ACQUISITION CO	COM CLASS A	382788107	2,970	300,044	SH		DFND	1	300,044	0	0
GORES HLDGS II INC	*W EXP 01/13/202	382867117	116	54,922	SH		DFND	1	54,922	0	0
GOVERNMENT PPTYS INCOME TR	COM SHS BEN INT	38376A103	847	75,000	SH		DFND	3	75,000	0	0
GREENLAND ACQUISITIN CORPORA	RIGHT 04/27/2025	G40981113	64	178,856	SH		DFND	1	178,856	0	0
GS ACQUISITION HLDGS CORP	*W EXP 06/12/202	36255F110	709	417,243	SH		DFND	1	417,243	0	0
GS ACQUISITION HLDGS CORP	COM CL A	36255F102	9,356	959,546	SH		DFND	1	959,546	0	0
GS ACQUISITION HLDGS CORP	UNIT 06/12/2023	36255F201	1,335	128,771	SH		DFND	1	128,771	0	0
GTY TECHNOLOGY HOLDINGS INC	*W EXP 10/25/202	G4182A128	16	13,048	SH		DFND	1	13,048	0	0



GTY TECHNOLOGY HOLDINGS INC	CL A	G4182A102	1,014	100,000 SH		DFND	3	100,000	0	0
GW PHARMACEUTICALS PLC	ADS	36197T103	27	13,000 SH	Put	DFND	1	13,000	0	0
GW PHARMACEUTICALS PLC	ADS	36197T103	639	16,500 SH	Call	DFND	1	16,500	0	0
HAIN CELESTIAL GROUP INC	COM	405217100	33	50,000 SH	Put	DFND	1	50,000	0	0
HAIN CELESTIAL GROUP INC	COM	405217100	730	26,900 SH		DFND	1	26,900	0	0
HALCON RES CORP	*W EXP 09/09/202	40537Q118	15	149,500 SH		DFND	1	149,500	0	0
HALLIBURTON CO	COM	406216101	415	10,250 SH		DFND	1	10,250	0	0
HALLIBURTON CO	COM	406216101	1	25,000 SH	Call	DFND	1	25,000	0	0
HALLIBURTON CO	COM	406216101	69	10,000 SH	Put	DFND	1	10,000	0	0
HAYMAKER ACQUISITION CORP	*W EXP 10/27/202	420870115	292	224,403 SH		DFND	1	224,403	0	0
HAYMAKER ACQUISITION CORP	UNIT 10/19/2022	420870206	5,224	500,000 SH		DFND	3	500,000	0	0
HAYMAKER ACQUISITION CORP	UNIT 10/19/2022	420870206	0	1 SH		DFND	1	1	0	0
HENNESSY CAP ACQUSTON CORP I	*W EXP 06/15/202	42588L113	300	200,000 SH		DFND	3	200,000	0	0
HENNESSY CAP ACQUSTON CORP I	COM	42588L105	4,824	471,567 SH		DFND	1	471,567	0	0
HENNESSY CAP ACQUSTON CORP I	COM	42588L105	4,586	450,000 SH		DFND	3	450,000	0	0
HIBBETT SPORTS INC	COM	428567101	973	140,200 SH	Put	DFND	1	140,200	0	0
HL ACQUISITIONS CORP	*W EXP 06/21/202	G4603R122	62	171,295 SH		DFND	1	171,295	0	0
HL ACQUISITIONS CORP	RIGHT 07/17/2023	G4603R130	12	29,080 SH		DFND	1	29,080	0	0
HL ACQUISITIONS CORP	SHS	G4603R106	142	14,859 SH		DFND	1	14,859	0	0
HOSTESS BRANDS INC	*W EXP 11/04/202	44109J114	53	49,900 SH		DFND	1	49,900	0	0
HP INC	COM	40434L105	224	8,700 SH		DFND	3	8,700	0	0
HP INC	COM	40434L105	25	17,700 SH	Put	DFND	3	17,700	0	0
HP INC	COM	40434L105	1	700 SH	Call	DFND	3	700	0	0
HUNTER MARITIME ACQUISITION	*W EXP 11/17/202	Y37828129	86	287,500 SH		DFND	3	287,500	0	0
HUNTER MARITIME ACQUISITION	CL A	Y37828111	205	20,600 SH		DFND	1	20,600	0	0
HUNTER MARITIME ACQUISITION	CL A	Y37828111	5,727	575,000 SH		DFND	3	575,000	0	0
I AM CAP ACQUISITION CO	*W EXP 05/22/202	45074Q116	8	22,473 SH		DFND	1	22,473	0	0
INDUSTREA ACQUISITION CORP	*W EXP 08/01/202	45579J112	123	123,135 SH		DFND	1	123,135	0	0
INDUSTREA ACQUISITION CORP	CL A	45579J104	3,151	308,969 SH		DFND	1	308,969	0	0
INDUSTREA ACQUISITION CORP	CL A	45579J104	102	10,000 SH		DFND	3	10,000	0	0
INDUSTREA ACQUISITION CORP	UNIT 07/21/2024	45579J203	215	19,300 SH		DFND	1	19,300	0	0
INDUSTREA ACQUISITION CORP	UNIT 07/21/2024	45579J203	557	50,000 SH		DFND	3	50,000	0	0
INTEGRATED DEVICE TECHNOLOGY	COM	458118106	31,275	665,274 SH		DFND	5	665,274	0	0

INTEGRATED DEVICE TECHNOLOGY	COM	458118106	488	66,500 SH	Call	DFND	1	66,500	0	0
INTEL CORP	COM	458140100	255	5,400 SH		DFND	3	5,400	0	0
INTEL CORP	COM	458140100	38	7,600 SH	Call	DFND	3	7,600	0	0
INTEL CORP	COM	458140100	48	14,800 SH	Put	DFND	3	14,800	0	0
INTERNATIONAL BUSINESS MACHS	COM	459200101	39	3,600 SH	Call	DFND	3	3,600	0	0
INTERNATIONAL BUSINESS MACHS	COM	459200101	28	3,400 SH	Put	DFND	3	3,400	0	0
INTERNATIONAL BUSINESS MACHS	COM	459200101	499	3,300 SH		DFND	3	3,300	0	0
INTERNATIONAL MNY EXPRESS IN	*W EXP 01/19/202	46005L119	56	23,871 SH		DFND	1	23,871	0	0
INTL PAPER CO	COM	460146103	1	25,000 SH	Call	DFND	1	25,000	0	0
INVESCO QQQ TR	UNIT SER 1	46090E103	929	5,000 SH		DFND	1	5,000	0	0
INVESCO QQQ TR	UNIT SER 1	46090E103	46	55,000 SH	Put	DFND	1	55,000	0	0
INVESCO QQQ TR	UNIT SER 1	46090E103	116	140,000 SH	Put	DFND	4	140,000	0	0
JOHNSON & JOHNSON	COM	478160104	39	5,200 SH	Put	DFND	3	5,200	0	0
JOHNSON & JOHNSON	COM	478160104	215	1,558 SH		DFND	3	1,558	0	0
JOHNSON & JOHNSON	COM	478160104	68	4,200 SH	Call	DFND	3	4,200	0	0
KAYNE ANDERSON ACQUISITION	*W EXP 06/30/202	48661U110	464	333,608 SH		DFND	3	333,608	0	0
KAYNE ANDERSON ACQUISITION	*W EXP 06/30/202	48661U110	93	67,229 SH		DFND	1	67,229	0	0
KAYNE ANDERSON ACQUISITION	COM CL A	48661U102	1,930	189,950 SH		DFND	1	189,950	0	0
KAYNE ANDERSON ACQUISITION	COM CL A	48661U102	5,596	550,824 SH		DFND	3	550,824	0	0
KBL MERGER CORP IV	*W EXP 01/15/202	48242A112	27	125,556 SH		DFND	1	125,556	0	0
KEMPHARM INC	COM	488445107	1,758	366,313 SH		DFND	3	366,313	0	0
KEZAR LIFE SCIENCES INC	COM	49372L100	23,681	1,106,088 SH		DFND	3	1,106,088	0	0
KINDER MORGAN INC DEL	COM	49456B101	23	11,300 SH	Call	DFND	3	11,300	0	0
KINDER MORGAN INC DEL	COM	49456B101	33	30,600 SH	Put	DFND	3	30,600	0	0
KINDER MORGAN INC DEL	COM	49456B101	113	6,400 SH		DFND	3	6,400	0	0
KLA-TENCOR CORP	COM	482480100	16	82,000 SH	Call	DFND	5	82,000	0	0
KLX ENERGY SERVICES HOLDNGS I	COM	48253L106	240	7,500 SH		DFND	1	7,500	0	0
KLX ENERGY SERVICES HOLDNGS I	COM	48253L106	861	26,900 SH		DFND	3	26,900	0	0
KLX INC	COM	482539103	1,883	30,000 SH		DFND	1	30,000	0	0
KLX INC	COM	482539103	102	71,600 SH	Call	DFND	1	71,600	0	0
KLX INC	COM	482539103	19	99,600 SH	Put	DFND	1	99,600	0	0
KMG CHEMICALS INC	COM	482564101	20,871	276,214 SH		DFND	5	276,214	0	0
L3 TECHNOLOGIES INC	COM	502413107	319	1,500 SH		DFND	3	1,500	0	0
L3 TECHNOLOGIES INC	COM	502413107	6,645	31,251 SH		DFND	4	31,251	0	0
LANDCADIA HLDGS INC	*W EXP 06/01/202	51476W115	75	62,968 SH		DFND	1	62,968	0	0
LANDCADIA HLDGS INC	CL A	51476W107	271	25,000 SH		DFND	1	25,000	0	0
LEGACY ACQUISITION CORP	*W EXP 11/30/202	524643111	89	199,014 SH		DFND	1	199,014	0	0

LEGACY ACQUISITION CORP	UNIT 05/31/2024	524643202	2	200 SH		DFND	1	200	0	0
LEGACY ACQUISITION CORP	UNIT 05/31/2024	524643202	9,117	900,000 SH		DFND	3	900,000	0	0
LEISURE ACQUISITION CORP	*W EXP 12/05/201	52539T115	220	205,835 SH		DFND	1	205,835	0	0
LEISURE ACQUISITION CORP	COM	52539T107	309	31,688 SH		DFND	1	31,688	0	0
LEISURE ACQUISITION CORP	UNIT 99/99/9999	52539T206	1,018	100,000 SH		DFND	3	100,000	0	0
LEISURE ACQUISITION CORP	UNIT 99/99/9999	52539T206	0	36 SH		DFND	1	36	0	0
LEO HOLDINGS CORP	*W EXP 02/07/202	G5463L113	445	350,048 SH		DFND	1	350,048	0	0
LF CAP ACQUISITION CORP	*W EXP 06/22/202	50200K116	14	26,082 SH		DFND	1	26,082	0	0
LIBERTY EXPEDIA HOLDINGS	SER A COM	53046P109	2,877	61,170 SH		DFND	3	61,170	0	0
LIBERTY MEDIA CORP DELAWARE	COM A SIRIUSXM	531229409	13,334	306,957 SH		DFND	3	306,957	0	0
LIONS GATE ENTMNT CORP	CL B NON VTG	535919500	1,157	49,657 SH		DFND	4	49,657	0	0
LONGEVITY ACQUISITION CORP	UNIT 08/29/2024	G56372108	4,476	437,064 SH		DFND	1	437,064	0	0
LOWES COS INC	COM	548661107	46	2,600 SH	Call	DFND	3	2,600	0	0
LOWES COS INC	COM	548661107	26	7,500 SH	Put	DFND	3	7,500	0	0
LUMENTUM HLDGS INC	COM	55024U109	524	8,742 SH		DFND	5	8,742	0	0
MAGNOLIA OIL & GAS CORP	*W EXP 07/31/202	559663117	1,813	387,419 SH		DFND	1	387,419	0	0
MANULIFE FINL CORP	COM	56501R106	447	24,971 SH		DFND	3	24,971	0	0
MARINUS PHARMACEUTICALS INC	COM	56854Q101	186	18,600 SH		DFND	1	18,600	0	0
MATLIN & PARTNERS ACQUISITIO	*W EXP 05/28/202	57682V114	375	500,000 SH		DFND	3	500,000	0	0
MATLIN & PARTNERS ACQUISITIO	*W EXP 05/28/202	57682V114	117	155,973 SH		DFND	1	155,973	0	0
MATLIN & PARTNERS ACQUISITIO	CL A	57682V106	65	6,505 SH		DFND	1	6,505	0	0
MATLIN & PARTNERS ACQUISITIO	CL A	57682V106	6,059	605,250 SH		DFND	3	605,250	0	0
MAXIM INTEGRATED PRODS INC	COM	57772K101	70	100,000 SH	Put	DFND	4	100,000	0	0
MEGALITH FINL ACQUISITION CO	*W EXP 08/26/202	58518F117	30	50,200 SH		DFND	1	50,200	0	0
MEGALITH FINL ACQUISITION CO	CL A COM	58518F109	1,414	148,513 SH		DFND	1	148,513	0	0
MEGALITH FINL ACQUISITION CO	UNIT 09/30/2025	58518F208	325	32,214 SH		DFND	1	32,214	0	0
MEGALITH FINL ACQUISITION CO	UNIT 09/30/2025	58518F208	8,372	828,900 SH		DFND	3	828,900	0	0
MERCK & CO INC	COM	58933Y105	132	10,100 SH	Call	DFND	3	10,100	0	0
MERCK & CO INC	COM	58933Y105	26	17,300 SH	Put	DFND	3	17,300	0	0
MICROSOFT CORP	COM	594918104	6,979	61,020 SH		DFND	4	61,020	0	0
MICROSOFT CORP	COM	594918104	62	3,500 SH	Call	DFND	3	3,500	0	0
MICROSOFT CORP	COM	594918104	6	51 SH		DFND	3	51	0	0

MICROSOFT CORP	COM	594918104	25	7,500 SH	Put	DFND	3	7,500	0	0
MIDSTATES PETE CO INC	COM PAR	59804T407	89	10,006 SH		DFND	1	10,006	0	0
mitsubishi UFJ FINL GROUP IN	SPONSORED ADS	606822104	9,683	1,564,243 SH		DFND	3	1,564,243	0	0
MODERN MEDIA ACQUISITION	*W EXP 05/17/202	60765P111	18	30,362 SH		DFND	1	30,362	0	0
MODERN MEDIA ACQUISITION	RIGHT 99/99/9999	60765P129	56	119,724 SH		DFND	1	119,724	0	0
MODERN MEDIA ACQUISITION	UNIT 99/99/9999	60765P202	1,939	178,699 SH		DFND	3	178,699	0	0
MONDELEZ INTL INC	CL A	609207105	18	9,600 SH	Put	DFND	3	9,600	0	0
MONDELEZ INTL INC	CL A	609207105	25	11,400 SH	Call	DFND	3	11,400	0	0
MTECH ACQUISITION CORP	*W EXP 08/01/202	55378W115	191	128,738 SH		DFND	1	128,738	0	0
MUDRICK CAP ACQUISITION CORP	*W EXP 02/12/202	624745113	175	250,000 SH		DFND	3	250,000	0	0
MUDRICK CAP ACQUISITION CORP	COM CLASS A	624745105	0	35 SH		DFND	1	35	0	0
MUDRICK CAP ACQUISITION CORP	COM CLASS A	624745105	2,420	250,000 SH		DFND	3	250,000	0	0
MUDRICK CAP ACQUISITION CORP	UNIT 02/01/2023	624745204	1,030	100,000 SH		DFND	3	100,000	0	0
MYLAN N V	SHS EURO	N59465109	201	5,500 SH		DFND	5	5,500	0	0
MYOKARDIA INC	COM	62857M105	1,108	17,000 SH		DFND	3	17,000	0	0
NANOVIRICIDES INC	COM NEW	630087203	0	373,750 SH		DFND	3	373,750	0	0
NATIONAL ENERGY SERVICES REU	*W EXP 05/05/202	G6375R115	253	112,757 SH		DFND	1	112,757	0	0
NEBULA ACQUISITION CORP	*W EXP 03/12/202	629076118	198	142,737 SH		DFND	1	142,737	0	0
NEBULA ACQUISITION CORP	UNIT 03/12/2025	629076209	1,020	100,000 SH		DFND	3	100,000	0	0
NEBULA ACQUISITION CORP	UNIT 03/12/2025	629076209	141	13,859 SH		DFND	1	13,859	0	0
NETFLIX INC	COM	64110L106	5,294	14,151 SH		DFND	4	14,151	0	0
NEW FRONTIER CORP	*W EXP 99/99/999	G6485P124	682	577,630 SH		DFND	1	577,630	0	0
NEWELL BRANDS INC	COM	651229106	134	6,600 SH		DFND	3	6,600	0	0
NEWELL BRANDS INC	COM	651229106	19	27,200 SH	Call	DFND	3	27,200	0	0
NEWELL BRANDS INC	COM	651229106	127	23,200 SH	Put	DFND	3	23,200	0	0
NEXTERA ENERGY INC	COM	65339F101	838	5,000 SH		DFND	3	5,000	0	0
NIELSEN HLDGS PLC	SHS EUR	G6518L108	318	11,500 SH		DFND	1	11,500	0	0
NIELSEN HLDGS PLC	SHS EUR	G6518L108	36	9,500 SH	Call	DFND	1	9,500	0	0
NII HLDGS INC	COM PAR	62913F508	693	118,241 SH		DFND	1	118,241	0	0
NORBORD INC	COM NEW	65548P403	552	16,666 SH		DFND	3	16,666	0	0
NORTHROP GRUMMAN CORP	COM	666807102	476	1,500 SH		DFND	3	1,500	0	0
NORTHROP GRUMMAN CORP	COM	666807102	28	700 SH	Call	DFND	3	700	0	0
NORTHROP GRUMMAN CORP	COM	666807102	9	400 SH	Put	DFND	3	400	0	0
NVIDIA CORP	COM	67066G104	1,633	5,810 SH		DFND	3	5,810	0	0
NXP SEMICONDUCTORS N V	COM	N6596X109	259	8,000 SH	Put	DFND	1	8,000	0	0

OCCIDENTAL PETE CORP DEL	COM	674599105	27	7,400	SH	Put	DFND	3	7,400	0	0
OCCIDENTAL PETE CORP DEL	COM	674599105	90	1,100	SH		DFND	3	1,100	0	0
OCCIDENTAL PETE CORP DEL	COM	674599105	36	3,500	SH	Call	DFND	3	3,500	0	0
OCEAN RIG UDW INC	COM CL A	G66964118	9	261	SH		DFND	1	261	0	0
OCEAN RIG UDW INC	COM CL A	G66964118	5,005	144,571	SH		DFND	3	144,571	0	0
ONE MADISON CORP	COM CL A	G6772P100	204	21,000	SH		DFND	1	21,000	0	0
ONE MADISON CORP	UNIT 99/99/9999	G6772P118	285	27,517	SH		DFND	1	27,517	0	0
OPES ACQUISITION CORP	*W EXP 03/13/202	68373P118	292	729,676	SH		DFND	1	729,676	0	0
OPES ACQUISITION CORP	COM	68373P100	1,063	107,895	SH		DFND	1	107,895	0	0
OPES ACQUISITION CORP	UNIT 03/07/2023	68373P209	1,298	127,800	SH		DFND	1	127,800	0	0
ORACLE CORP	COM	68389X105	49	10,300	SH	Call	DFND	3	10,300	0	0
ORACLE CORP	COM	68389X105	22	11,300	SH	Put	DFND	3	11,300	0	0
ORBOTECH LTD	ORD	M75253100	33,702	566,995	SH		DFND	5	566,995	0	0
OVID THERAPEUTICS INC	COM	690469101	4,012	707,643	SH		DFND	3	707,643	0	0
PAGSEGURO DIGITAL LTD	COM CL A	G68707101	1,721	62,200	SH		DFND	1	62,200	0	0
PAGSEGURO DIGITAL LTD	COM CL A	G68707101	50	80,000	SH	Call	DFND	1	80,000	0	0
PANDORA MEDIA INC	COM	698354107	285	30,000	SH		DFND	1	30,000	0	0
PANDORA MEDIA INC	COM	698354107	6	9,700	SH	Call	DFND	1	9,700	0	0
PAPA JOHNS INTL INC	COM	698813102	436	8,500	SH		DFND	3	8,500	0	0
PENNEY J C INC	COM	708160106	4	100,000	SH	Call	DFND	1	100,000	0	0
PENSARE ACQUISITION CORP	*W EXP 07/27/202	70957E113	36	70,000	SH		DFND	1	70,000	0	0
PENSARE ACQUISITION CORP	COM	70957E105	3,126	313,871	SH		DFND	1	313,871	0	0
PENSARE ACQUISITION CORP	COM	70957E105	51	5,100	SH		DFND	3	5,100	0	0
PENSARE ACQUISITION CORP	RIGHT 99/99/9999	70957E121	141	312,788	SH		DFND	1	312,788	0	0
PEPSICO INC	COM	713448108	21	4,000	SH	Put	DFND	3	4,000	0	0
PEPSICO INC	COM	713448108	43	3,900	SH	Call	DFND	3	3,900	0	0
PEPSICO INC	COM	713448108	1,907	17,057	SH		DFND	4	17,057	0	0
PETROLEO BRASILEIRO SA PETRO	SPONSORED ADR	71654V408	61	45,000	SH	Call	DFND	1	45,000	0	0
PFIZER INC	COM	717081103	1	21	SH		DFND	3	21	0	0
PFIZER INC	COM	717081103	40	10,600	SH	Call	DFND	3	10,600	0	0
PFIZER INC	COM	717081103	20	14,500	SH	Put	DFND	3	14,500	0	0
PG&E CORP	COM	69331C108	44	7,500	SH	Call	DFND	3	7,500	0	0
PG&E CORP	COM	69331C108	34	9,700	SH	Put	DFND	3	9,700	0	0
PHILIP MORRIS INTL INC	COM	718172109	40	9,800	SH	Call	DFND	3	9,800	0	0
PHILIP MORRIS INTL INC	COM	718172109	94	7,900	SH	Put	DFND	3	7,900	0	0
PHILIP MORRIS INTL INC	COM	718172109	98	1,200	SH		DFND	3	1,200	0	0
PHILLIPS 66	COM	718546104	38	6,600	SH	Put	DFND	3	6,600	0	0
PHILLIPS 66	COM	718546104	106	5,700	SH	Call	DFND	3	5,700	0	0

PINNACLE FOODS INC DEL	COM	72348P104	3,820	58,934	SH		DFND	5	58,934	0	0
PLATINUM EAGLE ACQUISITIN CO	*W EXP 01/17/202	G7126L118	854	632,628	SH		DFND	1	632,628	0	0
PLATINUM EAGLE ACQUISITIN CO	UNIT 01/09/2023	G7126L126	685	65,907	SH		DFND	1	65,907	0	0
PRIORITY TECHNOLOGY HLDGS IN	COM	74275G107	938	96,556	SH		DFND	1	96,556	0	0
PROCTER AND GAMBLE CO	COM	742718109	52	10,500	SH	Call	DFND	3	10,500	0	0
PROCTER AND GAMBLE CO	COM	742718109	58	10,000	SH	Put	DFND	3	10,000	0	0
PROCTER AND GAMBLE CO	COM	742718109	3	41	SH		DFND	3	41	0	0
PROSHARES TR	ULTRASHRT S&P500	74347B383	908	27,438	SH		DFND	3	27,438	0	0
PURE ACQUISITION CORP	CL A	74621Q106	608	62,688	SH		DFND	1	62,688	0	0
PURE ACQUISITION CORP	UNIT 04/13/2023	74621Q205	60	5,811	SH		DFND	1	5,811	0	0
PURE ACQUISITION CORP	UNIT 04/13/2023	74621Q205	2,594	250,000	SH		DFND	3	250,000	0	0
RAYTHEON CO	COM NEW	755111507	620	3,000	SH		DFND	3	3,000	0	0
REGALWOOD GLOBAL ENERGY LTD	*W EXP 12/05/202	G74760128	182	124,340	SH		DFND	1	124,340	0	0
REGALWOOD GLOBAL ENERGY LTD	COM CL A	G74760102	315	32,235	SH		DFND	1	32,235	0	0
RIMINI STR INC DEL	COM	76674Q107	1,544	244,645	SH		DFND	1	244,645	0	0
RITE AID CORP	COM	767754104	3	2,500	SH		DFND	1	2,500	0	0
RITE AID CORP	COM	767754104	0	10,800	SH	Call	DFND	1	10,800	0	0
ROCKWELL COLLINS INC	COM	774341101	21	150	SH		DFND	1	150	0	0
ROCKWELL COLLINS INC	COM	774341101	78,638	559,818	SH		DFND	5	559,818	0	0
ROCKWELL COLLINS INC	COM	774341101	177	101,000	SH	Call	DFND	1	101,000	0	0
ROYAL DUTCH SHELL PLC	SPONS ADR A	780259206	2,296	33,700	SH		DFND	1	33,700	0	0
ROYAL DUTCH SHELL PLC	SPONS ADR A	780259206	19	50,000	SH	Call	DFND	1	50,000	0	0
SABAN CAP ACQUISITION CORP	*W EXP 09/21/202	78516C114	199	180,935	SH		DFND	1	180,935	0	0
SALESFORCE COM INC	COM	79466L302	7,961	50,062	SH		DFND	4	50,062	0	0
SANDRIDGE ENERGY INC	COM NEW	80007P869	109	10,040	SH		DFND	3	10,040	0	0
SANOFI	RIGHT 12/31/2020	80105N113	30	56,517	SH		DFND	1	56,517	0	0
SCHLUMBERGER LTD	COM	806857108	3	60,300	SH	Call	DFND	1	60,300	0	0
SCHLUMBERGER LTD	COM	806857108	45	11,400	SH	Call	DFND	3	11,400	0	0
SCHLUMBERGER LTD	COM	806857108	24	2,900	SH	Put	DFND	3	2,900	0	0
SCHWAB CHARLES CORP NEW	COM	808513105	541	11,000	SH		DFND	3	11,000	0	0
SCIENCE APPLICATNS INTL CP N	COM	808625107	113	85,000	SH	Call	DFND	5	85,000	0	0

SENSATA TECHNOLOGIES HLDNG P	SHS	G8060N102	305	175,000	SH	Put	DFND	4	175,000	0	0
SENTINEL ENERGY SVCS INC	*W EXP 11/02/202	G8062D110	14	11,203	SH		DFND	1	11,203	0	0
SENTINEL ENERGY SVCS INC	COM CL A	G8062D102	99	10,100	SH		DFND	1	10,100	0	0
SENTINEL ENERGY SVCS INC	UNIT 11/02/2022	G8062D128	4,128	400,000	SH		DFND	3	400,000	0	0
SENTINEL ENERGY SVCS INC	UNIT 11/02/2022	G8062D128	0	2	SH		DFND	1	2	0	0
SERVICENOW INC	COM	81762P102	5,570	28,472	SH		DFND	4	28,472	0	0
SHIRE PLC	SPONSORED ADR	82481R106	12,985	71,633	SH		DFND	5	71,633	0	0
SHIRE PLC	SPONSORED ADR	82481R106	33	2,000	SH	Call	DFND	1	2,000	0	0
SIMPLY GOOD FOODS CO	*W EXP 07/07/202	82900L110	2,783	350,100	SH		DFND	1	350,100	0	0
SIRIUS XM HLDGS INC	COM	82968B103	2,844	450,000	SH		DFND	2	450,000	0	0
SIRIUS XM HLDGS INC	COM	82968B103	2,020	1,161,000	SH	Put	DFND	3	1,161,000	0	0
SIRIUS XM HLDGS INC	COM	82968B103	303	48,000	SH		DFND	1	48,000	0	0
SIRIUS XM HLDGS INC	COM	82968B103	788	450,000	SH	Put	DFND	2	450,000	0	0
SIRIUS XM HLDGS INC	COM	82968B103	57	70,000	SH	Put	DFND	1	70,000	0	0
SOCIAL CAP HEDOSOPHIA HLDGS	*W EXP 09/14/202	G8250R129	180	167,921	SH		DFND	1	167,921	0	0
SOCIAL CAP HEDOSOPHIA HLDGS	CL A	G8250R103	352	35,491	SH		DFND	1	35,491	0	0
SOCIAL CAP HEDOSOPHIA HLDGS	CL A	G8250R103	9,910	1,000,000	SH		DFND	3	1,000,000	0	0
SPARTAN ENERGY ACQUISITON CO	UNIT 99/99/9999	846784114	10,150	1,000,000	SH		DFND	3	1,000,000	0	0
SPARTAN ENERGY ACQUISITON CO	UNIT 99/99/9999	846784114	3,337	328,780	SH		DFND	1	328,780	0	0
SPDR S&P 500 ETF TR	TR UNIT	78462F103	187	160,000	SH	Put	DFND	4	160,000	0	0
SPDR S&P 500 ETF TR	TR UNIT	78462F103	15	10,000	SH	Put	DFND	1	10,000	0	0
SPDR S&P 500 ETF TR	TR UNIT	78462F103	98	391,000	SH	Call	DFND	5	391,000	0	0
SPDR S&P 500 ETF TR	TR UNIT	78462F103	83	45,000	SH	Call	DFND	4	45,000	0	0
SPDR S&P 500 ETF TR	TR UNIT	78462F103	4	1,200	SH	Call	DFND	3	1,200	0	0
SPDR S&P 500 ETF TR	TR UNIT	78462F103	288	1,000	SH	Call	DFND	1	1,000	0	0
SPDR S&P 500 ETF TR	TR UNIT	78462F103	9,536	32,800	SH		DFND	3	32,800	0	0
SPDR S&P 500 ETF TR	TR UNIT	78462F103	951	3,270	SH		DFND	4	3,270	0	0
SPDR SERIES TRUST	S&P BIOTECH	78464A870	5	50,000	SH	Call	DFND	1	50,000	0	0
SPDR SERIES TRUST	S&P BIOTECH	78464A870	6	40,000	SH	Put	DFND	1	40,000	0	0
SPLUNK INC	COM	848637104	6,047	50,016	SH		DFND	4	50,016	0	0
SPOTIFY TECHNOLOGY S A	SHS	L8681T102	12,008	66,407	SH		DFND	4	66,407	0	0
SPRINT CORP	COM SER 1	85207U105	569	87,000	SH		DFND	1	87,000	0	0
SPRINT CORP	COM SER 1	85207U105	1,911	292,200	SH		DFND	5	292,200	0	0
SPRINT CORP	COM SER 1	85207U105	61	93,500	SH	Put	DFND	1	93,500	0	0
SPROTT PHYSICAL GOLD & SILVE	TR UNIT	85208R101	9,613	823,000	SH		DFND	3	823,000	0	0
STERLING BANCORP DEL	COM	85917A100	1,143	51,960	SH		DFND	3	51,960	0	0

STEWART INFORMATION SVCS COR	COM	860372101	1,331	29,570	SH		DFND	5	29,570	0	0
STITCH FIX INC	COM CL A	860897107	376	8,600	SH		DFND	1	8,600	0	0
STITCH FIX INC	COM CL A	860897107	22	11,300	SH	Call	DFND	1	11,300	0	0
SUMITOMO MITSUI FINL GROUP I	SPONSORED ADR	86562M209	3,188	397,000	SH		DFND	2	397,000	0	0
SUMITOMO MITSUI FINL GROUP I	SPONSORED ADR	86562M209	12,045	1,500,000	SH		DFND	3	1,500,000	0	0
SUN LIFE FINL INC	COM	866796105	236	5,946	SH		DFND	3	5,946	0	0
SUNCOR ENERGY INC NEW	COM	867224107	274	7,077	SH		DFND	3	7,077	0	0
SUTRO BIOPHARMA INC	COM	869367102	6,260	417,317	SH		DFND	1	417,317	0	0
SYMANTEC CORP	COM	871503108	61	19,100	SH	Put	DFND	3	19,100	0	0
SYMANTEC CORP	COM	871503108	70	24,300	SH	Call	DFND	3	24,300	0	0
SYNNEX CORP	COM	87162W100	129	110,000	SH	Call	DFND	5	110,000	0	0
SYNNEX CORP	COM	87162W100	4,285	50,588	SH		DFND	5	50,588	0	0
SYNOVUS FINL CORP	COM NEW	87161C501	207	4,521	SH		DFND	3	4,521	0	0
SYNOVUS FINL CORP	COM NEW	87161C501	6,174	134,834	SH		DFND	5	134,834	0	0
SYNOVUS FINL CORP	COM NEW	87161C501	5	95,200	SH	Call	DFND	5	95,200	0	0
T MOBILE US INC	COM	872590104	1,602	22,821	SH		DFND	4	22,821	0	0
T MOBILE US INC	COM	872590104	94	125,000	SH	Put	DFND	5	125,000	0	0
TAKE-TWO INTERACTIVE SOFTWARE	COM	874054109	67	34,800	SH	Put	DFND	4	34,800	0	0
TAKE-TWO INTERACTIVE SOFTWARE	COM	874054109	10,375	75,188	SH		DFND	4	75,188	0	0
TARGA RES CORP	COM	87612G101	366	162,700	SH	Put	DFND	1	162,700	0	0
TARGA RES CORP	COM	87612G101	4,815	85,500	SH		DFND	1	85,500	0	0
TARGA RES CORP	COM	87612G101	26	30,000	SH	Call	DFND	1	30,000	0	0
TARGET CORP	COM	87612E106	66	5,600	SH	Call	DFND	3	5,600	0	0
TARGET CORP	COM	87612E106	30	8,900	SH	Put	DFND	3	8,900	0	0
TE CONNECTIVITY LTD	REG SHS	H84989104	95	35,000	SH	Put	DFND	4	35,000	0	0
TELECOM ARGENTINA S A	SPON ADR REP B	879273209	182	10,448	SH		DFND	3	10,448	0	0
TENNECO INC	COM	880349105	33	20,000	SH	Put	DFND	1	20,000	0	0
TENNECO INC	COM	880349105	169	4,000	SH		DFND	1	4,000	0	0
TERADYNE INC	COM	880770102	228	225,000	SH	Put	DFND	4	225,000	0	0
TESLA INC	COM	88160R101	85	35,000	SH	Call	DFND	1	35,000	0	0
TEXAS INSTRS INC	COM	882508104	44	5,900	SH	Call	DFND	3	5,900	0	0
TEXAS INSTRS INC	COM	882508104	33	2,100	SH	Put	DFND	3	2,100	0	0
TEXAS INSTRS INC	COM	882508104	90	75,000	SH	Put	DFND	4	75,000	0	0
TG THERAPEUTICS INC	COM	88322Q108	1	20,000	SH	Call	DFND	1	20,000	0	0
TG THERAPEUTICS INC	COM	88322Q108	1	300	SH	Put	DFND	1	300	0	0
THOMSON REUTERS CORP	COM	884903105	3,259	71,357	SH		DFND	3	71,357	0	0
THUNDER BRIDGE ACQUISITION L	SHS CLASS A	G8857R100	739	76,137	SH		DFND	1	76,137	0	0
THUNDER BRIDGE ACQUISITION L	UNIT 01/01/2025	G8857R118	2,091	205,800	SH		DFND	3	205,800	0	0
TIBERIUS ACQUISITION CORP	COM	88633A107	112	11,548	SH		DFND	1	11,548	0	0



TILRAY INC	COM CL 2	88688T100	24,280	169,055	SH		DFND	1	169,055	0	0
TILRAY INC	COM CL 2	88688T100	1,940	39,000	SH	Call	DFND	1	39,000	0	0
TILRAY INC	COM CL 2	88688T100	149	58,500	SH	Put	DFND	1	58,500	0	0
TKK SYMPHONY ACQUISITION COR	*W EXP 02/20/202	G88950129	56	233,180	SH		DFND	1	233,180	0	0
TKK SYMPHONY ACQUISITION COR	ORD SHS	G88950103	974	102,551	SH		DFND	1	102,551	0	0
TKK SYMPHONY ACQUISITION COR	RIGHT 02/20/2020	G88950137	27	59,016	SH		DFND	1	59,016	0	0
TOTAL S A	SPONSORED ADR	89151E109	3	40	SH		DFND	2	40	0	0
TOTAL S A	SPONSORED ADR	89151E109	25	10,000	SH	Call	DFND	3	10,000	0	0
TOTTENHAM ACQUISITION I LTD	RIGHT 06/05/2020	G8959N122	82	222,167	SH		DFND	1	222,167	0	0
TOTTENHAM ACQUISITION I LTD	SHS	G8959N106	1,690	174,196	SH		DFND	1	174,196	0	0
TPG PACE HLDGS CORP	CL A	G89827128	455	45,151	SH		DFND	1	45,151	0	0
TRANSENTERIX INC	COM NEW	89366M201	203	35,000	SH		DFND	1	35,000	0	0
TRIDENT ACQUISITIONS CORP	COM	89615T106	226	22,978	SH		DFND	1	22,978	0	0
TRIDENT ACQUISITIONS CORP	UNIT 05/09/2023	89615T205	6,622	654,300	SH		DFND	3	654,300	0	0
TRINITY INDS INC	COM	896522109	147	4,000	SH		DFND	1	4,000	0	0
TRINITY INDS INC	COM	896522109	9	60,400	SH	Put	DFND	1	60,400	0	0
TRINITY MERGER CORP	UNIT 05/11/2025	89653L205	1,287	125,240	SH		DFND	3	125,240	0	0
TRINITY MERGER CORP	UNIT 05/11/2025	89653L205	171	16,656	SH		DFND	1	16,656	0	0
TRONOX LTD	SHS CL A	Q9235V101	1,092	91,345	SH		DFND	5	91,345	0	0
TWELVE SEAS INVESTMENT COMPA	*W EXP 12/22/202	G9145A115	188	522,262	SH		DFND	1	522,262	0	0
TWELVE SEAS INVESTMENT COMPA	RIGHT 12/22/2019	G9145A131	432	1,029,020	SH		DFND	1	1,029,020	0	0
TWENTY FIRST CENTY FOX INC	CL A	90130A101	446	431,500	SH	Put	DFND	1	431,500	0	0
TWENTY FIRST CENTY FOX INC	CL A	90130A101	629	562,600	SH	Call	DFND	5	562,600	0	0
TWENTY FIRST CENTY FOX INC	CL A	90130A101	99	465,100	SH	Put	DFND	5	465,100	0	0
TWENTY FIRST CENTY FOX INC	CL A	90130A101	14,176	305,981	SH		DFND	5	305,981	0	0
TWENTY FIRST CENTY FOX INC	CL A	90130A101	4,276	92,300	SH		DFND	1	92,300	0	0
TWENTY FIRST CENTY FOX INC	CL A	90130A101	53	250,500	SH	Call	DFND	1	250,500	0	0
TWENTY FIRST CENTY FOX INC	CL B	90130A200	916	20,000	SH		DFND	1	20,000	0	0
TWENTY FIRST CENTY FOX INC	CL B	90130A200	2,749	60,000	SH		DFND	3	60,000	0	0
TWENTY FIRST CENTY FOX INC	CL B	90130A200	13,192	287,905	SH		DFND	5	287,905	0	0
TWENTY FIRST CENTY FOX INC	CL B	90130A200	11	151,500	SH	Call	DFND	1	151,500	0	0
TWENTY FIRST CENTY FOX INC	CL B	90130A200	126	39,600	SH	Put	DFND	1	39,600	0	0
ULTRAGENYX PHARMACEUTICAL IN	COM	90400D108	1,527	20,000	SH		DFND	3	20,000	0	0
UNILEVER PLC	SPON ADR NEW	904767704	3,215	58,492	SH		DFND	3	58,492	0	0

UNION ACQUISITION CORP	*W EXP 07/01/202	G9366W127	16	44,909	SH		DFND	1	44,909	0	0
UNION ACQUISITION CORP	SHS	G9366W101	152	15,582	SH		DFND	1	15,582	0	0
UNITED NAT FOODS INC	COM	911163103	15	226,000	SH	Call	DFND	5	226,000	0	0
UNITED TECHNOLOGIES CORP	COM	913017109	160	40,000	SH	Call	DFND	4	40,000	0	0
UNITED TECHNOLOGIES CORP	COM	913017109	7	15,000	SH	Put	DFND	1	15,000	0	0
UNITED TECHNOLOGIES CORP	COM	913017109	21	25,000	SH	Put	DFND	5	25,000	0	0
UNUM THERAPEUTICS INC	COM	903214104	6,696	650,095	SH		DFND	3	650,095	0	0
US BANCORP DEL	COM NEW	902973304	18	7,100	SH	Call	DFND	3	7,100	0	0
US BANCORP DEL	COM NEW	902973304	26	6,800	SH	Put	DFND	3	6,800	0	0
US BANCORP DEL	COM NEW	902973304	53	1,000	SH		DFND	3	1,000	0	0
USA TECHNOLOGIES INC	COM NO PAR	90328S500	45	42,000	SH	Put	DFND	1	42,000	0	0
USA TECHNOLOGIES INC	COM NO PAR	90328S500	257	35,750	SH		DFND	1	35,750	0	0
VANECK VECTORS ETF TR	SEMICONDUCTOR ET	92189F676	201	160,000	SH	Put	DFND	4	160,000	0	0
VANTAGE ENERGY ACQUISITION	COM CL A	92211L105	410	41,085	SH		DFND	1	41,085	0	0
VANTAGE ENERGY ACQUISITION	COM CL A	92211L105	72	7,250	SH		DFND	3	7,250	0	0
VANTAGE ENERGY ACQUISITION	UNIT 04/12/2024	92211L204	11	1,000	SH		DFND	1	1,000	0	0
VANTAGE ENERGY ACQUISITION	UNIT 04/12/2024	92211L204	10,600	1,000,000	SH		DFND	3	1,000,000	0	0
VECTIQ ACQUISITION CORP	*W EXP 05/11/202	92243N111	30	48,639	SH		DFND	1	48,639	0	0
VECTIQ ACQUISITION CORP	COM	92243N103	3,897	1,568,224	SH		DFND	1	1,568,224	0	0
VECTIQ ACQUISITION CORP	UNIT 05/11/2023	92243N202	3,026	296,667	SH		DFND	1	296,667	0	0
VERIZON COMMUNICATIONS INC	COM	92343V104	133	2,500	SH		DFND	3	2,500	0	0
VERIZON COMMUNICATIONS INC	COM	92343V104	15	50,000	SH	Call	DFND	1	50,000	0	0
VERIZON COMMUNICATIONS INC	COM	92343V104	29	4,700	SH	Call	DFND	3	4,700	0	0
VERIZON COMMUNICATIONS INC	COM	92343V104	29	12,900	SH	Put	DFND	3	12,900	0	0
VISTRA ENERGY CORP	*W EXP 02/02/202	92840M128	36	24,120	SH		DFND	1	24,120	0	0
VMWARE INC	CL A COM	928563402	117	45,700	SH	Put	DFND	1	45,700	0	0
VMWARE INC	CL A COM	928563402	5,325	34,122	SH		DFND	1	34,122	0	0
WALMART INC	COM	931142103	61	7,700	SH	Put	DFND	3	7,700	0	0
WALMART INC	COM	931142103	62	7,300	SH	Call	DFND	3	7,300	0	0
WALMART INC	COM	931142103	28	300	SH		DFND	3	300	0	0
WHEATON PRECIOUS METALS CORP	COM	962879102	1	50,000	SH	Call	DFND	1	50,000	0	0

WILLSCOT CORP	COM	971375126	546	31,843	SH	DFND	1	31,843	0	0
WPX ENERGY INC	COM	98212B103	31	25,000	SH	Call DFND	1	25,000	0	0
WYNN RESORTS LTD	COM	983134107	53	40,000	SH	Call DFND	4	40,000	0	0
WYNN RESORTS LTD	COM	983134107	150	20,000	SH	Put DFND	1	20,000	0	0
WYNN RESORTS LTD	COM	983134107	283	151,500	SH	Call DFND	1	151,500	0	0
WYNN RESORTS LTD	COM	983134107	1,944	15,300	SH	DFND	1	15,300	0	0
XILINX INC	COM	983919101	95	75,000	SH	Call DFND	4	75,000	0	0
XILINX INC	COM	983919101	5,697	71,060	SH	DFND	4	71,060	0	0
XO GROUP INC	COM	983772104	4	10,000	SH	Call DFND	1	10,000	0	0
XO GROUP INC	COM	983772104	1	2,300	SH	Put DFND	1	2,300	0	0
XPO LOGISTICS INC	COM	983793100	285	2,500	SH	DFND	1	2,500	0	0
XPO LOGISTICS INC	COM	983793100	31	20,700	SH	Put DFND	1	20,700	0	0
YOGAWORKS INC	COM	986005106	1,078	898,317	SH	DFND	1	898,317	0	0
ZYNGA INC	CL A	98986T108	9	74,100	SH	Call DFND	1	74,100	0	0