

The Securities and Exchange Commission has not necessarily reviewed the information in this filing and has not determined if it is accurate and complete.

The reader should not assume that the information is accurate and complete.

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
Washington, D.C. 20549  
**FORM 13F**

OMB APPROVAL

OMB Number: 3235-0006  
Expires: July 31, 2015  
Estimated average burden  
hours per response: 23.8

**FORM 13F COVER PAGE**

Report for the Calendar Year or Quarter Ended: 06-30-2019

Check here if Amendment  Amendment Number: 1

This Amendment (Check only one.):  is a restatement.

adds new holdings entries.

**Institutional Investment Manager Filing this Report:**

Name: COWEN INC.

Address: 599 LEXINGTON AVENUE, 20TH FLOOR

NEW YORK, NY 10022

Form 13F File  
Number: 028-14571

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, lists, and tables, are considered integral parts of this form.

Person Signing this Report on Behalf of Reporting Manager:

Name: Owen Littman

Title: General Counsel

Phone: 212-201-4841

**Signature, Place, and Date of Signing:**

/s/ Owen Littman

[Signature]

New York, NY

[City, State]

08-14-2019

[Date]

**Report Type (Check only one.):**

13F HOLDINGS REPORT. (Check here if all holdings of this reporting manager are reported in this report.)

13F NOTICE. (Check here if no holdings reported are in this report, and all holdings are reported by other reporting manager(s).)

13F COMBINATION REPORT. (Check here if a portion of the holdings for this reporting manager are reported in this report and a portion are reported by other reporting manager(s).)

**Form 13F Summary Page**

**Report Summary:**

Number of Other Included Managers: 4

Form 13F Information Table Entry Total: 511

Form 13F Information Table Value Total: 1,388,432

(thousands)

**List of Other Included Managers:**

Provide a numbered list of the name(s) and Form 13F file number(s) of all institutional investment managers with respect to which this report is filed, other than the manager filing this report.

[If there are no entries in this list, state "NONE" and omit the column headings and list entries.]

No.	Form 13F File Number	Name
1	028-04125	COWEN & COMPANY, LLC
2	028-18771	COWEN EXECUTION SERVICES LLC
3	028-13626	Cowen Investment Management LLC
4	028-10674	RAMIUS ADVISORS LLC

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**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
 Washington, D.C. 20549  
**FORM 13F**

OMB APPROVAL	
OMB Number:	3235-0006
Expires:	July 31, 2015
Estimated average burden hours per response:	23.8

**FORM 13F INFORMATION TABLE**

COLUMN 1 NAME OF ISSUER	COLUMN 2 TITLE OF CLASS	COLUMN 3 CUSIP	COLUMN 4	COLUMN 5			COLUMN 6 INVESTMENT DISCRETION	COLUMN 7 OTHER MANAGER	COLUMN 8 VOTING AUTHORITY		
			VALUE (x\$1000)	SHRS OR PRN	SH/ AMT	PUT/ CALL			SOLE	SHARED	NONE
8I ENTERPRISES ACQUISITIN CO	*W EXP 10/29/202	G2956M112	122	435,067	SH		DFND	1	435,067	0	0
8I ENTERPRISES ACQUISITIN CO	RIGHT 10/29/2020	G2956M120	30	77,959	SH		DFND	1	77,959	0	0
8I ENTERPRISES ACQUISITIN CO	SHS	G2956M104	3,465	350,000	SH		DFND	3	350,000	0	0
8I ENTERPRISES ACQUISITIN CO	SHS	G2956M104	69	6,966	SH		DFND	1	6,966	0	0
8I ENTERPRISES ACQUISITIN CO	UNIT 99/99/9999	G2956M138	164	15,573	SH		DFND	1	15,573	0	0
ABBVIE INC	COM	00287Y109	514	206,800	SH	Call	DFND	1	206,800	0	0
ABBVIE INC	COM	00287Y109	29	143,500	SH	Put	DFND	1	143,500	0	0
ACAMAR PARTNERS ACQSITION CO	UNIT 12/30/2025	004285201	999	100,000	SH		DFND	1	100,000	0	0
ACAMAR PARTNERS ACQSITION CO	UNIT 12/30/2025	004285201	2,008	201,000	SH		DFND	3	201,000	0	0
ACCELERATE DIAGNOSTICS INC	COM	00430H102	197	8,600	SH		DFND	1	8,600	0	0
ACCELERATE DIAGNOSTICS INC	COM	00430H102	2	5,000	SH	Put	DFND	1	5,000	0	0
ACT II GLOBAL ACQUISITION CO	UNIT 04/30/2026	G0080J112	643	63,555	SH		DFND	1	63,555	0	0
ADVANCED MICRO DEVICES INC	COM	007903107	547	18,000	SH		DFND	1	18,000	0	0
ADVANCED MICRO DEVICES INC	COM	007903107	13	40,000	SH	Put	DFND	1	40,000	0	0
AGBA ACQUISITION LTD	UNIT 05/10/2024	G0120M133	645	64,324	SH		DFND	1	64,324	0	0
ALBERTON ACQUISITION CORP	*W EXP 04/26/202	G35006124	74	669,780	SH		DFND	1	669,780	0	0
ALBERTON ACQUISITION CORP	ORD SHS	G35006108	379	37,364	SH		DFND	1	37,364	0	0
ALBERTON ACQUISITION CORP	RIGHT 10/04/2020	G35006132	30	121,149	SH		DFND	1	121,149	0	0
ALLEGRO MERGER CORP	*W EXP 01/06/202	01749N111	7	24,278	SH		DFND	1	24,278	0	0
ALLEGRO MERGER CORP	COM	01749N103	546	54,529	SH		DFND	1	54,529	0	0
ALLEGRO MERGER CORP	RIGHT 12/31/2023	01749N129	14	68,978	SH		DFND	1	68,978	0	0
ALTA MESA RES INC	*W EXP 03/28/202	02133L117	22	3,075,558	SH		DFND	1	3,075,558	0	0
AMCI ACQUISITION CORP	*W EXP 05/20/202	00165R119	4	13,000	SH		DFND	1	13,000	0	0
AMERIGAS PARTNERS L P	UNIT L P INT	030975106	1,606	46,083	SH		DFND	3	46,083	0	0
AMICUS THERAPEUTICS INC	COM	03152W109	2	22,500	SH	Call	DFND	1	22,500	0	0

ANADARKO PETE CORP	COM	032511107	42,756	605,955	SH		DFND	4	605,955	0	0
ANADARKO PETE CORP	COM	032511107	91	113,500	SH	Put	DFND	1	113,500	0	0
ANADARKO PETE CORP	COM	032511107	131	492,200	SH	Put	DFND	4	492,200	0	0
ANDINA ACQUISITION CORP III	*W EXP 07/31/202	G04415124	22	102,810	SH		DFND	1	102,810	0	0
ANDINA ACQUISITION CORP III	RIGHT 07/31/2020	G04415132	93	358,207	SH		DFND	1	358,207	0	0
ANDINA ACQUISITION CORP III	SHS	G04415108	318	32,104	SH		DFND	1	32,104	0	0
ANDINA ACQUISITION CORP III	UNIT 09/24/2020	G04415116	947	92,157	SH		DFND	1	92,157	0	0
ANTERO RES CORP	COM	03674X106	2	31,000	SH	Call	DFND	1	31,000	0	0
APHRIA INC	COM	03765K104	245	35,000	SH		DFND	1	35,000	0	0
APHRIA INC	COM	03765K104	4,927	702,864	SH		DFND	2	702,864	0	0
APHRIA INC	COM	03765K104	15	97,600	SH	Call	DFND	1	97,600	0	0
APHRIA INC	COM	03765K104	257	117,200	SH	Put	DFND	2	117,200	0	0
APPLIED MATLS INC	COM	038222105	221	55,000	SH	Call	DFND	1	55,000	0	0
ARCHER DANIELS MIDLAND CO	COM	039483102	19	20,500	SH	Call	DFND	1	20,500	0	0
ARQULE INC	COM	04269E107	192	37,200	SH	Call	DFND	1	37,200	0	0
ARRAY BIOPHARMA INC	COM	04269X105	10,073	217,408	SH		DFND	4	217,408	0	0
ARRAY BIOPHARMA INC	COM	04269X105	64	787,100	SH	Put	DFND	4	787,100	0	0
ARYA SCIENCES ACQUISITION CO	UNIT 10/04/2023	G3165V117	265	24,800	SH		DFND	1	24,800	0	0
AT HOME GROUP INC	COM	04650Y100	371	546,400	SH	Call	DFND	4	546,400	0	0
AT HOME GROUP INC	COM	04650Y100	343	51,449	SH		DFND	4	51,449	0	0
AT HOME GROUP INC	COM	04650Y100	21	25,000	SH	Call	DFND	1	25,000	0	0
AT&T INC	COM	00206R102	88	100,000	SH	Call	DFND	1	100,000	0	0
AT&T INC	COM	00206R102	898	26,800	SH		DFND	1	26,800	0	0
ATHENE HLDG LTD	CL A	G0684D107	1,077	25,000	SH		DFND	1	25,000	0	0
ATLAS AIR WORLDWIDE HLDGS IN	NOTE 1.875% 6/0	049164BJ4	307	300,000	PRN		DFND	1	300,000	0	0
AUDENTES THERAPEUTICS INC	COM	05070R104	1,446	38,190	SH		DFND	3	38,190	0	0
AURORA CANNABIS INC	COM	05156X108	490	62,700	SH		DFND	1	62,700	0	0
AURORA CANNABIS INC	COM	05156X108	76	60,600	SH	Call	DFND	1	60,600	0	0
AVAYA HLDGS CORP	COM	05351X101	655	55,000	SH		DFND	1	55,000	0	0
AVAYA HLDGS CORP	COM	05351X101	2	20,200	SH	Call	DFND	1	20,200	0	0
AVAYA HLDGS CORP	COM	05351X101	25	125,000	SH	Call	DFND	4	125,000	0	0
AVON PRODS INC	COM	054303102	506	130,500	SH		DFND	1	130,500	0	0
AVON PRODS INC	COM	054303102	617	753,200	SH	Call	DFND	1	753,200	0	0
AVON PRODS INC	COM	054303102	4	40,000	SH	Put	DFND	1	40,000	0	0

AXALTA COATING SYS LTD	COM	G0750C108	997	33,500	SH		DFND	1	33,500	0	0
B RILEY PRINCIPAL MERGER COR	CL A	05586Y106	183	18,800	SH		DFND	1	18,800	0	0
B RILEY PRINCIPAL MERGER COR	UNIT 99/99/9999	05586Y205	3,194	320,411	SH		DFND	1	320,411	0	0
BANC OF CALIFORNIA INC	COM	05990K106	8	150,000	SH	Call	DFND	1	150,000	0	0
BANC OF CALIFORNIA INC	COM	05990K106	38	150,000	SH	Put	DFND	1	150,000	0	0
BANCO SANTANDER MEXICO S A	SPONSORED ADS B	05969B103	2,481	324,337	SH		DFND	2	324,337	0	0
BANCO SANTANDER SA	ADR	05964H105	41,379	9,034,700	SH		DFND	2	9,034,700	0	0
BANCO SANTANDER SA	ADR	05964H105	20,232	4,417,479	SH		DFND	3	4,417,479	0	0
BANK N S HALIFAX	COM	064149107	54,350	1,000,000	SH		DFND	3	1,000,000	0	0
BANK N S HALIFAX	COM	064149107	5,811	1,000,000	SH	Put	DFND	3	1,000,000	0	0
BARCLAYS PLC	ADR	06738E204	2,468	324,358	SH		DFND	3	324,358	0	0
BARNES & NOBLE INC	COM	067774109	1	50,000	SH	Put	DFND	1	50,000	0	0
BB&T CORP	COM	054937107	198	201,300	SH	Put	DFND	4	201,300	0	0
BEST BUY INC	COM	086516101	15	24,000	SH	Put	DFND	1	24,000	0	0
BEYOND MEAT INC	COM	08862E109	41	16,200	SH	Call	DFND	2	16,200	0	0
BHP GROUP PLC	SPONSORED ADR	05545E209	4,644	90,868	SH		DFND	2	90,868	0	0
BIG ROCK PARTNERS ACQUI SI CO	*W EXP 12/01/202	089482111	15	67,000	SH		DFND	1	67,000	0	0
BIG ROCK PARTNERS ACQUI SI CO	RIGHT 12/01/2022	089482129	17	90,020	SH		DFND	1	90,020	0	0
BIOHAVEN PHARMACTL HLDG CO L	COM	G11196105	119	12,500	SH	Put	DFND	1	12,500	0	0
BIOHAVEN PHARMACTL HLDG CO L	COM	G11196105	543	105,000	SH	Call	DFND	4	105,000	0	0
BIOHAVEN PHARMACTL HLDG CO L	COM	G11196105	48	30,200	SH	Call	DFND	1	30,200	0	0
BIOSCRIP INC	COM	09069N108	27	10,239	SH		DFND	4	10,239	0	0
BLACK RIDGE ACQUISITION CORP	*W EXP 07/10/202	09216T115	27	65,600	SH		DFND	1	65,600	0	0
BLACK RIDGE ACQUISITION CORP	*W EXP 07/10/202	09216T115	21	50,000	SH		DFND	3	50,000	0	0
BLACK RIDGE ACQUISITION CORP	COM	09216T107	1	100	SH		DFND	1	100	0	0
BLACK RIDGE ACQUISITION CORP	COM	09216T107	6,894	670,000	SH		DFND	3	670,000	0	0
BLACK RIDGE ACQUISITION CORP	RIGHT 07/10/2019	09216T123	21	50,000	SH		DFND	3	50,000	0	0
BLACK RIDGE ACQUISITION CORP	RIGHT 07/10/2019	09216T123	208	494,461	SH		DFND	1	494,461	0	0
BLUEBIRD BIO INC	COM	09609G100	1,908	15,000	SH		DFND	3	15,000	0	0
BLUEPRINT MEDICINES CORP	COM	09627Y109	2,075	22,000	SH		DFND	3	22,000	0	0
BOXWOOD MERGER CORP	*W EXP 11/26/202	10319T119	19	31,198	SH		DFND	1	31,198	0	0
BOXWOOD MERGER CORP	UNIT 11/26/2025	10319T200	192	18,656	SH		DFND	1	18,656	0	0
BP PLC	SPONSORED ADR	055622104	7,827	187,696	SH		DFND	3	187,696	0	0

BRISTOL MYERS SQUIBB CO	COM	110122108	776	646,800	SH	Call	DFND	4	646,800	0	0
BROOKFIELD PROPERTY REIT INC	CL A	11282X103	428	22,659	SH		DFND	3	22,659	0	0
BROOKFIELD PROPERTY REIT INC	CL A	11282X103	3,468	183,600	SH		DFND	2	183,600	0	0
BRP INC	COM SUN VTG	05577W200	1,161	32,500	SH		DFND	3	32,500	0	0
BUCKEYE PARTNERS L P	UNIT LTD PARTN	118230101	3,509	85,491	SH		DFND	4	85,491	0	0
CAESARS ENTMT CORP	COM	127686103	1,988	168,200	SH		DFND	1	168,200	0	0
CAESARS ENTMT CORP	COM	127686103	10,064	851,405	SH		DFND	4	851,405	0	0
CAESARS ENTMT CORP	COM	127686103	1	110,000	SH	Put	DFND	4	110,000	0	0
CANNTRUST HLDGS INC	COM	137800207	14	80,000	SH	Call	DFND	1	80,000	0	0
CANOPY GROWTH CORP	COM	138035100	1,662	160,600	SH	Put	DFND	2	160,600	0	0
CANOPY GROWTH CORP	COM	138035100	6,474	160,600	SH		DFND	2	160,600	0	0
CAPITOL INVT CORP IV	*W EXP 01/01/202	G18920127	343	246,748	SH		DFND	1	246,748	0	0
CAPITOL INVT CORP IV	CL A ORD	G18920101	6	580	SH		DFND	1	580	0	0
CAPITOL INVT CORP IV	CL A ORD	G18920101	3,069	300,000	SH		DFND	3	300,000	0	0
CAPITOL INVT CORP IV	UNIT 99/99/9999	G18920119	1,507	140,839	SH		DFND	1	140,839	0	0
CAPITOL INVT CORP IV	UNIT 99/99/9999	G18920119	535	50,000	SH		DFND	3	50,000	0	0
CAPRI HOLDINGS LIMITED	SHS	G1890L107	8	17,500	SH	Call	DFND	1	17,500	0	0
CBS CORP NEW	CL B	124857202	156	250,000	SH	Put	DFND	4	250,000	0	0
CELGENE CORP	COM	151020104	62,684	678,108	SH		DFND	4	678,108	0	0
CELGENE CORP	COM	151020104	12	200,100	SH	Put	DFND	4	200,100	0	0
CELGENE CORP	COM	151020104	6	25,000	SH	Call	DFND	1	25,000	0	0
CELGENE CORP	COM	151020104	188	222,300	SH	Put	DFND	1	222,300	0	0
CENTENE CORP DEL	COM	15135B101	1,894	36,113	SH		DFND	1	36,113	0	0
CENTENE CORP DEL	COM	15135B101	23,485	447,850	SH		DFND	4	447,850	0	0
CENTENE CORP DEL	COM	15135B101	735	416,500	SH	Call	DFND	1	416,500	0	0
CENTENE CORP DEL	COM	15135B101	832	538,500	SH	Put	DFND	1	538,500	0	0
CENTENE CORP DEL	COM	15135B101	289	162,400	SH	Put	DFND	4	162,400	0	0
CF FIN ACQUISITION CORP	*W EXP 04/30/202	12528N115	27	44,902	SH		DFND	1	44,902	0	0
CF FIN ACQUISITION CORP	COM CL A	12528N107	239	23,900	SH		DFND	1	23,900	0	0
CF FIN ACQUISITION CORP	UNIT 04/30/2025	12528N206	129	12,438	SH		DFND	1	12,438	0	0
CHAPARRAL ENERGY INC	COM CL A	15942R208	78	16,500	SH		DFND	1	16,500	0	0
CHARDAN HEALTHCARE ACQUISITI	UNIT 12/13/2023	15957A207	1,666	163,500	SH		DFND	3	163,500	0	0
CHASERG TECHNOLOGY ACQUISITN C	CLASS A	16166A103	1,174	116,962	SH		DFND	1	116,962	0	0
CHASERG TECHNOLOGY ACQUISITN C	CLASS A	16166A103	4,016	400,000	SH		DFND	3	400,000	0	0

CHASERG TECHNLGY ACQUISITN C	UNIT 09/30/2023	16166A202	1,273	124,431	SH		DFND	1	124,431	0	0
CHESAPEAKE ENERGY CORP	COM	165167107	12	481,200	SH	Call	DFND	1	481,200	0	0
CHURCHILL CAP CORP II	UNIT 99/99/9999	17143G205	11,246	1,107,985	SH		DFND	1	1,107,985	0	0
CIGNA CORP NEW	COM	125523100	25	15,500	SH	Call	DFND	1	15,500	0	0
CISCO SYS INC	COM	17275R102	153	2,790	SH		DFND	1	2,790	0	0
CISCO SYS INC	COM	17275R102	179	130,000	SH	Put	DFND	1	130,000	0	0
CLARIVATE ANALYTICS PLC	*W EXP 11/09/201	G21810117	1,798	378,515	SH		DFND	1	378,515	0	0
COLLIER CREEK HOLDINGS	*W EXP 99/99/999	G22707114	87	66,666	SH		DFND	3	66,666	0	0
COLLIER CREEK HOLDINGS	COM CL A	G22707106	3,000	300,000	SH		DFND	3	300,000	0	0
COLLIER CREEK HOLDINGS	COM CL A	G22707106	679	67,909	SH		DFND	1	67,909	0	0
COLLIER CREEK HOLDINGS	UNIT 99/99/9999	G22707122	795	75,000	SH		DFND	1	75,000	0	0
COMCAST CORP NEW	CL A	20030N101	14	18,000	SH	Call	DFND	1	18,000	0	0
CONAGRA BRANDS INC	COM	205887102	4	25,000	SH	Call	DFND	1	25,000	0	0
CONSTELLATION ALPHA CAP CORP	RIGHT 99/99/9999	G2379F132	171	947,074	SH		DFND	1	947,074	0	0
CONSTELLATION ALPHA CAP CORP	SHS	G2379F108	7,897	759,312	SH		DFND	1	759,312	0	0
CONSTELLATION ALPHA CAP CORP	UNIT 99/99/9999	G2379F124	1,417	136,250	SH		DFND	1	136,250	0	0
CORBUS PHARMACEUTICALS HLDGS	COM	21833P103	3	14,400	SH	Call	DFND	1	14,400	0	0
CORVUS PHARMACEUTICALS INC	COM	221015100	2,155	576,231	SH		DFND	3	576,231	0	0
CRESCENT ACQUISITION CORP	*W EXP 03/08/202	22564L113	36	55,799	SH		DFND	1	55,799	0	0
CRESCENT ACQUISITION CORP	UNIT 99/99/9999	22564L204	101	10,000	SH		DFND	1	10,000	0	0
CROCS INC	COM	227046109	1,037	52,500	SH		DFND	1	52,500	0	0
CROCS INC	COM	227046109	92	55,000	SH	Call	DFND	1	55,000	0	0
CYPRESS SEMICONDUCTOR CORP	COM	232806109	1	7,500	SH	Put	DFND	1	7,500	0	0
CYPRESS SEMICONDUCTOR CORP	COM	232806109	40,946	1,841,078	SH		DFND	4	1,841,078	0	0
CYPRESS SEMICONDUCTOR CORP	COM	232806109	3	100,000	SH	Call	DFND	1	100,000	0	0
DASEKE INC	*W EXP 02/27/202	23753F115	87	394,501	SH		DFND	1	394,501	0	0
DAVITA INC	COM	23918K108	183	111,800	SH	Put	DFND	1	111,800	0	0
DAVITA INC	COM	23918K108	996	111,800	SH	Call	DFND	1	111,800	0	0
DD3 ACQUISITION CORP	*W EXP 10/20/202	G2692M111	15	50,799	SH		DFND	1	50,799	0	0
DECIPHERA PHARMACEUTICALS IN	COM	24344T101	19	20,000	SH	Put	DFND	1	20,000	0	0
DELTA AIR LINES INC DEL	COM NEW	247361702	17	25,000	SH	Call	DFND	1	25,000	0	0
DELTA AIR LINES INC DEL	COM NEW	247361702	22	25,000	SH	Put	DFND	1	25,000	0	0

DENBURY RES INC	COM NEW	247916208	3	18,100	SH	Call	DFND	4	18,100	0	0
DEUTSCHE BANK AG	NAMEN AKT	D18190898	240	160,000	SH	Put	DFND	2	160,000	0	0
DEUTSCHE BANK AG	NAMEN AKT	D18190898	1,221	160,000	SH		DFND	2	160,000	0	0
DFB HEALTHCARE ACQUISTION CO	*W EXP 04/11/202	23291E117	36	28,459	SH		DFND	1	28,459	0	0
DFB HEALTHCARE ACQUISTION CO	UNIT 01/30/2023	23291E208	671	64,500	SH		DFND	3	64,500	0	0
DIAMONDPEAK HLDGS COR	*W EXP 02/22/202	25280H118	12	14,433	SH		DFND	1	14,433	0	0
DIAMONDPEAK HLDGS COR	UNIT 99/99/9999	25280H209	5,221	520,000	SH		DFND	3	520,000	0	0
DISCOVERY INC	COM SER C	25470F302	2,845	100,000	SH		DFND	2	100,000	0	0
DISNEY WALT CO	COM DISNEY	254687106	179	40,000	SH	Call	DFND	1	40,000	0	0
EL PASO ELEC CO	COM NEW	283677854	6,884	105,258	SH		DFND	4	105,258	0	0
ELDORADO RESORTS INC	COM	28470R102	545	11,838	SH		DFND	4	11,838	0	0
ELECTRONIC ARTS INC	COM	285512109	1,316	13,000	SH		DFND	1	13,000	0	0
ELECTRONIC ARTS INC	COM	285512109	241	31,700	SH	Call	DFND	1	31,700	0	0
ELECTRONIC ARTS INC	COM	285512109	13	10,000	SH	Put	DFND	1	10,000	0	0
EMCOR GROUP INC	COM	29084Q100	20	24,200	SH	Call	DFND	4	24,200	0	0
ENEL AMERICAS S A	SPONSORED ADR	29274F104	162	44,600	SH	Put	DFND	3	44,600	0	0
ENEL AMERICAS S A	SPONSORED ADR	29274F104	444	50,000	SH		DFND	3	50,000	0	0
FAR PT ACQUISITION CORP	*W EXP 06/01/202	30734W117	16	12,659	SH		DFND	1	12,659	0	0
FAR PT ACQUISITION CORP	COM CL A	30734W109	255	25,000	SH		DFND	3	25,000	0	0
FASTENAL CO	COM	311900104	136	42,500	SH	Call	DFND	1	42,500	0	0
FASTENAL CO	COM	311900104	272	170,000	SH	Put	DFND	1	170,000	0	0
FINTECH ACQUISITION CORP III	UNIT 12/01/2023	31811A309	95	8,872	SH		DFND	1	8,872	0	0
FINTECH ACQUISITION CORP III	UNIT 12/01/2023	31811A309	2,784	260,000	SH		DFND	3	260,000	0	0
FIRST BANCORP N C	COM	318910106	42	45,000	SH	Call	DFND	1	45,000	0	0
FORUM MERGER II CORP	*W EXP 09/30/202	34986F111	15	23,000	SH		DFND	1	23,000	0	0
FORUM MERGER II CORP	CL A	34986F103	112	11,176	SH		DFND	1	11,176	0	0
FORUM MERGER II CORP	CL A	34986F103	2,767	275,000	SH		DFND	3	275,000	0	0
FORUM MERGER II CORP	UNIT 09/30/2025	34986F202	82	7,700	SH		DFND	1	7,700	0	0
FORUM MERGER II CORP	UNIT 09/30/2025	34986F202	3,916	369,052	SH		DFND	3	369,052	0	0
GCI LIBERTY INC	COM CLASS A	36164V305	4,814	78,334	SH		DFND	3	78,334	0	0
GIGCAPITAL INC	COM	37518N106	262	31,318,301	SH		DFND	1	31,318,301	0	0
GIGCAPITAL INC	RIGHT 03/06/2020	37518N114	26	49,600	SH		DFND	1	49,600	0	0
GIGCAPITAL INC	UNIT 03/06/2025	37518N205	996	90,592	SH		DFND	1	90,592	0	0
GLAXOSMITHKLINE PLC	SPONSORED ADR	37733W105	1,834	45,825	SH		DFND	3	45,825	0	0
GLOBAL BLOOD THERAPEUTICS IN	COM	37890U108	410	7,800	SH		DFND	1	7,800	0	0



GLOBAL BLOOD THERAPEUTICS IN	COM	37890U108	3,130	59,500	SH	DFND	3	59,500	0	0
GLOBAL BLOOD THERAPEUTICS IN	COM	37890U108	1	2,500	SH	Call DFND	1	2,500	0	0
GLOBAL BLOOD THERAPEUTICS IN	COM	37890U108	20	40,000	SH	Call DFND	3	40,000	0	0
GLOBAL BLOOD THERAPEUTICS IN	COM	37890U108	50	13,500	SH	Put DFND	1	13,500	0	0
GLOBAL PMTS INC	COM	37940X102	150	75,000	SH	Put DFND	4	75,000	0	0
GLOBALSTAR INC	COM	378973408	26	55,000	SH	DFND	1	55,000	0	0
GOPRO INC	CL A	38268T103	66	65,000	SH	Call DFND	1	65,000	0	0
GORDON POINTE ACQUISITION CO	*W EXP 07/30/202	382788115	17	50,000	SH	DFND	1	50,000	0	0
GORDON POINTE ACQUISITION CO	COM CLASS A	382788107	4,907	475,000	SH	DFND	3	475,000	0	0
GORDON POINTE ACQUISITION CO	COM CLASS A	382788107	362	35,000	SH	DFND	1	35,000	0	0
GORES HOLDINGS III INC	*W EXP 09/11/202	38286G117	234	161,066	SH	DFND	1	161,066	0	0
GORES HOLDINGS III INC	CLASS A	38286G109	2,870	285,585	SH	DFND	1	285,585	0	0
GORES HOLDINGS III INC	UNIT 09/07/2024	38286G208	1,571	150,000	SH	DFND	3	150,000	0	0
GORES HOLDINGS III INC	UNIT 09/07/2024	38286G208	526	50,262	SH	DFND	1	50,262	0	0
GORES METROPOULOS INC	*W EXP 01/28/202	382872117	197	156,351	SH	DFND	1	156,351	0	0
GORES METROPOULOS INC	COM CL A	382872109	5,614	557,478	SH	DFND	1	557,478	0	0
GORES METROPOULOS INC	UNIT 99/99/9999	382872208	3,163	302,000	SH	DFND	3	302,000	0	0
GRAF INDL CORP	*W EXP 12/31/202	384278115	120	304,072	SH	DFND	1	304,072	0	0
GREENLAND ACQUISITIN CORPORA	RIGHT 04/27/2025	G40981113	79	201,816	SH	DFND	1	201,816	0	0
GREENLANE HLDGS INC	CL A	395330103	3,228	336,686	SH	DFND	1	336,686	0	0
GS ACQUISITION HLDGS CORP	*W EXP 06/12/202	36255F110	61	48,496	SH	DFND	1	48,496	0	0
GS ACQUISITION HLDGS CORP	COM CL A	36255F102	840	82,888	SH	DFND	1	82,888	0	0
GS ACQUISITION HLDGS CORP	UNIT 06/12/2023	36255F201	1,575	150,000	SH	DFND	3	150,000	0	0
GX ACQUISITION CORP	UNIT 05/24/2026	36251A206	3,015	300,000	SH	DFND	3	300,000	0	0
GX ACQUISITION CORP	UNIT 05/24/2026	36251A206	426	42,396	SH	DFND	1	42,396	0	0
HALCON RES CORP	*W EXP 09/09/202	40537Q118	4	443,716	SH	DFND	1	443,716	0	0
HAYMAKER ACQUISITION CORP II	UNIT 10/31/2026	42087L200	1,919	187,926	SH	DFND	1	187,926	0	0
HEALTH SCIENCES ACQUSTN CORP	UNIT 04/01/2024	42227C201	639	60,300	SH	DFND	1	60,300	0	0
HENNESSY CAP ACQUSTION CORP	*W EXP 09/05/202	42589C112	82	135,883	SH	DFND	1	135,883	0	0
HENNESSY CAP ACQUSTION CORP	CL A COM	42589C104	632	63,840	SH	DFND	1	63,840	0	0
HENNESSY CAP ACQUSTION CORP	UNIT 99/99/9999	42589C203	53	5,053	SH	DFND	1	5,053	0	0
HENNESSY CAP ACQUSTION CORP	UNIT 99/99/9999	42589C203	884	85,000	SH	DFND	3	85,000	0	0

HL ACQUISITIONS CORP	UNIT 06/21/2023	G4603R114	475	45,027	SH		DFND	1	45,027	0	0
HORIZON THERAPEUTICS PUB LTD	SHS	G46188101	144	6,000	SH		DFND	1	6,000	0	0
HORIZON THERAPEUTICS PUB LTD	SHS	G46188101	10	20,000	SH	Put	DFND	1	20,000	0	0
II VI INC	COM	902104108	2,730	74,675	SH		DFND	4	74,675	0	0
IMMUNOMEDICS INC	COM	452907108	203	14,611	SH		DFND	1	14,611	0	0
IMMUNOMEDICS INC	COM	452907108	139	140,000	SH	Call	DFND	1	140,000	0	0
INTEC PHARMA LTD JERUSALEM	SHS	M53644106	20	4,525	SH		DFND	1	4,525	0	0
INTEC PHARMA LTD JERUSALEM	SHS	M53644106	24	20,200	SH	Put	DFND	1	20,200	0	0
INTERCEPT PHARMACEUTICALS IN	NOTE 3.250% 7/0	45845PAA6	1,482	1,670,000	PRN		DFND	1	1,670,000	0	0
INVESCO QQQ TR	UNIT SER 1	46090E103	379	250,000	SH	Call	DFND	3	250,000	0	0
IOVANCE BIOTHERAPEUTICS INC	COM	462260100	85	30,900	SH	Call	DFND	1	30,900	0	0
IRON MTN INC NEW	COM	46284V101	5	100,000	SH	Call	DFND	1	100,000	0	0
IRON MTN INC NEW	COM	46284V101	97	10,000	SH	Put	DFND	1	10,000	0	0
ISHARES INC	MSCI STH AFR ETF	464286780	437	8,000	SH		DFND	3	8,000	0	0
ISHARES TR	20 YR TR BD ETF	464287432	88	952,100	SH	Put	DFND	1	952,100	0	0
ISHARES TR	20 YR TR BD ETF	464287432	33	20,000	SH	Call	DFND	1	20,000	0	0
ISHARES TR	CHINA LG-CAP ETF	464287184	26	50,000	SH	Call	DFND	1	50,000	0	0
ISHARES TR	CHINA LG-CAP ETF	464287184	24	50,000	SH	Put	DFND	1	50,000	0	0
JD COM INC	SPON ADR CL A	47215P106	140	57,600	SH	Call	DFND	1	57,600	0	0
JPMORGAN CHASE & CO	COM	46625H100	4,629	41,400	SH		DFND	1	41,400	0	0
JPMORGAN CHASE & CO	COM	46625H100	71	22,500	SH	Put	DFND	1	22,500	0	0
KEMPHARM INC	COM	488445107	438	250,000	SH		DFND	3	250,000	0	0
KEZAR LIFE SCIENCES INC	COM	49372L100	2,645	343,049	SH		DFND	3	343,049	0	0
KONTOOR BRANDS INC	COM	50050N103	280	10,000	SH		DFND	1	10,000	0	0
KROGER CO	COM	501044101	68	50,000	SH	Call	DFND	1	50,000	0	0
L3 TECHNOLOGIES INC	COM	502413107	490	2,000	SH		DFND	1	2,000	0	0
LANDCADIA HLDGS II INC	*W EXP 05/09/202	51476X113	50	58,833	SH		DFND	1	58,833	0	0
LANDCADIA HLDGS II INC	UNIT 05/09/2026	51476X204	2,313	231,300	SH		DFND	3	231,300	0	0
LEGACY ACQUISITION CORP	*W EXP 11/30/202	524643111	30	92,481	SH		DFND	1	92,481	0	0
LEGACY ACQUISITION CORP	COM CL A	524643103	1,010	99,969	SH		DFND	1	99,969	0	0
LEGACY ACQUISITION CORP	COM CL A	524643103	2,020	200,000	SH		DFND	3	200,000	0	0
LEGACY ACQUISITION CORP	UNIT 05/31/2024	524643202	6,270	600,000	SH		DFND	3	600,000	0	0
LEGACY TEX FINL GROUP INC	COM	52471Y106	1,953	47,970	SH		DFND	4	47,970	0	0

LEISURE ACQUISITION CORP	*W EXP 12/05/201	52539T115	143	220,087	SH		DFND	1	220,087	0	0
LEISURE ACQUISITION CORP	UNIT 99/99/9999	52539T206	4,400	422,303	SH		DFND	1	422,303	0	0
LEISURE ACQUISITION CORP	UNIT 99/99/9999	52539T206	1,428	137,000	SH		DFND	3	137,000	0	0
LENNAR CORP	CL A	526057104	82	258,200	SH	Call	DFND	1	258,200	0	0
LENNAR CORP	CL A	526057104	1,001	20,663	SH		DFND	1	20,663	0	0
LEO HOLDINGS CORP	*W EXP 02/07/202	G5463L113	213	170,534	SH		DFND	1	170,534	0	0
LF CAP ACQUISITION CORP	CL A	50200K108	1,690	166,057	SH		DFND	1	166,057	0	0
LIBERTY BROADBAND CORP	COM SER A	530307107	514	5,000	SH		DFND	3	5,000	0	0
LIBERTY BROADBAND CORP	COM SER C	530307305	2,739	26,279	SH		DFND	3	26,279	0	0
LIBERTY EXPEDIA HLDGS INC	SER A COM	53046P109	5,022	105,083	SH		DFND	2	105,083	0	0
LIBERTY MEDIA CORP DELAWARE	COM A SIRIUSXM	531229409	3,394	89,765	SH		DFND	3	89,765	0	0
LIVE OAK BANCSHARES INC	COM	53803X105	50	80,000	SH	Call	DFND	1	80,000	0	0
LLOYDS BANKING GROUP PLC	SPONSORED ADR	539439109	23,782	8,374,111	SH		DFND	3	8,374,111	0	0
LONGEVITY ACQUISITION CORP	UNIT 08/29/2024	G56372108	219	21,176	SH		DFND	1	21,176	0	0
LOWES COS INC	COM	548661107	353	3,500	SH		DFND	1	3,500	0	0
LOWES COS INC	COM	548661107	16	40,000	SH	Put	DFND	1	40,000	0	0
LUCKIN COFFEE INC	SPONSORED ADS	54951L109	4,385	225,000	SH		DFND	2	225,000	0	0
LUCKIN COFFEE INC	SPONSORED ADS	54951L109	788	225,000	SH	Put	DFND	2	225,000	0	0
LYONDELLBASELL INDUSTRIES N	SHS - A -	N53745100	1,292	15,000	SH		DFND	1	15,000	0	0
MASTEC INC	COM	576323109	3	10,000	SH	Put	DFND	1	10,000	0	0
MEDICINES CO	COM	584688105	368	10,100	SH		DFND	1	10,100	0	0
MEDICINES CO	COM	584688105	65	15,000	SH	Put	DFND	1	15,000	0	0
MEDIDATA SOLUTIONS INC	COM	58471A105	2	1,800	SH	Put	DFND	4	1,800	0	0
MEDIDATA SOLUTIONS INC	COM	58471A105	23,289	257,314	SH		DFND	4	257,314	0	0
MEGALITH FINL ACQUISITION CO	*W EXP 08/26/202	58518F117	22	72,869	SH		DFND	1	72,869	0	0
MEGALITH FINL ACQUISITION CO	UNIT 09/30/2025	58518F208	3,407	328,900	SH		DFND	3	328,900	0	0
MELLANOX TECHNOLOGIES LTD	SHS	M51363113	86,858	784,841	SH		DFND	4	784,841	0	0
MIDSOUTH BANCORP INC	COM	598039105	153	12,900	SH		DFND	4	12,900	0	0
MIRATI THERAPEUTICS INC	COM	60468T105	36	12,500	SH	Call	DFND	1	12,500	0	0
MITSUBISHI UFJ FINL GROUP IN	SPONSORED ADS	606822104	3,595	756,800	SH		DFND	2	756,800	0	0
MIZUHO FINL GROUP INC	SPONSORED ADR	60687Y109	15,799	5,485,850	SH		DFND	2	5,485,850	0	0
MODERN MEDIA ACQUISITION	COM	60765P103	2,092	200,000	SH		DFND	3	200,000	0	0
MODERN MEDIA ACQUISITION	RIGHT 99/99/9999	60765P129	21	71,814	SH		DFND	1	71,814	0	0
MOLINA HEALTHCARE INC	COM	60855R100	86	15,000	SH	Call	DFND	1	15,000	0	0
MONOCLE ACQUISITION CORP	*W EXP 12/06/202	609754114	19	55,371	SH		DFND	1	55,371	0	0

MONOCLE ACQUISITION CORP	COM	609754106	189	19,100	SH		DFND	1	19,100	0	0
MONOCLE ACQUISITION CORP	UNIT 99/99/9999	609754205	610	59,248	SH		DFND	1	59,248	0	0
MOSAIC ACQUISITION CORP	*W EXP 10/23/202	61946M118	26	27,800	SH		DFND	1	27,800	0	0
MOSAIC ACQUISITION CORP	COM CL A	61946M100	385	37,716	SH		DFND	1	37,716	0	0
MOSAIC ACQUISITION CORP	COM CL A	61946M100	510	50,000	SH		DFND	3	50,000	0	0
MOSAIC ACQUISITION CORP	UNIT 99/99/9999	61946M209	68	6,430	SH		DFND	1	6,430	0	0
MOSAIC ACQUISITION CORP	UNIT 99/99/9999	61946M209	1,586	150,000	SH		DFND	3	150,000	0	0
MUDRICK CAP ACQUISITION CORP	*W EXP 02/12/202	624745113	96	195,282	SH		DFND	1	195,282	0	0
MUDRICK CAP ACQUISITION CORP	*W EXP 02/12/202	624745113	123	250,000	SH		DFND	3	250,000	0	0
MUDRICK CAP ACQUISITION CORP	COM CLASS A	624745105	559	54,915	SH		DFND	1	54,915	0	0
MUDRICK CAP ACQUISITION CORP	COM CLASS A	624745105	2,545	250,000	SH		DFND	3	250,000	0	0
MUDRICK CAP ACQUISITION CORP	UNIT 02/01/2023	624745204	1,324	125,000	SH		DFND	3	125,000	0	0
MYLAN N V	SHS EURO	N59465109	443	395,000	SH	Call	DFND	4	395,000	0	0
MYOKARDIA INC	COM	62857M105	2,256	45,000	SH		DFND	3	45,000	0	0
NEBULA ACQUISITION CORP	*W EXP 03/12/202	629076118	38	38,059	SH		DFND	1	38,059	0	0
NEBULA ACQUISITION CORP	COM CLASS A	629076100	10	963	SH		DFND	1	963	0	0
NEBULA ACQUISITION CORP	COM CLASS A	629076100	1,009	100,000	SH		DFND	3	100,000	0	0
NEBULA ACQUISITION CORP	UNIT 03/12/2025	629076209	1,027	100,000	SH		DFND	3	100,000	0	0
NEW FRONTIER CORP	*W EXP 99/99/999	G6485P124	342	488,387	SH		DFND	1	488,387	0	0
NEW FRONTIER CORP	COM CL A	G6485P108	3,505	348,102	SH		DFND	1	348,102	0	0
NIELSEN HLDGS PLC	SHS EUR	G6518L108	395	17,500	SH		DFND	4	17,500	0	0
NIELSEN HLDGS PLC	SHS EUR	G6518L108	106	125,000	SH	Call	DFND	4	125,000	0	0
NII HLDGS INC	COM PAR	62913F508	85	50,000	SH		DFND	1	50,000	0	0
NIO INC	SPON ADS	62914V106	446	175,000	SH		DFND	2	175,000	0	0
NIO INC	SPON ADS	62914V106	96	175,000	SH	Put	DFND	2	175,000	0	0
NOMURA HLDGS INC	SPONSORED ADR	65535H208	834	235,000	SH		DFND	2	235,000	0	0
NXP SEMICONDUCTORS N V	COM	N6596X109	169	8,000	SH	Put	DFND	1	8,000	0	0
NXP SEMICONDUCTORS N V	COM	N6596X109	1,630	16,700	SH		DFND	1	16,700	0	0
NXP SEMICONDUCTORS N V	COM	N6596X109	635	120,500	SH	Call	DFND	1	120,500	0	0
OAKTREE CAP GROUP LLC	UNIT CL A	674001201	5,591	112,859	SH		DFND	4	112,859	0	0
OCCIDENTAL PETE CORP	COM	674599105	22	212,000	SH	Call	DFND	1	212,000	0	0
OCCIDENTAL PETE CORP	COM	674599105	352	651,400	SH	Call	DFND	4	651,400	0	0
OPES ACQUISITION CORP	*W EXP 03/13/202	68373P118	187	744,789	SH		DFND	1	744,789	0	0

OPES ACQUISITION CORP	COM	68373P100	5,937	576,372	SH		DFND	1	576,372	0	0
ORCHARD THERAPEUTICS PLC	ADS	68570P101	42,465	3,035,364	SH		DFND	3	3,035,364	0	0
ORGANIGRAM HLDGS INC	COM	68620P101	99	15,300	SH		DFND	1	15,300	0	0
OVID THERAPEUTICS INC	COM	690469101	1,799	972,260	SH		DFND	3	972,260	0	0
PACIFIC BIOSCIENCES CALIF IN	COM	69404D108	151	25,000	SH		DFND	1	25,000	0	0
PACIFIC BIOSCIENCES CALIF IN	COM	69404D108	1,693	279,791	SH		DFND	4	279,791	0	0
PACIRA BIOSCIENCES	NOTE 2.375% 4/0	695127AD2	287	280,000	PRN		DFND	1	280,000	0	0
PARKER HANNIFIN CORP	COM	701094104	1,165	6,851	SH		DFND	1	6,851	0	0
PARKER HANNIFIN CORP	COM	701094104	11	20,100	SH	Put	DFND	1	20,100	0	0
PEARSON PLC	SPONSORED ADR	705015105	1,036	100,000	SH		DFND	3	100,000	0	0
PENSARE ACQUISITION CORP	RIGHT 99/99/9999	70957E121	5	33,151	SH		DFND	1	33,151	0	0
PIVOTAL ACQUISITION CORP	*W EXP 12/01/202	72583A119	149	110,539	SH		DFND	1	110,539	0	0
PIVOTAL ACQUISITION CORP	CL A COM	72583A101	2,642	260,280	SH		DFND	1	260,280	0	0
PIVOTAL ACQUISITION CORP	UNIT 12/01/2025	72583A200	2,658	229,100	SH		DFND	1	229,100	0	0
PIVOTAL ACQUISITION CORP	UNIT 12/01/2025	72583A200	812	70,000	SH		DFND	3	70,000	0	0
PORTOLA PHARMACEUTICALS INC	COM	737010108	24	63,500	SH	Call	DFND	1	63,500	0	0
PRECISION BIOSCIENCES INC	COM	74019P108	14,362	1,083,926	SH		DFND	3	1,083,926	0	0
PROFICIENT ALPHA ACQUSTN COR	RIGHT 04/30/2026	74317H121	2	11,500	SH		DFND	1	11,500	0	0
PURE ACQUISITION CORP	*W EXP 04/17/202	74621Q114	156	144,130	SH		DFND	1	144,130	0	0
PURE ACQUISITION CORP	CL A	74621Q106	9,380	925,000	SH		DFND	3	925,000	0	0
PURE ACQUISITION CORP	UNIT 04/13/2023	74621Q205	144	13,444	SH		DFND	1	13,444	0	0
PURE ACQUISITION CORP	UNIT 04/13/2023	74621Q205	3,314	310,000	SH		DFND	3	310,000	0	0
PVH CORP	COM	693656100	2,044	21,600	SH		DFND	1	21,600	0	0
PVH CORP	COM	693656100	72	17,000	SH	Put	DFND	1	17,000	0	0
QEP RES INC	COM	74733V100	36	5,000	SH		DFND	1	5,000	0	0
QEP RES INC	COM	74733V100	2	7,400	SH	Put	DFND	1	7,400	0	0
QEP RES INC	COM	74733V100	145	109,600	SH	Call	DFND	4	109,600	0	0
QEP RES INC	COM	74733V100	21	30,200	SH	Call	DFND	1	30,200	0	0
QEP RES INC	COM	74733V100	468	64,707	SH		DFND	4	64,707	0	0
RANPAK HLDGS CORP	*W EXP 06/03/202	75321W111	35	29,500	SH		DFND	1	29,500	0	0
RED HAT INC	COM	756577102	88,027	468,827	SH		DFND	4	468,827	0	0
RED HAT INC	COM	756577102	115	17,500	SH	Call	DFND	1	17,500	0	0
RED HAT INC	COM	756577102	1,398	50,000	SH	Call	DFND	4	50,000	0	0
RED HAT INC	COM	756577102	31	118,300	SH	Put	DFND	1	118,300	0	0
RED HAT INC	COM	756577102	59	308,700	SH	Put	DFND	4	308,700	0	0

REEBONZ HLDG LTD SHS		G7457R122	113	29,942	SH		DFND	1	29,942	0	0
REGALWOOD GLOBAL ENERGY LTD	*W EXP 12/05/202	G74760128	331	368,284	SH		DFND	1	368,284	0	0
REGALWOOD GLOBAL ENERGY LTD	COM CL A	G74760102	1	100	SH		DFND	1	100	0	0
REGALWOOD GLOBAL ENERGY LTD	COM CL A	G74760102	4,590	450,000	SH		DFND	3	450,000	0	0
REGALWOOD GLOBAL ENERGY LTD	UNIT 99/99/9999	G74760110	10	908	SH		DFND	1	908	0	0
REGALWOOD GLOBAL ENERGY LTD	UNIT 99/99/9999	G74760110	513	49,000	SH		DFND	3	49,000	0	0
REPLAY ACQUISITION CORP	*W EXP 03/01/202	G75130115	51	73,400	SH		DFND	1	73,400	0	0
REPLAY ACQUISITION CORP	COM	G75130107	430	44,000	SH		DFND	1	44,000	0	0
REPLAY ACQUISITION CORP	UNIT 03/01/2024	G75130123	128	12,804	SH		DFND	1	12,804	0	0
RIMINI STR INC DEL	COM	76674Q107	1,259	237,500	SH		DFND	1	237,500	0	0
RMG ACQUISITION CORP	*W EXP 02/21/202	749641114	27	29,250	SH		DFND	1	29,250	0	0
RMG ACQUISITION CORP	UNIT 99/99/9999	749641205	1,998	199,000	SH		DFND	3	199,000	0	0
ROBERT HALF INTL INC	COM	770323103	513	9,000	SH		DFND	1	9,000	0	0
SAVARA INC	COM	805111101	2	33,000	SH	Call	DFND	1	33,000	0	0
SCHULTZE SPL PURP ACQUSTN CO	*W EXP 12/31/202	80821R117	102	340,769	SH		DFND	1	340,769	0	0
SCHULTZE SPL PURP ACQUSTN CO	COM	80821R109	495	50,000	SH		DFND	1	50,000	0	0
SEATTLE GENETICS INC	COM	812578102	20	10,000	SH	Call	DFND	1	10,000	0	0
SELECT SECTOR SPDR TR	TECHNOLOGY	81369Y803	475	407,200	SH	Put	DFND	4	407,200	0	0
SENTINEL ENERGY SVCS INC	*W EXP 11/02/202	81728P113	227	324,221	SH		DFND	1	324,221	0	0
SENTINEL ENERGY SVCS INC	CL A	81728P105	1,149	113,245	SH		DFND	1	113,245	0	0
SENTINEL ENERGY SVCS INC	CL A	81728P105	17,255	1,700,000	SH		DFND	3	1,700,000	0	0
SHUTTERFLY INC	COM	82568P304	5	52,300	SH	Call	DFND	1	52,300	0	0
SHUTTERFLY INC	COM	82568P304	76	125,900	SH	Put	DFND	4	125,900	0	0
SIERRA ONCOLOGY INC	COM	82640U107	28	50,000	SH		DFND	1	50,000	0	0
SOCIAL CAP HEDOSOPHIA HLDGS	*W EXP 09/14/202	G8250R129	51	38,023	SH		DFND	1	38,023	0	0
SOCIAL CAP HEDOSOPHIA HLDGS	*W EXP 09/14/202	G8250R129	384	286,233	SH		DFND	3	286,233	0	0
SOCIAL CAP HEDOSOPHIA HLDGS	CL A	G8250R103	1,052	100,777	SH		DFND	1	100,777	0	0
SOCIAL CAP HEDOSOPHIA HLDGS	CL A	G8250R103	10,435	1,000,000	SH		DFND	3	1,000,000	0	0
SOTHEBYS	COM	835898107	23	50,200	SH	Put	DFND	4	50,200	0	0
SOUTH MTN MERGER CORP	UNIT 99/99/9999	838884203	6,689	668,892	SH		DFND	1	668,892	0	0
SPARK THERAPEUTICS INC	COM	84652J103	13	4,000	SH	Call	DFND	1	4,000	0	0
SPARK THERAPEUTICS INC	COM	84652J103	47	9,900	SH	Put	DFND	1	9,900	0	0

SPARK THERAPEUTICS INC	COM	84652J103	120	124,700	SH	Put	DFND	4	124,700	0	0
SPARTAN ENERGY ACQUISITON CO	*W EXP 08/27/202	846784122	192	215,180	SH		DFND	1	215,180	0	0
SPARTAN ENERGY ACQUISITON CO	CL A COM STK	846784106	2,000	200,000	SH		DFND	3	200,000	0	0
SPARTAN ENERGY ACQUISITON CO	UNIT 99/99/9999	846784114	96	9,500	SH		DFND	1	9,500	0	0
SPARTAN ENERGY ACQUISITON CO	UNIT 99/99/9999	846784114	5,303	525,000	SH		DFND	3	525,000	0	0
SPDR GOLD TRUST	GOLD SHS	78463V107	66	100,000	SH	Put	DFND	3	100,000	0	0
SPDR S&P 500 ETF TR	TR UNIT	78462F103	519	70,000	SH	Put	DFND	4	70,000	0	0
SPDR S&P 500 ETF TR	TR UNIT	78462F103	33	40,000	SH	Put	DFND	3	40,000	0	0
SPDR S&P 500 ETF TR	TR UNIT	78462F103	9	30	SH		DFND	1	30	0	0
SPDR S&P 500 ETF TR	TR UNIT	78462F103	517	521,000	SH	Call	DFND	4	521,000	0	0
SPDR SERIES TRUST	S&P BIOTECH	78464A870	72	30,000	SH	Call	DFND	1	30,000	0	0
SPDR SERIES TRUST	S&P REGL BKG	78464A698	1,240	23,200	SH		DFND	1	23,200	0	0
SPIRIT MTA REIT	COM SHS BEN IN	84861U105	944	113,220	SH		DFND	3	113,220	0	0
SPRINT CORPORATION	COM	85207U105	2,093	318,500	SH		DFND	4	318,500	0	0
SPRINT CORPORATION	COM	85207U105	95	60,200	SH	Call	DFND	1	60,200	0	0
SPRINT CORPORATION	COM	85207U105	63	293,000	SH	Put	DFND	1	293,000	0	0
SPROTT PHYSICAL GOLD & SILVE	TR UNIT	85208R101	4,592	347,094	SH		DFND	3	347,094	0	0
STEWART INFORMATION SVCS COR	COM	860372101	1,230	30,377	SH		DFND	4	30,377	0	0
SUMITOMO MITSUI FINL GROUP I	SPONSORED ADR	86562M209	24,018	3,402,000	SH		DFND	2	3,402,000	0	0
SUNTRUST BKS INC	COM	867914103	5,442	86,581	SH		DFND	4	86,581	0	0
T MOBILE US INC	COM	872590104	31	25,000	SH	Put	DFND	4	25,000	0	0
TABLEAU SOFTWARE INC	CL A	87336U105	63,525	382,632	SH		DFND	4	382,632	0	0
TAKEDA PHARMACEUTICAL CO LTD	SPONSORED ADS	874060205	5,308	299,914	SH		DFND	3	299,914	0	0
TARGA RES CORP	COM	87612G101	2,846	72,500	SH		DFND	1	72,500	0	0
TARGA RES CORP	COM	87612G101	945	140,000	SH	Put	DFND	1	140,000	0	0
TARGET HOSPITALITY CORP	*W EXP 03/15/202	87615L115	132	65,993	SH		DFND	1	65,993	0	0
TARGET HOSPITALITY CORP	COM	87615L107	958	105,314	SH		DFND	1	105,314	0	0
TELECOM ITALIA S P A NEW	SPON ADR SVGS	87927Y201	1,015	197,552	SH		DFND	3	197,552	0	0
TENZING ACQUISITION CORP	*W EXP 02/23/202	G8708A108	4	20,000	SH		DFND	1	20,000	0	0
TENZING ACQUISITION CORP	ORD SHS	G8708A116	1,796	175,000	SH		DFND	3	175,000	0	0
TESLA INC	COM	88160R101	11,173	50,000	SH		DFND	2	50,000	0	0
TESLA INC	COM	88160R101	2,383	50,000	SH	Put	DFND	2	50,000	0	0
THERAPEUTICSMD INC	COM	88338N107	6	49,000	SH	Call	DFND	1	49,000	0	0
THERAPEUTICSMD INC	COM	88338N107	2	1,200	SH	Put	DFND	1	1,200	0	0

THUNDER BRIDGE ACQUISITION L	*W EXP 01/01/202	G8857R126	62	30,800	SH		DFND	3	30,800	0	0
THUNDER BRIDGE ACQUISITION L	*W EXP 01/01/202	G8857R126	7	3,430	SH		DFND	1	3,430	0	0
THUNDER BRIDGE ACQUISITION L	SHS CLASS A	G8857R100	401	38,429	SH		DFND	1	38,429	0	0
THUNDER BRIDGE ACQUISITION L	SHS CLASS A	G8857R100	1,521	145,800	SH		DFND	3	145,800	0	0
TIBERIUS ACQUISITION CORP	COM	88633A107	2,381	233,421	SH		DFND	1	233,421	0	0
TILRAY INC	COM CL 2	88688T100	2,868	61,600	SH		DFND	2	61,600	0	0
TILRAY INC	COM CL 2	88688T100	341	61,600	SH	Put	DFND	2	61,600	0	0
TKK SYMPHONY ACQUISITION COR	*W EXP 02/20/202	G88950129	5	36,298	SH		DFND	1	36,298	0	0
TKK SYMPHONY ACQUISITION COR	ORD SHS	G88950103	265	26,507	SH		DFND	1	26,507	0	0
TKK SYMPHONY ACQUISITION COR	UNIT 08/15/2023	G88950111	7	662	SH		DFND	1	662	0	0
TKK SYMPHONY ACQUISITION COR	UNIT 08/15/2023	G88950111	591	57,500	SH		DFND	3	57,500	0	0
TOCAGEN INC	COM	888846102	43	6,500	SH		DFND	1	6,500	0	0
TOCAGEN INC	COM	888846102	53	22,700	SH	Call	DFND	1	22,700	0	0
TORTOISE ACQUISITION CORP	UNIT 03/04/2024	89154L209	3,006	300,000	SH		DFND	3	300,000	0	0
TORTOISE ACQUISITION CORP	UNIT 03/04/2024	89154L209	292	29,140	SH		DFND	1	29,140	0	0
TOTAL SYS SVCS INC	COM	891906109	8,708	67,888	SH		DFND	4	67,888	0	0
TOTTENHAM ACQUISITION I LTD	*W EXP 05/06/202	G8959N114	6	60,743	SH		DFND	1	60,743	0	0
TOTTENHAM ACQUISITION I LTD	RIGHT 06/05/2020	G8959N122	74	200,871	SH		DFND	1	200,871	0	0
TOTTENHAM ACQUISITION I LTD	SHS	G8959N106	1,987	194,837	SH		DFND	1	194,837	0	0
TOTTENHAM ACQUISITION I LTD	SHS	G8959N106	2,040	200,000	SH		DFND	3	200,000	0	0
TOWER SEMICONDUCTOR LTD	SHS NEW	M87915274	4	42,800	SH	Call	DFND	1	42,800	0	0
TOWER SEMICONDUCTOR LTD	SHS NEW	M87915274	118	7,500	SH		DFND	1	7,500	0	0
TPG PACE HLDGS CORP	*W EXP 06/27/202	G89827110	69	43,819	SH		DFND	1	43,819	0	0
TPG PACE HLDGS CORP	CL A	G89827128	3,776	363,094	SH		DFND	1	363,094	0	0
TPG PACE HLDGS CORP	CL A	G89827128	2,080	200,000	SH		DFND	3	200,000	0	0
TRIBUNE MEDIA CO	CL A	896047503	50	99,900	SH	Put	DFND	4	99,900	0	0
TRIDENT ACQUISITIONS CORP	*W EXP 05/09/202	89615T114	49	326,711	SH		DFND	1	326,711	0	0
TRIDENT ACQUISITIONS CORP	COM	89615T106	7,238	700,000	SH		DFND	3	700,000	0	0
TRIDENT ACQUISITIONS CORP	COM	89615T106	3,045	294,449	SH		DFND	1	294,449	0	0
TRIDENT ACQUISITIONS CORP	UNIT 05/09/2023	89615T205	6,870	654,300	SH		DFND	3	654,300	0	0
TRIDENT ACQUISITIONS CORP	UNIT 05/09/2023	89615T205	362	34,505	SH		DFND	1	34,505	0	0
TRINE ACQUISITION CORP	*W EXP 03/31/202	89628U116	24	32,500	SH		DFND	1	32,500	0	0



TRINE ACQUISITION CORP	UNIT 03/31/2021	89628U207	1,614	159,977	SH		DFND	1	159,977	0	0
TRINE ACQUISITION CORP	UNIT 03/31/2021	89628U207	4,040	400,000	SH		DFND	3	400,000	0	0
TRINITY MERGER CORP	*W EXP 05/11/202	89653L114	10	31,900	SH		DFND	1	31,900	0	0
TRINITY MERGER CORP	COM CL A	89653L106	2,060	200,000	SH		DFND	3	200,000	0	0
TRINITY MERGER CORP	COM CL A	89653L106	127	12,326	SH		DFND	1	12,326	0	0
TRINITY MERGER CORP	UNIT 05/11/2025	89653L205	1,331	125,240	SH		DFND	3	125,240	0	0
TRINITY MERGER CORP	UNIT 05/11/2025	89653L205	106	10,000	SH		DFND	1	10,000	0	0
TURNING PT BRANDS INC	COM	90041L105	511	10,424	SH		DFND	1	10,424	0	0
TURNING PT BRANDS INC	COM	90041L105	48	20,000	SH	Call	DFND	1	20,000	0	0
TURNING PT BRANDS INC	COM	90041L105	64	20,000	SH	Put	DFND	1	20,000	0	0
TUSCAN HOLDINGS CORP	UNIT 99/99/9999	90069K203	1,060	100,000	SH		DFND	3	100,000	0	0
TUSCAN HOLDINGS CORP	UNIT 99/99/9999	90069K203	1	100	SH		DFND	1	100	0	0
TWELVE SEAS INVESTMENT COMPA	RIGHT 12/22/2019	G9145A131	307	1,057,298	SH		DFND	1	1,057,298	0	0
TWILIO INC	CL A	90138F102	7	10,000	SH	Call	DFND	1	10,000	0	0
TWIN RIV WORLDWIDE HLDGS INC	COM	90171V204	1,632	54,855	SH		DFND	1	54,855	0	0
ULTRAGENYX PHARMACEUTICAL IN	COM	90400D108	2,159	34,000	SH		DFND	3	34,000	0	0
UNDER ARMOUR INC	CL C	904311206	5,352	241,102	SH		DFND	3	241,102	0	0
UNILEVER N V	N Y SHS NEW	904784709	11,949	196,791	SH		DFND	3	196,791	0	0
UNILEVER PLC	SPON ADR NEW	904767704	3,173	51,204	SH		DFND	3	51,204	0	0
UNITED STATES OIL FUND LP	UNITS	91232N108	350	150,000	SH	Put	DFND	3	150,000	0	0
UNITED STS 12 MONTH OIL FD L	UNIT BEN INT	91288V103	1,846	85,300	SH		DFND	3	85,300	0	0
UNITI GROUP INC	COM	91325V108	56	62,700	SH	Put	DFND	1	62,700	0	0
UNUM THERAPEUTICS INC	COM	903214104	2,151	820,805	SH		DFND	3	820,805	0	0
VANECK VECTORS ETF TR	GOLD MINERS ETF	92189F106	2,904	3,958,800	SH	Call	DFND	1	3,958,800	0	0
VANECK VECTORS ETF TR	SEMICONDUCTOR ET	92189F676	406	350,000	SH	Put	DFND	4	350,000	0	0
VANECK VECTORS ETF TR	SEMICONDUCTOR ET	92189F676	4,628	42,000	SH		DFND	1	42,000	0	0
VANECK VECTORS ETF TR	SEMICONDUCTOR ET	92189F676	79	358,700	SH	Call	DFND	1	358,700	0	0
VANECK VECTORS ETF TR	SEMICONDUCTOR ET	92189F676	251	102,500	SH	Call	DFND	4	102,500	0	0
VECTOIQ ACQUISITION CORP	*W EXP 05/11/202	92243N111	7	14,057	SH		DFND	1	14,057	0	0
VECTOIQ ACQUISITION CORP	COM	92243N103	37	1,164,699	SH		DFND	1	1,164,699	0	0
VECTOIQ ACQUISITION CORP	UNIT 05/11/2023	92243N202	3,139	296,667	SH		DFND	1	296,667	0	0

VERIZON COMMUNICATIONS INC	COM	92343V104	63	1,100 SH		DFND	1	1,100	0	0
VERIZON COMMUNICATIONS INC	COM	92343V104	188	85,000 SH	Put	DFND	1	85,000	0	0
VERSUM MATLS INC	COM	92532W103	21,827	423,162 SH		DFND	4	423,162	0	0
VERSUM MATLS INC	COM	92532W103	39	85,000 SH	Put	DFND	4	85,000	0	0
VILLAGE FARMS INTL INC	COM	92707Y108	35	3,100 SH		DFND	1	3,100	0	0
VILLAGE FARMS INTL INC	COM	92707Y108	40	72,000 SH	Call	DFND	1	72,000	0	0
VODAFONE GROUP PLC NEW	SPONSORED ADR	92857W308	12,881	788,794 SH		DFND	3	788,794	0	0
WABCO HLDGS INC	COM	92927K102	57,494	433,588 SH		DFND	4	433,588	0	0
WEALTHBRIDGE ACQUISITION LTD	RIGHT 11/02/2023	G4887W136	6	25,000 SH		DFND	1	25,000	0	0
WEALTHBRIDGE ACQUISITION LTD	UNIT 99/99/9999	G4887W102	179	17,650 SH		DFND	1	17,650	0	0
WELLCARE HEALTH PLANS INC	COM	94946T106	881	38,000 SH	Call	DFND	1	38,000	0	0
WELLCARE HEALTH PLANS INC	COM	94946T106	5	4,500 SH	Put	DFND	1	4,500	0	0
WESCO INTL INC	COM	95082P105	1	15,700 SH	Call	DFND	1	15,700	0	0
WORLDPAY INC	CL A	981558109	15,928	129,972 SH		DFND	4	129,972	0	0
XYNOMIC PHARMACUTCLS HLDNG I	*W EXP 05/15/202	98421X110	11	50,000 SH		DFND	1	50,000	0	0
YOGAWORKS INC	COM	986005106	178	296,807 SH		DFND	1	296,807	0	0
ZAYO GROUP HLDGS INC	COM	98919V105	49,348	1,499,493 SH		DFND	4	1,499,493	0	0
ZAYO GROUP HLDGS INC	COM	98919V105	28	140,200 SH	Call	DFND	1	140,200	0	0
ZAYO GROUP HLDGS INC	COM	98919V105	7	6,300 SH	Put	DFND	1	6,300	0	0
ZAYO GROUP HLDGS INC	COM	98919V105	18	100,000 SH	Put	DFND	4	100,000	0	0
ZOGENIX INC	COM NEW	98978L204	59	20,000 SH	Call	DFND	1	20,000	0	0