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The reader should not assume that the information is accurate and complete.

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549
FORM 13F

OMB APPROVAL	
OMB Number:	3235-0006
Expires:	July 31, 2015
Estimated average burden hours per response:	23.8

FORM 13F COVER PAGE

Report for the Calendar Year or Quarter Ended: 06-30-2017

Check here if Amendment Amendment Number:

This Amendment (Check only one.): is a restatement.

adds new holdings entries.

Institutional Investment Manager Filing this Report:

Name: COWEN INC.

Address: 599 LEXINGTON AVENUE, 20TH FLOOR

NEW YORK, NY 10022

Form 13F File
Number: 028-14571

The institutional investment manager filing this report and the person by whom it is signed hereby represent that the person signing the report is authorized to submit it, that all information contained herein is true, correct and complete, and that it is understood that all required items, statements, schedules, lists, and tables, are considered integral parts of this form.

Person Signing this Report on Behalf of Reporting Manager:

Name: Owen Littman

Title: General Counsel

Phone: 212-201-4841

Signature, Place, and Date of Signing:

/s/ Owen Littman

[Signature]

New York, NY

[City, State]

08-09-2017

[Date]

Report Type (Check only one.):

13F HOLDINGS REPORT. (Check here if all holdings of this reporting manager are reported in this report.)

13F NOTICE. (Check here if no holdings reported are in this report, and all holdings are reported by other reporting manager(s).)

13F COMBINATION REPORT. (Check here if a portion of the holdings for this reporting manager are reported in this report and a portion are reported by other reporting manager(s).)

Form 13F Summary Page

Report Summary:

Number of Other Included Managers: 4

Form 13F Information Table Entry Total: 331

Form 13F Information Table Value Total: 1,850,116

(thousands)

List of Other Included Managers:

Provide a numbered list of the name(s) and Form 13F file number(s) of all institutional investment managers with respect to which this report is filed, other than the manager filing this report.

[If there are no entries in this list, state "NONE" and omit the column headings and list entries.]

No.	Form 13F File Number	Name
1	028-10674	RAMIUS ADVISORS LLC
2	028-04125	COWEN & COMPANY, LLC
3	028-17713	Quadratic Capital Management LLC
4	028-13626	Cowen Investment Management LLC

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FORM 13F

FORM 13F INFORMATION TABLE

OMB APPROVAL

OMB Number: 3235-0006
Expires: July 31, 2015
Estimated average burden
hours per response: 23.8

COLUMN 1 NAME OF ISSUER	COLUMN 2 TITLE OF CLASS	COLUMN 3 CUSIP	COLUMN 4		COLUMN 5 SHRS OR SH/ PRN AMT	COLUMN 6 PUT/ CALL	COLUMN 7 INVESTMENT DISCRETION	COLUMN 8 OTHER MANAGER	COLUMN 8 VOTING AUTHORITY		
			VALUE (x\$1000)						SOLE	SHARED	NONE
ADVANCED EMISSIONS SOLUTS IN	COM	00770C101	890	97,203	SH		DFND	1	97,203	0	0
ADVISORY BRD CO	COM	00762W107	15,348	298,017	SH		DFND	1	298,017	0	0
ADVISORY BRD CO	COM	00762W107	11,819	229,500	SH	Put	DFND	1	229,500	0	0
AERIE PHARMACEUTICALS INC	COM	00771V108	526	10,000	SH	Call	DFND	2	10,000	0	0
AGROFRESH SOLUTIONS	*W EXP 07/31/202	00856G117	265	285,456	SH		DFND	2	285,456	0	0
AKORN INC	COM	009728106	27,488	819,567	SH		DFND	1	819,567	0	0
AKORN INC	COM	009728106	6,735	200,800	SH	Call	DFND	1	200,800	0	0
ALBANY MOLECULAR RESH INC	COM	012423109	760	35,000	SH		DFND	2	35,000	0	0
ALBANY MOLECULAR RESH INC	COM	012423109	17	800	SH	Call	DFND	2	800	0	0
ALERE INC	COM	01449J105	68,326	1,361,342	SH		DFND	1	1,361,342	0	0
ALLERGAN PLC	SHS	G0177J108	2,990	12,300	SH		DFND	4	12,300	0	0
ALLIED WRLD ASSUR COM HLDG A	SHS	H01531104	7,956	150,405	SH		DFND	1	150,405	0	0
ALNYLAM PHARMACEUTICALS INC	COM	02043Q107	853	10,700	SH	Call	DFND	2	10,700	0	0
ALPHABET INC	CAP STK CL A	02079K305	767	825	SH		DFND	4	825	0	0
ALPHABET INC	CAP STK CL C	02079K107	1,500	1,651	SH		DFND	4	1,651	0	0
ALTABA INC	COM	021346101	8,742	160,470	SH		DFND	1	160,470	0	0
AMAZON COM INC	COM	023135106	7,947	8,210	SH		DFND	4	8,210	0	0
AMICUS THERAPEUTICS INC	COM	03152W109	292	29,000	SH		DFND	2	29,000	0	0
AMICUS THERAPEUTICS INC	COM	03152W109	402	40,000	SH	Put	DFND	2	40,000	0	0
ANADARKO PETE CORP	COM	032511107	2,516	55,500	SH		DFND	2	55,500	0	0
ANADARKO PETE CORP	COM	032511107	2,947	65,000	SH	Put	DFND	2	65,000	0	0
ANDINA ACQUISITION CORP II	SHS	G0441P104	1,652	163,455	SH		DFND	2	163,455	0	0
ANGIES LIST INC	COM	034754101	102	8,000	SH	Call	DFND	2	8,000	0	0
ANGIES LIST INC	COM	034754101	247	19,300	SH	Put	DFND	2	19,300	0	0
ANHEUSER BUSCH INBEV SA/NV	SPONSORED ADR	03524A108	1,439	13,035	SH		DFND	1	13,035	0	0
APPLE INC	COM	037833100	245	1,700	SH	Put	DFND	1	1,700	0	0

ARRAY BIOPHARMA INC	COM	04269X105	368	44,000	SH		DFND	2	44,000	0	0
ARRAY BIOPHARMA INC	COM	04269X105	393	47,000	SH	Call	DFND	2	47,000	0	0
ARRAY BIOPHARMA INC	COM	04269X105	393	47,000	SH	Put	DFND	2	47,000	0	0
ASTRAZENECA PLC	SPONSORED ADR	046353108	85	2,500	SH		DFND	2	2,500	0	0
ASTRAZENECA PLC	SPONSORED ADR	046353108	317	9,300	SH	Call	DFND	2	9,300	0	0
AT&T INC	COM	00206R102	1,751	46,400	SH	Call	DFND	2	46,400	0	0
AT&T INC	COM	00206R102	2,785	73,800	SH	Put	DFND	2	73,800	0	0
AT&T INC	COM	00206R102	11,553	306,200	SH	Call	DFND	1	306,200	0	0
ATWOOD OCEANICS INC	COM	050095108	1,327	162,811	SH		DFND	1	162,811	0	0
AVEXIS INC	COM	05366U100	1,405	17,100	SH	Call	DFND	2	17,100	0	0
AVISTA HEALTHCARE PUB ACQ CO	UNIT 99/99/9999	G0726L109	364	35,340	SH		DFND	2	35,340	0	0
BARD C R INC	COM	067383109	90,029	284,802	SH		DFND	1	284,802	0	0
BHP BILLITON PLC	SPONSORED ADR	05545E209	2,442	79,389	SH		DFND	1	79,389	0	0
BIOGEN INC	COM	09062X103	1,357	5,000	SH	Put	DFND	2	5,000	0	0
BIOGEN INC	COM	09062X103	1,357	5,000	SH	Call	DFND	2	5,000	0	0
BIOGEN INC	COM	09062X103	543	2,000	SH		DFND	2	2,000	0	0
BISON CAP ACQUISITION CORP	UNIT 99/99/9999T	G1142P120	786	77,022	SH		DFND	2	77,022	0	0
BLACKROCK CORPOR HI YLD FD I	COM	09255P107	484	44,124	SH		DFND	4	44,124	0	0
BOARDWALK PIPELINE PARTNERS	UT LTD PARTNER	096627104	553	30,700	SH		DFND	4	30,700	0	0
BOSTON OMAHA CORP	COM	101044105	1,461	110,812	SH		DFND	2	110,812	0	0
BROCADE COMMUNICATIONS SYS I	COM NEW	111621306	143,290	11,363,178	SH		DFND	1	11,363,178	0	0
BUCKEYE PARTNERS L P	UNIT LTD PARTN	118230101	348	5,440	SH		DFND	4	5,440	0	0
CABELAS INC	COM	126804301	6,043	101,700	SH	Put	DFND	2	101,700	0	0
CABELAS INC	COM	126804301	333	5,599	SH		DFND	2	5,599	0	0
CABELAS INC	COM	126804301	647	10,900	SH	Call	DFND	2	10,900	0	0
CADENCE DESIGN SYSTEM INC	COM	127387108	877	26,200	SH		DFND	4	26,200	0	0
CAPITAL ONE FINL CORP	COM	14040H105	413	5,000	SH		DFND	4	5,000	0	0
CARA THERAPEUTICS INC	COM	140755109	123	8,000	SH		DFND	2	8,000	0	0
CARA THERAPEUTICS INC	COM	140755109	115	7,500	SH	Call	DFND	2	7,500	0	0
CARA THERAPEUTICS INC	COM	140755109	217	14,100	SH	Put	DFND	2	14,100	0	0
CARE CAP PPTYS INC	COM	141624106	4,621	173,062	SH		DFND	1	173,062	0	0
CENTRAL FD CDA LTD	CL A	153501101	1,385	112,350	SH		DFND	1	112,350	0	0
CENTURYLINK INC	COM	156700106	2,672	111,900	SH	Call	DFND	2	111,900	0	0
CENTURYLINK INC	COM	156700106	9,918	415,309	SH		DFND	1	415,309	0	0
CENTURYLINK INC	COM	156700106	40,584	1,699,500	SH	Call	DFND	1	1,699,500	0	0
CF CORP	SHS CL A	G20307107	281	25,000	SH		DFND	1	25,000	0	0
CF CORP	UNIT 99/99/9999E	G20307123	2,831	230,180	SH		DFND	2	230,180	0	0
CIGNA CORPORATION	COM	125509109	7,801	46,600	SH	Call	DFND	2	46,600	0	0

CISION LTD	*W EXP 06/22/202	G1992S117	135	61,530	SH		DFND	2	61,530	0	0
CISION LTD	SHS	G1992S109	257	25,000	SH		DFND	2	25,000	0	0
CITRIX SYS INC	COM	177376100	820	10,300	SH		DFND	1	10,300	0	0
CITRIX SYS INC	COM	177376100	1,003	12,600	SH	Call	DFND	2	12,600	0	0
CLOVIS ONCOLOGY INC	COM	189464100	234	2,500	SH	Put	DFND	2	2,500	0	0
CLOVIS ONCOLOGY INC	COM	189464100	262	2,800	SH		DFND	2	2,800	0	0
CLOVIS ONCOLOGY INC	COM	189464100	1,873	20,000	SH	Call	DFND	2	20,000	0	0
COBALT INTL ENERGY INC	COM NEW	19075F304	25	10,001	SH		DFND	2	10,001	0	0
COMMUNITY HEALTH SYS INC NEW	RIGHT 99/99/9999	203668116	14	717,391	SH		DFND	2	717,391	0	0
CONAGRA BRANDS INC	COM	205887102	1,408	39,371	SH		DFND	1	39,371	0	0
CONDUENT INC	COM	206787103	1,620	101,600	SH		DFND	1	101,600	0	0
CONSTELLATION ALPHA CAPITAL	UNIT 99/99/9999	G2379F124	250	24,600	SH		DFND	2	24,600	0	0
CONYERS PK ACQUISITION	*W EXP 07/20/202	212894117	862	329,011	SH		DFND	2	329,011	0	0
CPFL ENERGIA S A	SPONSORED ADR	126153105	6,187	387,900	SH		DFND	1	387,900	0	0
CROSSAMERICA PARTNERS LP	UT LTD PTN INT	22758A105	510	20,000	SH		DFND	1	20,000	0	0
CSX CORP	COM	126408103	677	12,400	SH		DFND	2	12,400	0	0
CSX CORP	COM	126408103	5,423	99,400	SH	Call	DFND	2	99,400	0	0
CURRENCYSHARES EURO TR	EURO SHS	23130C108	6,297	57,000	SH	Put	DFND	3	57,000	0	0
DASEKE INC	*W EXP 03/31/202	23753F115	1,248	1,031,229	SH		DFND	2	1,031,229	0	0
DOLLAR TREE INC	COM	256746108	245	3,500	SH		DFND	4	3,500	0	0
DOUBLE EAGLE ACQUISITION COR	*W EXP 09/16/202	G28195116	122	254,769	SH		DFND	2	254,769	0	0
DU PONT E I DE NEMOURS & CO	COM	263534109	646	8,000	SH	Call	DFND	2	8,000	0	0
DYNEGY INC NEW DEL	*W EXP 02/02/202	26817R157	8	45,182	SH		DFND	2	45,182	0	0
DYNEGY INC NEW DEL	COM	26817R108	8	1,000	SH		DFND	2	1,000	0	0
DYNEGY INC NEW DEL	COM	26817R108	79	9,500	SH	Call	DFND	2	9,500	0	0
EASTERLY ACQUISITION CORP	COM	27616L102	2,709	269,600	SH		DFND	2	269,600	0	0
EL PASO ELEC CO	COM NEW	283677854	0	951,000	SH		DFND	1	951,000	0	0
ENERGY TRANSFER PARTNERS LP	UNIT LTD PRT INT	29278N103	459	22,495	SH		DFND	4	22,495	0	0
ENSCO PLC	SHS CLASS A	G3157S106	13,690	2,653,100	SH	Call	DFND	1	2,653,100	0	0
ENTERPRISE PRODS PARTNERS L	COM	293792107	895	33,035	SH		DFND	4	33,035	0	0
EQT CORP	COM	26884L109	3,521	60,100	SH	Call	DFND	1	60,100	0	0
ETF MANAGERS TR	PUREFUNDS ISE CY	26924G201	285	9,500	SH		DFND	4	9,500	0	0
ETHAN ALLEN INTERIORS INC	COM	297602104	2,100	65,000	SH	Put	DFND	2	65,000	0	0
EXPEDIA INC DEL	COM NEW	30212P303	3,351	22,500	SH	Put	DFND	2	22,500	0	0
FACTSET RESH SYS INC	COM	303075105	288	1,734	SH		DFND	2	1,734	0	0
FAIRPOINT COMMUNICATIONS INC	COM NEW	305560302	5,365	342,821	SH		DFND	1	342,821	0	0

FELCOR LODGING TR INC	COM	31430F101	8,188	1,135,712	SH		DFND	1	1,135,712	0	0
FINTECH ACQUISITION CORP II	UNIT 99/99/9999	31810G208	167	16,101	SH		DFND	2	16,101	0	0
FORUM MERGER CORP	*W EXP 06/01/202	34985B111	75	219,622	SH		DFND	2	219,622	0	0
FORUM MERGER CORP	COM CL A	34985B103	86	8,833	SH		DFND	2	8,833	0	0
FORUM MERGER CORP	COM CL A	34985B103	983	101,200	SH		DFND	1	101,200	0	0
FORUM MERGER CORP	RIGHT 06/01/2019	34985B129	6	17,896	SH		DFND	2	17,896	0	0
FORUM MERGER CORP	UNIT 06/01/2024	34985B202	1,019	100,000	SH		DFND	1	100,000	0	0
FORUM MERGER CORP	UNIT 06/01/2024	34985B202	464	45,500	SH		DFND	2	45,500	0	0
FORWARD PHARMA A/S	SPONSORED ADR	34986J105	225	11,085	SH		DFND	1	11,085	0	0
FREDS INC	CL A	356108100	278	30,200	SH	Call	DFND	2	30,200	0	0
GENERAL COMMUNICATION INC	CL A	369385109	6,841	186,720	SH		DFND	1	186,720	0	0
GENERAL DYNAMICS CORP	COM	369550108	594	3,000	SH		DFND	4	3,000	0	0
GENWORTH FINL INC	COM CL A	37247D106	75	20,000	SH	Call	DFND	2	20,000	0	0
GLOBAL BLOOD THERAPEUTICS IN	COM	37890U108	241	8,800	SH	Call	DFND	2	8,800	0	0
GLOBAL BLOOD THERAPEUTICS IN	COM	37890U108	241	8,800	SH	Put	DFND	2	8,800	0	0
GOLAR LNG LTD BERMUDA	SHS	G9456A100	723	32,500	SH		DFND	2	32,500	0	0
GOLAR LNG LTD BERMUDA	SHS	G9456A100	47	2,100	SH	Call	DFND	2	2,100	0	0
GORES HLDGS II INC	*W EXP 03/06/202	382867117	430	288,369	SH		DFND	2	288,369	0	0
GORES HLDGS II INC	UNIT 99/99/9999	382867208	269	25,751	SH		DFND	2	25,751	0	0
GP INVTS ACQUISITION COR	SHS	G40357124	4,942	491,715	SH		DFND	2	491,715	0	0
GTY TECHNOLOGY HOLDINGS INC	*W EXP 99/99/999	G4182A128	144	80,118	SH		DFND	2	80,118	0	0
GTY TECHNOLOGY HOLDINGS INC	CL A	G4182A102	1,010	100,000	SH		DFND	1	100,000	0	0
HAIN CELESTIAL GROUP INC	COM	405217100	2,116	54,500	SH		DFND	2	54,500	0	0
HAIN CELESTIAL GROUP INC	COM	405217100	2,523	65,000	SH	Put	DFND	2	65,000	0	0
HCA HEALTHCARE INC	COM	40412C101	1,308	15,000	SH	Call	DFND	2	15,000	0	0
HOLLYFRONTIER CORP	COM	436106108	412	15,000	SH		DFND	2	15,000	0	0
HOLLYFRONTIER CORP	COM	436106108	1,129	41,100	SH	Put	DFND	2	41,100	0	0
HOLOGIC INC	COM	436440101	227	5,000	SH		DFND	2	5,000	0	0
HOLOGIC INC	COM	436440101	340	7,500	SH	Put	DFND	2	7,500	0	0
HORIZON PHARMA PLC	SHS	G4617B105	1,200	164,400	SH		DFND	2	164,400	0	0
HOSTESS BRANDS INC	*W EXP 11/04/202	44109J114	214	73,701	SH		DFND	2	73,701	0	0
HRG GROUP INC	COM	40434J100	3,204	180,914	SH		DFND	1	180,914	0	0
HUNTER MARITIME ACQUISITION	CL A	Y37828111	850	85,910	SH		DFND	2	85,910	0	0

HUNTSMAN CORP	COM	447011107	198	20,000	SH	Call	DFND	2	20,000	0	0
HUNTSMAN CORP	COM	447011107	34,800	1,346,736	SH		DFND	1	1,346,736	0	0
HUNTSMAN CORP	COM	447011107	659	25,500	SH		DFND	2	25,500	0	0
IAC INTERACTIVE CORP	COM	44919P508	1,032	10,000	SH		DFND	1	10,000	0	0
ICON PLC	SHS	G4705A100	13,290	135,900	SH	Put	DFND	1	135,900	0	0
ILG INC	COM	44967H101	1,704	62,000	SH		DFND	2	62,000	0	0
ILG INC	COM	44967H101	2,749	100,000	SH	Put	DFND	2	100,000	0	0
INNOCOLL HLDGS PLC	SHS	G4783X105	23	10,000	SH		DFND	2	10,000	0	0
INTERNATIONAL BUSINESS MACHS	COM	459200101	692	4,500	SH		DFND	4	4,500	0	0
ISHARES	MSCI MEX CAP ETF	464286822	11,268	208,900	SH	Call	DFND	3	208,900	0	0
ISHARES	MSCI STH KOR ETF	464286772	6,435	94,900	SH	Put	DFND	3	94,900	0	0
ISHARES	MSCI TURKEY ETF	464286715	4,728	113,900	SH	Put	DFND	3	113,900	0	0
ISHARES	MSCI TURKEY ETF	464286715	9,468	228,100	SH	Call	DFND	3	228,100	0	0
ISHARES SILVER TRUST	ISHARES	46428Q109	354	22,522	SH		DFND	1	22,522	0	0
ISHARES SILVER TRUST	ISHARES	46428Q109	903	57,500	SH	Put	DFND	1	57,500	0	0
ISHARES TR	RUSSELL 2000 ETF	464287655	37,344	265,000	SH	Put	DFND	1	265,000	0	0
JENSYN ACQUISITION CORP	COM	47632B102	1,077	105,200	SH		DFND	2	105,200	0	0
JOHNSON & JOHNSON	COM	478160104	206	1,556	SH		DFND	4	1,556	0	0
JOHNSON & JOHNSON	COM	478160104	238	1,800	SH	Call	DFND	1	1,800	0	0
JOHNSON CTLS INTL PLC	SHS	G51502105	1,536	35,430	SH		DFND	1	35,430	0	0
KATE SPADE & CO	COM	485865109	2,348	127,000	SH	Call	DFND	2	127,000	0	0
KAYNE ANDERSON ACQUISITION	*W EXP 06/30/202	48661U110	133	147,498	SH		DFND	2	147,498	0	0
KAYNE ANDERSON ACQUISITION	UNIT 06/30/2022	48661U201	1,462	146,231	SH		DFND	2	146,231	0	0
KAYNE ANDERSON ACQUISITION	UNIT 06/30/2022	48661U201	10,008	1,000,826	SH		DFND	1	1,000,826	0	0
KBL MERGER CORP IV	UNIT 01/15/2024	48242A203	12,576	1,229,365	SH		DFND	2	1,229,365	0	0
KENNEDY-WILSON HLDGS INC	COM	489398107	10,030	526,500	SH	Call	DFND	1	526,500	0	0
LA JOLLA PHARMACEUTICAL CO	COM PAR \$.0001 2	503459604	512	17,200	SH		DFND	2	17,200	0	0
LA JOLLA PHARMACEUTICAL CO	COM PAR \$.0001 2	503459604	1,116	37,500	SH	Call	DFND	2	37,500	0	0
LA JOLLA PHARMACEUTICAL CO	COM PAR \$.0001 2	503459604	1,042	35,000	SH	Put	DFND	2	35,000	0	0
LABORATORY CORP AMER HLDGS	COM NEW	50540R409	20,994	136,200	SH	Put	DFND	1	136,200	0	0
LATTICE SEMICONDUCTOR CORP	COM	518415104	400	60,000	SH		DFND	2	60,000	0	0
LATTICE SEMICONDUCTOR CORP	COM	518415104	1,828	274,424	SH		DFND	1	274,424	0	0

LENNAR CORP	CL B	526057302	895	19,894	SH		DFND	1	19,894	0	0
LIBERTY BROADBAND CORP	COM SER A	530307107	491	5,719	SH		DFND	1	5,719	0	0
LIBERTY INTERACTIVE CORP	LBT VEN COM A NE	53071M856	5,521	105,580	SH		DFND	1	105,580	0	0
LIBERTY INTERACTIVE CORP	LBT VEN COM A NE	53071M856	939	17,960	SH		DFND	4	17,960	0	0
LIBERTY MEDIA CORP DELAWARE	COM A SIRIUSXM	531229409	4,694	111,812	SH		DFND	1	111,812	0	0
LIBERTY MEDIA CORP DELAWARE	COM A SIRIUSXM	531229409	1,153	27,475	SH		DFND	4	27,475	0	0
LIBERTY MEDIA CORP DELAWARE	COM SER A FRMLA	531229870	836	23,872	SH		DFND	1	23,872	0	0
LOGMEIN INC	COM	54142L109	1,886	18,050	SH		DFND	1	18,050	0	0
M III ACQUISITION CORP	COM	55378T104	4,921	501,150	SH		DFND	2	501,150	0	0
M III ACQUISITION CORP	UNIT 99/99/9999T	55378T203	980	95,500	SH		DFND	1	95,500	0	0
MACYS INC	COM	55616P104	232	10,000	SH	Call	DFND	2	10,000	0	0
MADISON SQUARE GARDEN CO NEW	CL A	55825T103	1,119	5,684	SH		DFND	1	5,684	0	0
MAGELLAN MIDSTREAM PRTRNS LP	COM UNIT RP LP	559080106	936	13,130	SH		DFND	4	13,130	0	0
MARATHON PETE CORP	COM	56585A102	2,093	40,000	SH	Call	DFND	2	40,000	0	0
MASTEC INC	COM	576323109	1,765	39,100	SH	Put	DFND	2	39,100	0	0
MATLIN & PARTNERS ACQUISITIO	*W EXP 03/15/202	57682V114	285	569,374	SH		DFND	2	569,374	0	0
MATLIN & PARTNERS ACQUISITIO	UNIT 05/28/2021A	57682V205	6,018	600,000	SH		DFND	1	600,000	0	0
MATLIN & PARTNERS ACQUISITIO	UNIT 05/28/2021A	57682V205	750	74,800	SH		DFND	2	74,800	0	0
MEDICINES CO	COM	584688105	760	20,000	SH	Put	DFND	2	20,000	0	0
MEDICINES CO	COM	584688105	418	11,000	SH		DFND	2	11,000	0	0
MERCK & CO INC	COM	58933Y105	1,115	17,400	SH	Call	DFND	2	17,400	0	0
METLIFE INC	COM	59156R108	1,511	27,500	SH		DFND	2	27,500	0	0
METLIFE INC	COM	59156R108	27	500	SH	Call	DFND	2	500	0	0
METLIFE INC	COM	59156R108	7,945	144,600	SH	Put	DFND	2	144,600	0	0
MOBILEYE N V AMSTELVEEN	ORD SHS	N51488117	210,080	3,345,226	SH		DFND	1	3,345,226	0	0
MOBILEYE N V AMSTELVEEN	ORD SHS	N51488117	8,729	139,000	SH	Call	DFND	2	139,000	0	0
MOBILEYE N V AMSTELVEEN	ORD SHS	N51488117	3,140	50,000	SH	Put	DFND	2	50,000	0	0
MODERN MEDIA ACQUISITION	COM	60765P103	2,888	295,553	SH		DFND	2	295,553	0	0
MODERN MEDIA ACQUISITION	RIGHT 99/99/9999	60765P129	140	369,692	SH		DFND	2	369,692	0	0
MODERN MEDIA ACQUISITION	UNIT 99/99/9999	60765P202	1,833	178,699	SH		DFND	1	178,699	0	0
MOLSON COORS BREWING CO	CL B	60871R209	575	6,664	SH		DFND	1	6,664	0	0
MONEYGRAM INTL INC	COM NEW	60935Y208	4,947	286,800	SH	Put	DFND	1	286,800	0	0
MONSANTO CO NEW	COM	61166W101	2,663	22,500	SH	Call	DFND	2	22,500	0	0
MONSANTO CO NEW	COM	61166W101	26,939	227,600	SH	Put	DFND	1	227,600	0	0
NANOVIRICIDES INC	COM NEW	630087203	0	373,750	SH		DFND	1	373,750	0	0

NATIONAL ENERGY SERVICES REU	*W EXP 05/05/202	G6375R115	165	300,000	SH		DFND	1	300,000	0	0
NATIONAL ENERGY SERVICES REU	SHS	G6375R107	2,856	300,000	SH		DFND	1	300,000	0	0
NCR CORP NEW	COM	62886E108	1	17	SH		DFND	2	17	0	0
NCR CORP NEW	COM	62886E108	715	17,500	SH	Call	DFND	2	17,500	0	0
NEUROCRINE BIOSCIENCES INC	COM	64125C109	1,891	41,100	SH	Call	DFND	2	41,100	0	0
NEW YORK REIT INC	COM	64976L109	41,699	4,826,234	SH		DFND	1	4,826,234	0	0
NEW YORK REIT INC	COM	64976L109	776	89,850	SH		DFND	4	89,850	0	0
NEXEO SOLUTIONS INC	*W EXP 06/09/202	65342H110	10	18,860	SH		DFND	2	18,860	0	0
NEXTERA ENERGY INC	COM	65339F101	701	5,000	SH		DFND	4	5,000	0	0
NIELSEN HLDGS PLC	SHS EUR	G6518L108	1,488	38,500	SH		DFND	1	38,500	0	0
NII HLDGS INC	COM PAR	62913F508	55	68,472	SH		DFND	2	68,472	0	0
NORDSTROM INC	COM	655664100	143	3,000	SH		DFND	2	3,000	0	0
NORDSTROM INC	COM	655664100	6,577	137,500	SH	Call	DFND	2	137,500	0	0
NORTHROP GRUMMAN CORP	COM	666807102	513	2,000	SH		DFND	4	2,000	0	0
NVIDIA CORP	COM	67066G104	869	6,011	SH		DFND	4	6,011	0	0
NXP SEMICONDUCTORS N V	COM	N6596X109	29,463	269,200	SH	Call	DFND	2	269,200	0	0
NXP SEMICONDUCTORS N V	COM	N6596X109	4,378	40,000	SH	Put	DFND	2	40,000	0	0
NXP SEMICONDUCTORS N V	COM	N6596X109	97,341	889,365	SH		DFND	1	889,365	0	0
OCI PARTNERS LP	COM UNIT REP LP	67091N108	1,845	208,420	SH		DFND	1	208,420	0	0
OCULAR THERAPEUTIX INC	COM	67576A100	456	49,200	SH		DFND	4	49,200	0	0
ONEOK PARTNERS LP	UNIT LTD PARTN	68268N103	319	6,250	SH		DFND	4	6,250	0	0
PANDORA MEDIA INC	COM	698354107	348	39,000	SH	Call	DFND	1	39,000	0	0
PANDORA MEDIA INC	COM	698354107	16	1,800	SH	Call	DFND	2	1,800	0	0
PAREXEL INTL CORP	COM	699462107	7,886	90,738	SH		DFND	1	90,738	0	0
PATHEON N V	SHS	N6865W105	1,744	50,000	SH	Call	DFND	2	50,000	0	0
PATHEON N V	SHS	N6865W105	872	25,000	SH	Put	DFND	2	25,000	0	0
PBF ENERGY INC	CL A	69318G106	1,207	54,200	SH	Call	DFND	2	54,200	0	0
PENN NATL GAMING INC	COM	707569109	1,173	54,800	SH	Call	DFND	1	54,800	0	0
PENN NATL GAMING INC	COM	707569109	684	31,980	SH		DFND	4	31,980	0	0
PENN NATL GAMING INC	COM	707569109	723	33,800	SH		DFND	1	33,800	0	0
PETROBRAS ARGENTINA S A	SPONS ADR	71646J109	4,384	365,005	SH		DFND	1	365,005	0	0
PINNACLE ENTMT INC NEW	COM	72348Y105	494	25,000	SH		DFND	1	25,000	0	0
PLAINS ALL AMERN PIPELINE L	UNIT LTD PARTN	726503105	515	19,600	SH		DFND	4	19,600	0	0
PORTOLA PHARMACEUTICALS INC	COM	737010108	1,269	22,600	SH	Put	DFND	2	22,600	0	0

POWERSHARES ETF TRUST II	SENIOR LN PORT	73936Q769	30,220	1,306,000	SH	Put	DFND	3	1,306,000	0	0
POWERSHS DB MULTI SECT COMM	PS DB AGRICUL FD	73936B408	5,282	266,100	SH	Put	DFND	3	266,100	0	0
PROSPECT CAPITAL CORPORATION	COM	74348T102	2,014	248,000	SH	Put	DFND	3	248,000	0	0
PRUDENTIAL SHT DURATION HG Y	COM	74442F107	542	35,500	SH		DFND	4	35,500	0	0
QIWI PLC	SPON ADR REP B	74735M108	2,702	109,467	SH		DFND	1	109,467	0	0
QUALCOMM INC	COM	747525103	2,855	51,700	SH	Put	DFND	1	51,700	0	0
RAYTHEON CO	COM NEW	755111507	565	3,500	SH		DFND	4	3,500	0	0
REMARK HLDGS INC	COM	75955K102	0	22,222	SH		DFND	1	22,222	0	0
REYNOLDS AMERICAN INC	COM	761713106	6,184	95,074	SH		DFND	1	95,074	0	0
RICE ENERGY INC	COM	762760106	20,030	752,146	SH		DFND	1	752,146	0	0
RITE AID CORP	COM	767754104	4,793	1,624,900	SH	Put	DFND	2	1,624,900	0	0
RITE AID CORP	COM	767754104	988	335,000	SH		DFND	2	335,000	0	0
RITE AID CORP	COM	767754104	3,190	1,081,300	SH	Call	DFND	1	1,081,300	0	0
RITE AID CORP	COM	767754104	1,541	522,000	SH	Call	DFND	2	522,000	0	0
SANDSTORM GOLD LTD	COM NEW	80013R206	774	200,000	SH		DFND	1	200,000	0	0
SAREPTA THERAPEUTICS INC	COM	803607100	169	5,000	SH		DFND	2	5,000	0	0
SAREPTA THERAPEUTICS INC	COM	803607100	253	7,500	SH	Call	DFND	2	7,500	0	0
SAREPTA THERAPEUTICS INC	COM	803607100	337	10,000	SH	Put	DFND	2	10,000	0	0
SCHWAB CHARLES CORP NEW	COM	808513105	709	16,500	SH		DFND	4	16,500	0	0
SELECT SECTOR SPDR TR	SBI CONS STPLS	81369Y308	16,691	303,800	SH	Put	DFND	3	303,800	0	0
SILVER RUN ACQUISITION	*W EXP 03/28/202	82812A111	324	180,488	SH		DFND	2	180,488	0	0
SILVER RUN ACQUISITION	COM CL A	82812A103	2,533	250,000	SH		DFND	1	250,000	0	0
SILVER RUN ACQUISITION	COM CL A	82812A103	2,554	252,142	SH		DFND	2	252,142	0	0
SINA CORP	ORD	G81477104	1,141	13,424	SH		DFND	1	13,424	0	0
SINCLAIR BROADCAST GROUP INC	CL A	829226109	2,964	90,076	SH		DFND	1	90,076	0	0
SINCLAIR BROADCAST GROUP INC	CL A	829226109	14,351	436,200	SH	Call	DFND	1	436,200	0	0
SPDR GOLD TRUST	GOLD SHS	78463V107	551	4,671	SH		DFND	1	4,671	0	0
SPDR GOLD TRUST	GOLD SHS	78463V107	1,416	12,000	SH	Put	DFND	1	12,000	0	0
SPDR S&P 500 ETF TR	TR UNIT	78462F103	3,385	14,000	SH		DFND	2	14,000	0	0
SPDR S&P 500 ETF TR	TR UNIT	78462F103	50,246	207,800	SH	Call	DFND	2	207,800	0	0
SPDR S&P 500 ETF TR	TR UNIT	78462F103	5,924	24,500	SH	Put	DFND	1	24,500	0	0
SPDR S&P 500 ETF TR	TR UNIT	78462F103	43,572	180,200	SH	Put	DFND	2	180,200	0	0
SPDR SER TR	BLOOMBERG SRT TR	78468R408	1,484	53,050	SH		DFND	4	53,050	0	0
SPDR SERIES TRUST	S&P OILGAS EXP	78464A730	709	22,200	SH		DFND	2	22,200	0	0
SPDR SERIES TRUST	S&P OILGAS EXP	78464A730	102	3,200	SH	Call	DFND	2	3,200	0	0
SPDR SERIES TRUST	S&P OILGAS EXP	78464A730	13,940	436,700	SH	Call	DFND	3	436,700	0	0

SPECTRANETICS CORP	COM	84760C107	1,920	50,000	SH	Call	DFND	2	50,000	0	0
SPROUTS FMRS MKT INC	COM	85208M102	1,825	80,500	SH		DFND	2	80,500	0	0
SPROUTS FMRS MKT INC	COM	85208M102	4,783	211,000	SH	Put	DFND	2	211,000	0	0
STAPLES INC	COM	855030102	1,987	197,341	SH		DFND	1	197,341	0	0
STAPLES INC	COM	855030102	25	2,500	SH		DFND	2	2,500	0	0
STAPLES INC	COM	855030102	3,014	299,300	SH	Call	DFND	1	299,300	0	0
STELLAR ACQUISITION III INC	COM	Y8172W107	795	79,174	SH		DFND	2	79,174	0	0
STERLING BANCORP DEL	COM	85917A100	1,208	51,960	SH		DFND	4	51,960	0	0
STRAIGHT PATH COMMUNICATNS I	CL B	862578101	4,276	23,800	SH	Put	DFND	2	23,800	0	0
STRAIGHT PATH COMMUNICATNS I	CL B	862578101	4,416	24,582	SH		DFND	2	24,582	0	0
STRAIGHT PATH COMMUNICATNS I	CL B	862578101	7,707	42,900	SH	Call	DFND	2	42,900	0	0
SUBURBAN PROPANE PARTNERS L	UNIT LTD PARTN	864482104	487	20,450	SH		DFND	4	20,450	0	0
SUPERNUS PHARMACEUTICALS INC	COM	868459108	560	13,000	SH		DFND	2	13,000	0	0
SUPERNUS PHARMACEUTICALS INC	COM	868459108	1,724	40,000	SH	Call	DFND	2	40,000	0	0
SYNCHRONOSS TECHNOLOGIES INC	COM	87157B103	82	5,000	SH		DFND	2	5,000	0	0
SYNCHRONOSS TECHNOLOGIES INC	COM	87157B103	370	22,500	SH	Call	DFND	2	22,500	0	0
SYNGENTA AG	SPONSORED ADR	87160A100	1,112	12,000	SH	Call	DFND	2	12,000	0	0
T MOBILE US INC	COM	872590104	25,988	428,700	SH	Call	DFND	1	428,700	0	0
T MOBILE US INC	COM	872590104	14,525	239,603	SH		DFND	1	239,603	0	0
TE CONNECTIVITY LTD	REG SHS	H84989104	511	6,500	SH		DFND	4	6,500	0	0
TERADYNE INC	COM	880770102	228	7,600	SH		DFND	2	7,600	0	0
TERADYNE INC	COM	880770102	450	15,000	SH	Call	DFND	2	15,000	0	0
TERADYNE INC	COM	880770102	150	5,000	SH	Put	DFND	2	5,000	0	0
TESARO INC	COM	881569107	466	3,329	SH		DFND	1	3,329	0	0
TIME INC NEW	COM	887228104	402	28,000	SH		DFND	2	28,000	0	0
TIME INC NEW	COM	887228104	495	34,500	SH	Put	DFND	2	34,500	0	0
TIME WARNER INC	COM NEW	887317303	3,508	34,600	SH	Call	DFND	2	34,600	0	0
TIME WARNER INC	COM NEW	887317303	7,127	70,300	SH	Put	DFND	2	70,300	0	0
TIME WARNER INC	COM NEW	887317303	1,646	16,395	SH		DFND	4	16,395	0	0
TIME WARNER INC	COM NEW	887317303	29,651	295,295	SH		DFND	1	295,295	0	0
TRACTOR SUPPLY CO	COM	892356106	2,049	37,800	SH	Call	DFND	2	37,800	0	0
TRACTOR SUPPLY CO	COM	892356106	108	2,000	SH		DFND	2	2,000	0	0
TRIBUNE MEDIA CO	CL A	896047503	8,211	201,400	SH	Call	DFND	1	201,400	0	0
UNDER ARMOUR INC	CL A	904311107	1,271	58,405	SH		DFND	1	58,405	0	0
UNILEVER N V	N Y SHS NEW	904784709	1,838	33,256	SH		DFND	1	33,256	0	0
UNILEVER N V	N Y SHS NEW	904784709	2,131	38,550	SH		DFND	2	38,550	0	0
UNILEVER N V	N Y SHS NEW	904784709	3,051	55,200	SH	Put	DFND	2	55,200	0	0
UNILEVER PLC	SPON ADR NEW	904767704	5,754	106,316	SH		DFND	1	106,316	0	0

UNITED STATES NATL GAS FUND	UNIT PAR \$0.001	912318201	4,125	608,400	SH	Put	DFND	3	608,400	0	0
VALMONT INDS INC	COM	920253101	795	5,315	SH		DFND	2	5,315	0	0
VALMONT INDS INC	COM	920253101	3,890	26,000	SH	Put	DFND	2	26,000	0	0
VANECK VECTORS ETF TR	SEMICONDUCTOR ET	92189F676	3,676	44,900	SH	Put	DFND	1	44,900	0	0
VANTAGE ENERGY ACQUISITION	UNIT 04/12/2024	92211L204	10,130	1,000,000	SH		DFND	1	1,000,000	0	0
VANTAGE ENERGY ACQUISITION	UNIT 04/12/2024	92211L204	43	4,252	SH		DFND	2	4,252	0	0
VECTOR GROUP LTD	COM	92240M108	706	33,100	SH		DFND	1	33,100	0	0
VTTIENERGY PARTNERS LP	COM UNIT RP LT	Y9384M101	2,754	140,164	SH		DFND	1	140,164	0	0
VWR CORP	COM	91843L103	25,153	761,988	SH		DFND	1	761,988	0	0
WEBMD HEALTH CORP	COM	94770V102	16,120	274,845	SH		DFND	1	274,845	0	0
WEIBO CORP	SPONSORED ADR	948596101	7,637	114,900	SH	Put	DFND	1	114,900	0	0
WEIBO CORP	SPONSORED ADR	948596101	6,561	98,707	SH		DFND	1	98,707	0	0
WESTERN DIGITAL CORP	COM	958102105	6,007	67,800	SH	Call	DFND	2	67,800	0	0
WHOLE FOODS MKT INC	COM	966837106	4,881	115,900	SH		DFND	2	115,900	0	0
WHOLE FOODS MKT INC	COM	966837106	1,099	26,100	SH	Call	DFND	2	26,100	0	0
WHOLE FOODS MKT INC	COM	966837106	4,678	111,100	SH	Put	DFND	2	111,100	0	0
WHOLE FOODS MKT INC	COM	966837106	18,021	427,952	SH		DFND	1	427,952	0	0
WILLIS LEASE FINANCE CORP	COM	970646105	228	8,517	SH		DFND	1	8,517	0	0
WILLIS TOWERS WATSON PUB LTD	SHS	G96629103	618	4,250	SH		DFND	1	4,250	0	0
WISDOMTREE TR	INDIA ERNGS FD	97717W422	7,414	302,500	SH	Put	DFND	3	302,500	0	0
XEROX CORP	COM NEW	984121608	1,372	47,750	SH		DFND	1	47,750	0	0
ZIMMER BIOMET HLDGS INC	COM	98956P102	642	5,000	SH	Put	DFND	2	5,000	0	0
ZIMMER BIOMET HLDGS INC	COM	98956P102	257	2,000	SH		DFND	2	2,000	0	0